

Medical Board of California Application for the Registration Polysomnographic Program Information & Checklist

MINIMUM REQUIREMENTS

The Registered Polysomnographic Program registers individuals as polysomnographic trainees, technicians or technologists.

Registered Polysomnographic Trainee: The registration is required for individuals under the direct supervision of a supervising physician and surgeon, polysomnographic technologist or other licensed health care professional who may provide basic supportive services as part of their educational program, including but not limited to gathering and verifying patient information, testing preparation and monitoring, documenting routine observations, data acquisition and scoring, and assisting with appropriate interventions for patient safety in California.

Registered Polysomnographic Technician: The registration is required for individuals who may perform the services of a polysomnographic trainee under general supervision and may implement appropriate interventions necessary for patient safety in California.

Registered Polysomnographic Technologist: The registration is required for individuals who are responsible for the treatment, management, diagnostic testing, control, education, and care of patients with sleep and wake disorders in California.

- > The Medical Board of California (Board) accepts the following approved polysomnographic education programs:
 - 1) A polysomnographic education program accredited either by the Commission on Accreditation of Allied Health Education Programs ("CAAHEP") or by the Commission on Accreditation for Respiratory Care;
 - 2) A sleep technologist program accredited by the American Academy of Sleep Medicine; or
 - 3) A sleep technologist program approved by the Board of Registered Polysomnographic Technologists.
- The Registered Polysomnographic Technologist Certification Exam (RPSGT) offered by the Board of Registered Polysomnographic Technologists is the only exam approved by the Board for purposes of qualifying a polysomnographic technologist for registration.
- > Physicians and surgeons who supervise registrants must hold a valid California license and either:
 - Possess a current certification or subspecialty certification or is eligible for such a certification in sleep medicine by a member board of the American Board of Medical Specialties (AMBS) or the American Board of Sleep Medicine (ABSM); or
 - 2) Hold active staff membership at a sleep center or laboratory accredited by the American Academy of Sleep Medicine or by the Joint Commission.

The supervising physician cannot supervise more than eight (8) polysomnographic technologists at any one time. Moreover, the supervising physician cannot supervise more than a total of eight (8) polysomnographic technicians and/or trainees at any one time. If a supervising physician is not physically present on the premises, a supervising polysomnographic technologist or other licensed healthcare professional (registered nurse, physician assistant or respiratory care practitioner who possesses a current California license) must be physically present on the premises and available to the polysomnographic technician and/or trainee.

A supervising polysomnographic technologist and his or her supervising physician must establish written guidelines for the adequate supervision by the technologist of the polysomnographic technicians and trainees. This requirement may be satisfied by the supervising physician adopting protocols for some or all of the tasks performed by the technicians and trainees. The protocols must be signed and dated by the supervising physician and the polysomnographic technologist. The delegation of procedures to a registrant or other licensed health care professional does not relieve the supervising physician of primary continued responsibility for the welfare of the patient.

MINIMUM REQUIREMENTS (Continued)

> Trainee applicants:

- Applicants must have either:
 - 1) A high school diploma or GED plus 6 months of supervised direct polysomnographic patient care experience; or
 - 2) Be currently enrolled in an approved polysomnographic education program.
- Applicants must possess, at the time of application, a current certificate in Basic Life Support issued by the American Heart Association or the American Safety and Health Institute.

Note: If an applicant is not enrolled in an approved polysomnographic education program, the applicant must have completed at least six months of supervised direct polysomnographic patient care experience. Applicants must have the Work Experience Verification pages completed by the supervising physician(s) indicating they have completed a minimum of six months of directly supervised patient care experience.

➤ To meet the postgraduate training requirement, an applicant must have successfully completed a minimum of 36 months of Accreditation Council for Graduate Medical Education (ACGME), Royal College of Physicians and Surgeons of Canada (RCPSC) and/or The College of Family Physicians of Canada (CFPC) accredited postgraduate training (ACGME approved training must be completed in the United States or it's territories, and RCPSC approved training must be completed in Canada) that includes at least four months of postgraduate training in general medicine. The three years of postgraduate training must consist of at least 24-continuous months of training within the same program.

An applicant who has completed at least 36 months of board-approved postgraduate training, not less than 24 months of which was completed as a resident after receiving a medical degree from a combined dental and medical degree program accredited by the Commission on Dental Accreditation (CODA) or approved by the board, shall be eligible for licensure.

