Outpatient Surgery Settings Program



Medical Board of California

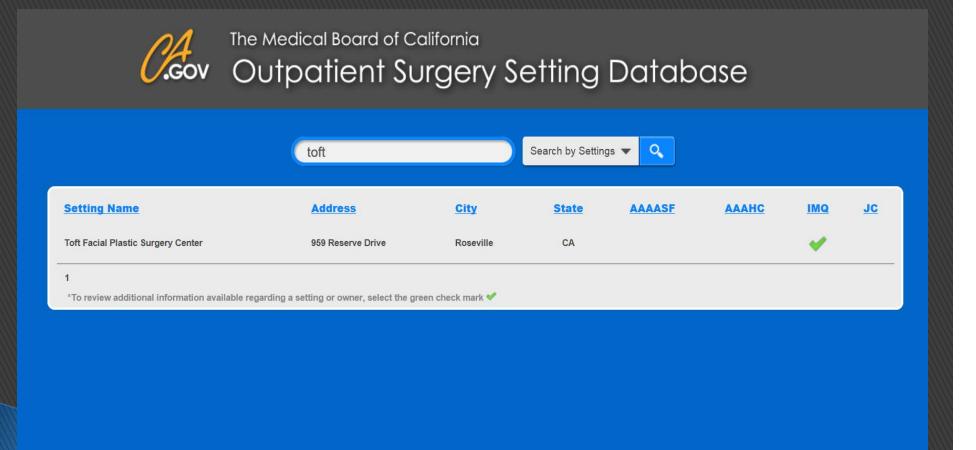
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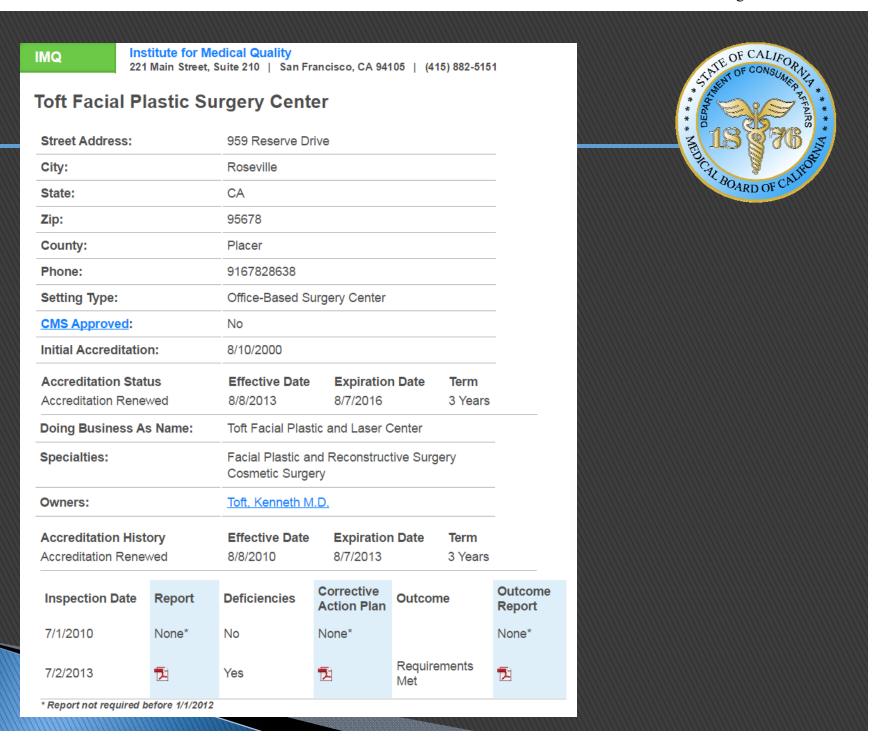
Agenda Item 9

STATE OF CALIFOR

MEDICAL BOARD OF

Outpatient Surgery Setting Database





INSPECTION REPORT. CORRECTIVE ACTION PLAN NEEDED. 7-10-13



180 Howard Street ● Suite 210 ● San Francisco ● CA 94105 **T** 415.882.5151 **F** 415.882.5149 **W** imq.org

ACCREDITATION REPORT AND CORRECTIVE ACTION PLAN

July 12, 2013

FACILITY #10094

Toft Facial Plastic Surgery ATTN: Erin McKinney 959 Reserve Drive Roseville, CA 95678

DATE OF SURVEY

July 02, 2013

ACCREDITATION DECISION

Accreditation 3 Years with Interim Reports August 08, 2013 - August 07, 2016

Important Notice

When reviewing this document, please reference the enclosed cover letter. The cover letter details other important requirements of your accreditation.

The *IMQ Accreditation Standards for Ambulatory Facilities* were met with the exception of the following standards where corrective action is required. Please note that CONSULTATIVE COMMENTS are suggested options for your consideration in meeting the standard.

CHAPTER TWO-PERSONNEL AND CREDENTIALING

2.1.3 Employee policies and procedures address: a) Hiring and dismissal of staff;b) Performance expectations and competency, c) Training; d) Expected working hours;

e) Sexual harassment prohibitionsf) Patient privacy and confidentiality; and g) Management of the impaired practitioner.

PARTIALLY MET

FINDING: No policy found for G)

CORRECTIVE ACTION REQUIRED: Submit policy and procedure for impaired practitioner (G). Due 8/12/2013 interim report.

TITLE OF PERSON RESPONSIBLE:



Inspection Reports

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Accrediting Agency	Medical Board of California		
Every outpatient setting shall be inspected no less often than	May inspect the setting as often as necessary and shall ensure		
three years	the accrediting agency conducts the required inspection		
If the results of the inspection conclude that the setting is out	The accrediting agency must report within 24 hours if the		
of compliance, they must issue a deficiency report and may 1)	setting has been issued a reprimand, been placed on		
require correction; 2) issue a reprimand; 3) place the setting on probation; or 4) suspend or revoke the accreditation	probation, or had the accreditation suspended or revoked		
Shall inspect the setting within 24 hours upon receipt of a	Shall receive the findings of the inspection within five		
complaint from the Board that the setting poses an immediate	business days		
risk to the public			
Shall investigate any complaint received from the Board within	Shall receive the findings of the investigation within 30 days		
30 days			
	Reports on the results of any inspection shall be maintained		
	on file, and final inspection reports shall be public record		
	open to public inspection		
	Shall investigate all complaints concerning a violation of this		
	chapter and, where appropriate, through or in conjunction		
	with a DA may bring action to enjoin the setting's operation		

Inspection Reports



From January 1, 2012 to present: 1,792 inspection reports

From January 1, 2012 to present: 853 corrective action plans

Adverse Event Reports



Required for:

- Surgical event (ex. performed surgery on wrong body part or wrong patient, retention of foreign object)
- Product/device event (ex. patient death/serious disability associated with a contaminated drug or device, patient death/serious disability associated with intravascular air embolism)
- Patient protection event (ex. patient death/serious disability associated with patient disappearance, patient suicide or attempted suicide)

Adverse Event Reports



Required for:

- Care management event (ex. patient death/serious disability associated with medication error, patient death/serious disability associated with hemolytic reaction due to administration of ABO-incompatible blood)
- Environmental event (ex. patient death/serious disability associated with a burn, patient death associated with a fall)
- Criminal event (ex. sexual assault on a patient, care provided by someone impersonating a physician, nurse, pharmacist, or other health care provider)

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Adverse Event Report Review Process

Event occurs as listed