> Technician applicants:

- Applicants must have successfully completed an approved polysomnographic education program. Applicants must submit a copy of the transcript and certificate of completion;
- Applicants must possess a minimum of 6 months of experience as a Registered Polysomnographic Trainee; and
- Applicants must possess, at the time of application, a current certificate in Basic Life Support issued by the American Heart Association or the American Safety and Health Institute.

Note: Applicants must have the Work Experience Verification pages completed by the supervising physician(s) indicating they have completed a minimum of six months experience at a level of a polysomnographic trainee.

> Technologist applicants:

- Applicants must have valid, current credentials as a polysomnographic technologist issued by the Board of Registered Polysomnographic Technologists (BRPT). The certificate is issued by BRPT once an applicant has taken and passed the Registered Polysomnographic Technologist Exam (RPSGT); and
- Applicants must have graduated from a polysomnographic educational program approved by the Board. Applicants must submit a copy of their transcript and certificate of completion.
- Applicants must possess, at the time of application, a current certificate in Basic Life Support issued by the American Heart Association or the American Safety and Health Institute.

Note: Registration is not required for California licensed allied health professionals, including, but not limited to, respiratory care practitioners, working within the scope of practice of their license.

GENERAL INFORMATION

Applicants are personally responsible for all information disclosed on the Application, Forms PST1A-PST1E, including any responses that may have been completed on their behalf by others. An application may be denied based upon omission, falsification or misrepresentation of any item or response on the application or any attachment. The Medical Board of California considers violations of an ethical nature to be a serious breach of professional conduct.

Grounds for Denial: Each applicant's credentials for registration in California are reviewed on an individual basis. The Board has the authority to deny registration based upon an applicant's act of dishonesty, unprofessional conduct, conviction of a crime, discipline of another state license, or inability to practice safely.

> **Priority Review and Expedited Registration:**

Honorably Discharged Veterans of the United States Armed Forces

The Medical Board of California (Board) is required to expedite the registration process if you have served as active duty members of the Armed Forces of the United States and were honorably discharged. (Business and Professions Code (BPC) section 115.4)

For an applicant's license to be expedited, the applicant must:

• Submit his or her official orders issued by the Armed Forces of the United States indicating that he or she has been, or will be, honorably discharged.

Spouse or Domestic Partner of an Active Duty Member of the United States Armed Forces

The Board is required to expedite the registration/registration process for spouses and domestic partners of those on active duty in the United States Armed Forces who is assigned to a duty station in California under official active duty military orders. (<u>BPC section 115.5</u>)

For an applicant's license to be expedited, the applicant must:

- Submit evidence that they are married to, or in a domestic partnership or other legal union with, an active duty member of the Armed Forces of the United States who is assigned to a duty station in California under official orders, and;
- Hold a current license/registration in another state, district or territory of the United States in the profession or vocation for which the applicant is seeking a license/registration.

Admitted to the United States as a Refugee, Granted Asylum, or Have a Special Immigrant Visa Status

BPC section 135.4 provides that the Board must expedite, and may assist, the initial registration process for certain applicants described below. To have the application expedited, one of the following statements must apply to you:

- You were admitted to the United States as a refugee pursuant to section 1157 of title 8 of the United States Code;
- You were granted asylum by the Secretary of Homeland Security or the United States Attorney General pursuant to section 1158 of title 8 of the United States Code; or,
- You have a special immigrant visa and were granted a status pursuant to section 1244 of Public Law 110-181, Public Law 109-163, or section 602(b) of title VI of division F of Public Law 111-8, relating to Iraqi and Afghan translators/interpreters or those who worked for or on behalf of the United States government.

Satisfactory evidence must be provided in order to expedite your application. Failure to do so may result in application review delays. The following may be accepted as satisfactory evidence:

- Form I-94, Arrival/Departure Record, with an admission class code such as "RE" (Refugee) or "AY" (Asylee) or other information designating the person a refugee or asylee.
- Special immigrant visa that includes the "SI" or "SQ."
- Permanent Resident Card (Form I-551), commonly known as a "Green Card," with a category designation indicating that the person was admitted as a refugee or asylee.
- An order from a court of competent jurisdiction or other documentary evidence that provides reasonable assurance that the applicant qualifies for expedited registration.

GENERAL INFORMATION (Continued)

Fingerprints: Applicants who reside in California must complete the electronic Live Scan fingerprint process. The Request for Live Scan Service form may be obtained from the Board's website. Please refer to the following website for Live Scan facilities in California: https://oag.ca.gov/fingerprints/locations.

Applicants residing outside California must submit two completed fingerprint cards <u>or</u> if visiting California, you may have your fingerprints completed electronically at a California Live Scan facility.

Criminal Records Check from both the California Department of Justice and the Federal Bureau of Investigation must be received prior to the issuance of a polysomnographic registration.

- SSN/ITIN: Disclosure of a United States Social Security Number (SSN) or an Individual Taxpayer Identification Number (ITIN) is mandatory prior to the issuance of a license. Section 30 of the Business and Professions Code authorizes collection of an SSN or ITIN. Section 31(e) of the Business and Professions Code allows the State Board of Equalization and the Franchise Tax Board to share taxpayer information with the Board.
- NotaryCam: NotaryCam is a company that provides an online notary service that is valid in California and may be used on the Board's application forms. The Board does <u>not</u> mandate the use of this online service. The Board is providing this information as a convenience to applicants. Applicants may obtain further information regarding this online notary service at <u>https://www.notarycam.com/</u>.

APPLICATION CHECKLIST

Listed below are the minimum application and supporting materials required for a polysomnographic registration. This list is not all-inclusive as additional items may be necessary based on responses provided on the Board's application or information obtained from other entities.

 Application For Polysomnographic Registration, Forms PST1A - PST1E 	Complete all fields, answer all questions, and have the application notarized. All five pages must be submitted together.
Application Fee - \$120.00	A \$120.00 Application Processing Fee made payable to the Medical Board of California is required.
Registration Fee	A \$120.00 registration fee made payable to the Medical Board of California is required before a registration can be issued.
	Note: If an applicant is submitting an application by mail, they may elect to pay the registration fee once the application has been approved. If an applicant is applying online, they must pay both the application fee and the registration fee at the time of submission.
 Fingerprints: Live Scan Form (CA Only) - OR - Two (2) Eingerprint Cords 	Applicants who reside in California must complete the electronic Live Scan fingerprint process. They will need to use the <u>Request for Live Scan Service</u> form that may be obtained from the Board's website. Mail a copy of the completed form with the Application.
Two (2) Fingerprint Cards	Applicants residing outside of California must submit two completed fingerprint cards or have fingerprints completed at a California Live Scan facility. Fingerprint cards will be mailed once the Board receives an application and appropriate processing fees. <u>All personal data must be completed on the fingerprint cards or the cards will be returned for completion.</u>
	Criminal Records Check from both the California Department of Justice and the Federal Bureau of Investigation must be received prior to the issuance of a polysomnographic registration.

APPLICATION CHECKLIST (Co	ontinued)
Certifications and	Provide copies of the acquired certifications and examinations.
Examinations	A-Step
	 Certified Polysomnographic Technologist Certification Exam (CPSGT)
	 Registered Polysomnographic Technologist Certification Exam (RPSGT)
	 Other Board-approved examinations and/or certificates
Verification of Basic Life Support (BLS)	Letter from the American Safety and Health Institute or the American Heart Association's Training Center Coordinator stating that applicants have successfully completed the BLS requirement. This must be on the training center's letterhead signed by the coordinator.
Transcripts (if applicable)	Copy of official transcript from approved polysomnographic education program.
License Verifications (if applicable)	License verification is required from <u>each</u> state or Canadian province in which a Polysomnographic license, registration, or authorization has been held. <i>The official license verification must be sent directly from the licensing authority to the Board.</i>

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	EST. 1876	

TYPE OF APPLICATIO	ON/REGISTRATION					MBC USE ONLY
Application Type (Chec	ck One)					
Initial Application	Upgrade A	Application (If	registered with the Bo	oard as a Trainee or	Technician)	
I am applying for regist	•	e) hnician		Trainee		
PRIORITY REVIEW AI Satisfactory evidence r			n. See License Inforr	mation & Checklis	t for details.	
Temporary License	ged Veterans of the l e for Spouse of Activ ted States as a Refug	e Duty Memb	er of the United Sta			Priority Review O
PERSONAL INFORM	ATION					
Legal Name						Legal
Full Last Name		First Name	M	liddle Name	Suffix	Name
Other Names/Alias				Date Of Bi	rth	DOB O
				(mm/dd/yyyy)		ssn/ititn
Social Security Nu	mber or r Identification Numb	ber		Gender	Female Male Non-Binary	Gender O
Telephone Numbers (Include area code)	Primary	Cell		Work		Phone O
Email Address (Require	ed)	I				Email O
Address Of Record ⊺						Ũ
W Line 1 (40 characters per line, includir	ebsite upon issuance of a licer		a P.O. Box, you are also r 2 (40 characters per line, includ		ntial street address.	AOR O
City		State/Province	Zip/Postal Code	Country		
Confidential Address	Only required if Address of	Record is a P.O. B	ox			Conf.
Line 1 (40 characters per line, includir	ng spaces)	Line	e 2 (40 characters per line, includ	ling spaces)		Address O
City		State/Province	Zip/Postal Code	Country		
1. Are you a registered	I sex offender?			I	Yes No	Sex Offender
2. Have you served or	are you currently serv	ing in the milita	ary?		Yes No	Military
3. Are you requesting active duty member	expediting of this appli of the Armed Forces?		ouse or domestic pa	artner of an	Yes No	U
MBC CASHERING USE ONLY					EPS	F1 A
Date:	Receipt #:		Fee Paid: \$	Entity No:	ନ ୍ଦ କ	IIA

Applicant Full Lega	al Name	Date of Birth (mm/dd/yyyy)	
PREVIOUS APPLIC	ATION OR REGISTRATION		MBCUSEONLY
4. Have you ever file	ed an Application for Polysomnography Registration	in California? Yes No	Previously Registered
If yes, please prov	vide the registration number:	Expired:	0
EDUCATION			
5. Are you a high sc	hool graduate?	Yes No	High School Grad
If yes, please prov	vide your Date of Graduation:		0
6. If you are not a hi Test (GED)?	gh school graduate, did you pass the General Educ	cational Development	GED
If yes, please prov	vide the date of your GED Certificate:		0
List all polysomnogro	aphic educational programs you have attended or	are currently attending.	
Program Name	City/State	Dates of Attendance	
		Start Date (mm/dd/yyyy)	
Degree Awarded		End Date (mm/dd/yyyy)	0
Program Name	City/State	Dates of Attendance	
		Start Date (mm/dd/yyyy)	
Degree Awarded		End Date (mm/dd/yyyy)	0
Program Name	City/State	Dates of Attendance	
		Start Date (mm/dd/yyyy)	
Degree Awarded		End Date (mm/dd/yyyy)	0
Program Name	City/State	Dates of Attendance	
		Start Date (mm/dd/yyyy)	
Degree Awarded		End Date (mm/dd/yyyy)	0
Program Name	City/State	Dates of Attendance	
		Start Date (mm/dd/yyyy)	
Degree Awarded	1	End Date (mm/dd/yyyy)	0
I		1	

Applicant

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EXAMINATIONS or CERTIFICATIONS

List all examinations successfully completed and all certifications held: CPSGT, RPSGT, A-Step, Basic Life Support, or other Board-approved examinations and/or certifications.

Note: Please provide copies of the acquired certifications and examinations successfully completed and include them with your application.

Examination or Certification	Date	Result	Examination Certification
	(mm/dd/yyyy)		0
Examination or Certification	Date	Result	Examination Certification
	(mm/dd/yyyy)		0
Examination or Certification	Date	Result	Examination Certification
	(mm/dd/yyyy)		0
Examination or Certification	Date	Result	Examination Certification
	(mm/dd/yyyy)		0

REGISTRATION or LICENSURE

Have you ever been licensed, registered or authorized to practice polysomnography or		
other healing art(s) in another state/country?	Yes No	Previously Registered
		Ō

If yes, list all registrations or licensures:

State or Country	License Number	Date of Issuance	Date of Expiration	Registration Licensure
		(mm/dd/yyyy)	(mm/dd/yyyy)	0
State or Country	License Number	Date of Issuance	Date of Expiration	Registration Licensure
		(mm/dd/yyyy)	(mm/dd/yyyy)	0
State or Country	License Number	Date of Issuance	Date of Expiration	Registration Licensure
		(mm/dd/yyyy)	(mm/dd/yyyy)	0
State or Country	License Number	Date of Issuance	Date of Expiration	Registration Licensure
		(mm/dd/yyyy)	(mm/dd/yyyy)	0



Applicant	Α	p	p	li	С	a	nt
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Disciplinary

DISCIPLINARY	HISTORY
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These questions refer to discipline by any hospital, military or public health service, state board, or other governmental agency of any U.S. state, U.S. territory, Canadian province, or foreign country. If in doubt as to whether discipline should be disclosed, it is best to disclose the information on the application.

			HISTORY
8.	Have you ever been charged with, or been found to have committed unprofessional conduct, professional incompetence, gross negligence, or repeated negligent acts by any other licensing jurisdiction?	□Yes □No	0
9.	Have you ever had any license, registration or authorization to practice polysomnography subjected to any disciplinary action?	Yes No	0
10.	Is any disciplinary action pending against any of your licenses, registrations or authorizations to practice polysomnography?	□Yes □No	0
11.	Have you ever surrendered a license, registration or authorization to practice polysomnography?	□Yes □No	0
12.	Have you ever been denied a license, registration or authorization to practice polysomnography or any other healing art in this or any other state, or is any such action pending?	□Yes □No	0
13.	Have you ever had any healing arts license, registration or authorization disciplined by another state or federal territory or is any action pending?	Yes No	0

EXPLANATION(S)

For any "Yes" response relating to Disciplinary History questions 8-13 above, please provide an explanation in the space below. If the space provided is not adequate, attach additional sheets of paper for your responses.



Important: The Board recognizes that healthcare providers encounter health conditions, including those involving physical, mental, and substance use disorders, just as their patients and clients do. In addition to providing care for others, the Board encourages and expects its licensees to also seek care for their own health needs and recognizes that doing so is critical to consumer safety and helps sustain California's healthcare workforce. An affirmative answer to the question below will require the Board to make an individualized assessment of the nature, the severity, and the duration of the risks associated with an ongoing medical condition to determine whether you are eligible for registration. A "yes" response to question 14 requires a signed and dated written explanation. The Explanation for Application Question (Form EXP) may be used to provide your explanation.	
14. Are you currently suffering from any condition that impairs your judgment or otherwise adversely affects your ability to practice polysomnography safely, that is, in a competent, ethical, and professional manner? You may answer "No" if you have any condition which does not impair your ability to practice polysomnography safely or if you are receiving appropriate treatment for a condition, and due to that treatment, the condition does not impair your ability to practice polysomnography safely.	0

Affix a 2	" by 2"	photo	here.
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Photo must be recent and must be of your head and shoulder areas only.

Altered photos are NOT acceptable.

Notice: All items in this application are mandatory. Failure to provide any of the requested information will delay the processing of your application. The information provided will be used to verify and identify the applicant per Section 118 and 2081 of the California Business and Professions Code, which authorizes the collection of this information. The information on your application may be transferred to other medical licensing authorities, or other governmental law enforcement agencies. You have the right to review your application subject to the provisions of the Information Practices Act.

Reviewed R1A-R1F Ο Staff Initials & Date

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Photo Ο

Signature

& Date

0

Applicant Signature Ο

DECLARATION

Full Legal Name (First, Middle, Last, Suffix)		Applicant Name & DC
The applicant,	3	0
being first duly sworn upon his/her oath deposes and says: that I am the person here have read the complete application, know the full content thereof, and declare und contained herein and evidence or other credentials submitted herewith are true an misrepresentation or any mistake of which I am aware. Further, I hereby authorize references, personal physicians, employers (past, present and future), or business a future), and all government agencies (local, state, federal, or foreign) to release to th any information, files or records, including medical records, educational records, and for drug, alcohol and/or substance abuse or dependency, requested by the Board in or future investigation by that Board necessary to determine competence, professional engage in the practice of polysomnography. I further authorize the Medical Board ob investigation or proceeding, to the organizations, individuals or groups listed abor application or any subsequent licensure.	er penalty of perjury, that all of the information ad correct; and were procured without fraud or e all hospitals, institutions or organizations, my and professional associates (past, present, and e Medical Board of California or its successors records of psychiatric treatment and treatment a connection with this application; or any further al conduct, or physical or mental ability to safely f California or its successors to release, in any	
I UNDERSTAND THAT ANY OMISSION, FALSIFICATION, OR MISREPRESEN	TATION OF ANY ITEM OR RESPONSE ON	Applicant

THIS APPLICATION OR ANY ATTACHMENT HERETO IS A SUFFICIENT BASIS FOR DENYING OR REVOKING A LICENSE.

SIGN	LEGAL	NAME:
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NOTARY SECTION

SIGNATURE	OF APPLICANT:	

(SIGN LEGAL NAME IN THE PRESENCE OF NOTARY)

DATE:

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of	County of		Applicant Name &
Subscribed and sworn to (or affirmed) before me on this		(NOTARY SEAL)	Notary Date
day of by, proved to me on the bas appeared before me.	, 20,		Notary Signature & Seal O
SIG	GNATURE OF NOTARY PUBLIC		

EPST1E



Medical Board of California

Polysomnographic Technologist, Technician

or Trainee Work Experience Verification

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TYPE OF REGISTRATION

I am applying for regist	ration as a (Check	c One) Technician		Tr	ainee		
APPLICANT INFORM	ATION						
Legal Name							
Full Last Name		First Name		M	iddle Name	Suffix	Applicant Information
Address Of Record				I		I	-
Line 1 (40 characters per line, includi	ng spaces)		Line 2 (40 characte	ers per line, including	spaces)		0
City		State/Province	e Zip/Postal (Code	Country		
Telephone Numbers (Include area code)	Primary	Cel			Work		Phone
APPLICANT'S AUTHO I am applying for registra Medical Board of Califo of all information in your	ation as a Polysomn rnia requires this forr	m to be comple					
	LEGAL NAM	E (Print or Type)					
	SIGI	NATURE				DATE	0

SUPERVISING PHYSICIAN

The Supervising Physician must complete this section, and page two. An original signature and date is required on the second page. Attach additional pages if necessary to provide tasks performed by the applicant.

Supervising Physician				
Name			Phone	Applica Informati O
License Number			State of Licensure	Phone
Facility Name			·	
				0
Facility Address				
Street				0
City	State/Province	Zip/Postal Code	Country	



Trainee Work Experience Verification – Page 2 of 2 NOTE: The Supervising Physician must complete this page.

Applicant Name:

EVALUATION OF APPLICANT			
Dates of Employment			
Beginning Date (month/year)	Ending Date (month/year)		Dates of Employment
1. In your opinion, is this applicant able to practice poly	somnography safely?	Yes No	
If you answered "no" please provide a signed and dated that may be relevant.	d written explanation and any supporting	documentation	
VERIFICATION OF EXPERIENCE			
Trainee Applicant: In your opinion, is this applicant able	to practice polysomnography safely?	Yes No	
Technician Applicant: Has the applicant completed a a level of a polysomnographic t		Yes No	Verification of Exp O
Technologist Applicant: I supervised this applicant for this applicant has or has safely during that time.	not engaged in the practice of polysor	months and mnography	
DECLARATION I hereby declare under penalty of perjury under the laws of the State of Cali	fornia that the statements are true and correct.		

PRINTED NAME OF SUPERVISING PHYSICIAN

SIGNATURE OF SUPERVISING PHYSICIAN







California's Department of Justice (DOJ) provides statewide Live Scan, which is an electronic fingerprinting system with a subsequent automated background check and response. This system significantly expedites the fingerprint clearance process. APPLICANTS WHO RESIDE IN CALIFORNIA <u>MUST</u> COMPLETE THE ELECTRONIC LIVE SCAN FINGERPRINT PROCESS. Applicants residing outside of California may choose this option if visiting the state.

CALIFORNIA DOES NOT HAVE LIVE SCAN LINKS TO ANY OTHER STATES

The "Request For Live Scan Service" form (below) is required to have your fingerprints processed by Live Scan. This form must be completed in triplicate; therefore, THREE copies will be printed automatically when printing the form. Please ensure that all personal data (name, AKA's, date of birth, sex, height, weight, eye color, hair color, place of birth, Social Security Number or Individual Taxpayer Identification Number, California driver's license number and home address) is provided on *each of the three forms*. The last section of the form requires information from the fingerprint agency; please ensure this information is completed or the forms will be void. It is the responsibility of the applicant to ensure that the person scanning the fingerprints submits TWO digital prints, one for the DOJ and one for the FBI.

Applicants can access the website, <u>https://oag.ca.gov/fingerprints/locations</u> to obtain the names and location of approved fingerprint sites. Information pertaining to the need for appointments, hours of availability, and rolling fees are also available through that website. After completing the Live Scan process, applicants must submit ONE of the THREE forms with the Application for a Research Psychoanalyst or Student Research Psychoanalyst Registration to document the scanning of their fingerprints. The results of Live Scan fingerprints are generally received within five (5) days.

Applicants residing outside of California must submit two completed fingerprint cards or have your fingerprints completed at a California Live Scan facility. The results of paper fingerprint cards are generally received within six (6) weeks.

Whether you use Live Scan or paper fingerprint cards, you will be charged an administrative fee by the local agency that scans the prints or provides the inked impressions. This is in addition to the fingerprint processing fee that must be paid to the Medical Board of California with your application. For further information about the fingerprint clearance process and time frames, please visit the following website at:

https://oag.ca.gov/contact/faqs

Because applicants from the medical professions must be concerned with sanitary issues, they wash and scrub their hands so much that images of their fingerprints are often difficult to read. When the impressions are of such poor quality that they cannot be searched in DOJ's fingerprint data base, the fingerprints (whether Live Scan or paper card) are rejected and reprints will be necessary. Therefore, please advise the person processing your fingerprints that extra care needs to be given to ensure that clear impressions have been made.

Criminal Records Check from both the California Department of Justice and the Federal Bureau of Investigation must be received prior to the issuance of a Polysomnographic Registration in California.

NOTE: If you have ever been convicted of a misdemeanor or felony, the record of conviction will be reported to the Board as a result of your fingerprint inquiry.



Applicant Submission							
ORI (Code assigned by DOJ)			Authorized Applicant Type				
Type of License/Certification/Permi	it <u>OR</u> Working Title (Max	imum 30 characters ·	- if assigned by DOJ, use e	exact title assigned)			
Contributing Agency Information	n:						
Agency Authorized to Receive Crimina	Record Information		Mail Code (five-	digit code assigned by	DOJ)		
Street Address or P.O. Box			Contact Name (mandatory for all schoo	ol submissions)		
City	State ZI	Code	Contact Telepho	one Number			
Applicant Information:							
Last Name			First Name		Middle Initial	Suffix	
Other Name: (AKA or Alias)							
Last Name			First Name			Suffix	
Se Date of Birth	x 🔄 Male 🔄 Femal	e	Driver's License Billing	e Number			
Height Weight	Eye Color Ha	ir Color	Number	/ Billing Number)			
Place of Birth (State or Country)	Social Security Number		Misc. Number	dentification Number)			
Home			(outer te				
Address Street Address or P.O. Box			City		State ZIP (Code	
I have received and	read the included Pri	vacy Notice,	Privacy Act Sta	tement, and Applic	cant's Privacy Rights.		
	Applicant Signature				Date		
Your Number:			Level of Serv	vice: 🗌 DOJ	FBI		
OCA Number (Agency Id	lentifying Number)			ervice indicates FBI, th ecord information of the	e fingerprints will be used to e FBI.)	check the	
If re-submission, list original AT (Must provide proof of rejection		Number					
Employer (Additional response	for agencies specifie	ed by statute):				
Employer Name							
Street Address or P.O. Box				 Telephone Number	r (optional)		
City		State	ZIP Code	Mail Code (five digi	t code assigned by DOJ)		
Live Scan Transaction Complet	ted By:						
Name of Operator			Date				
Transmitting Agency	LSID		ATI Number		Amount Collected/Billed		



Applicant Submission							
ORI (Code assigned by DOJ)			Authorized Applicant Type				
Type of License/Certification/Permi	it <u>OR</u> Working Title (Max	imum 30 characters ·	- if assigned by DOJ, use e	exact title assigned)			
Contributing Agency Information	n:						
Agency Authorized to Receive Crimina	Record Information		Mail Code (five-	digit code assigned by	DOJ)		
Street Address or P.O. Box			Contact Name (mandatory for all schoo	ol submissions)		
City	State ZI	Code	Contact Telepho	one Number			
Applicant Information:							
Last Name			First Name		Middle Initial	Suffix	
Other Name: (AKA or Alias)							
Last Name			First Name			Suffix	
Se Date of Birth	x 🔄 Male 🔄 Femal	e	Driver's License Billing	e Number			
Height Weight	Eye Color Ha	ir Color	Number	/ Billing Number)			
Place of Birth (State or Country)	Social Security Number		Misc. Number	dentification Number)			
Home			(outer te				
Address Street Address or P.O. Box			City		State ZIP (Code	
I have received and	read the included Pri	vacy Notice,	Privacy Act Sta	tement, and Applic	cant's Privacy Rights.		
	Applicant Signature				Date		
Your Number:			Level of Serv	vice: 🗌 DOJ	FBI		
OCA Number (Agency Id	lentifying Number)			ervice indicates FBI, th ecord information of the	e fingerprints will be used to e FBI.)	check the	
If re-submission, list original AT (Must provide proof of rejection		Number					
Employer (Additional response	for agencies specifie	ed by statute):				
Employer Name							
Street Address or P.O. Box				 Telephone Number	r (optional)		
City		State	ZIP Code	Mail Code (five digi	t code assigned by DOJ)		
Live Scan Transaction Complet	ted By:						
Name of Operator			Date				
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Applicant Submission							
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Se Date of Birth	x 🔄 Male 🔄 Femal	e	Driver's License Billing	e Number			
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Name of Operator			Date				
Transmitting Agency	LSID		ATI Number		Amount Collected/Billed		



Privacy Notice

As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The California Justice Information Services (CJIS) Division in the Department of Justice (DOJ) collects the information requested on this form as authorized by Business and Professions Code sections 4600-4621, 7574-7574.16, 26050-26059, 11340-11346, and 22440-22449; Penal Code sections 11100-11112, and 11077.1; Health and Safety Code sections 1522, 1416.20-1416.50, 1569.10-1569.24, 1596.80-1596.879, 1725-1742, and 18050-18055; Family Code sections 8700-87200, 8800-8823, and 8900-8925; Financial Code sections 1300-1301, 22100-22112, 17200-17215, and 28122-28124; Education Code sections 44330-44355; Welfare and Institutions Code sections 9710-9719.5, 14043-14045, 4684-4689.8, and 16500-16523.1; and other various state statutes and regulations. The CJIS Division uses this information to process requests of authorized entities that want to obtain information as to the existence and content of a record of state or federal convictions to help determine suitability for employment, or volunteer work with children, elderly, or disabled; or for adoption or purposes of a license, certification, or permit. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The DOJ's general privacy policy is available at http://oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. Failure to provide all the necessary information will result in delays and/or the rejection of your request.

Access to Your Information. You may review the records maintained by the CJIS Division in the DOJ that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process applications pertaining to Live Scan service to help determine the suitability of a person applying for a license, employment, or a volunteer position working with children, the elderly, or the disabled, we may need to share the information you give us with authorized applicant agencies.

The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes.
- To another government agency as required by state or federal law.

Contact Information. For questions about this notice or access to your records, you may contact the Associate Governmental Program Analyst at the DOJ's Keeper of Records at (916) 210-3310, by email at <u>keeperofrecords@doj.ca.gov</u>, or by mail at:

Department of Justice Bureau of Criminal Information & Analysis Keeper of Records P.O. Box 903417 Sacramento, CA 94203-4170



Privacy Act Statement

Authority. The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose. Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses. During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental, or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.



Noncriminal Justice Applicant's Privacy Rights

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for employment or a license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below.

- You must be provided written notification that your fingerprints will be used to check the criminal history records of the FBI.
- You must be provided, and acknowledge receipt of, an adequate Privacy Act Statement when you submit your fingerprints and associated personal information. This Privacy Act Statement should explain the authority for collecting your information and how your information will be used, retained, and shared. 2
- If you have a criminal history record, the officials making a determination of your suitability for the employment, license, or other benefit must provide you the opportunity to complete or challenge the accuracy of the information in the record.
- The officials must advise you that the procedures for obtaining a change, correction, or update of your criminal history record are set forth at Title 28, Code of Federal Regulations (CFR), Section 16.34.
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the employment, license, or other benefit based on information in the criminal history record. 3

You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council. 4

If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at https://www.fbi.gov/services/cjis/identity-history-summary-checks.

If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency. (See 28 CFR 16.30 through 16.34.) You can find additional information on the FBI website at https://www.fbi.gov/about-us/cjis/background-checks.

¹ Written notification includes electronic notification, but excludes oral notification

² https://www.fbi.gov/services/cjis/compact-council/privacy-act-statement

³ See 28 CFR 50.12(b)

⁴ See U.S.C. 552a(b); 28 U.S.C. 534(b); 34 U.S.C. § 40316 (formerly cited as 42 U.S.C. § 14616), Article IV(c)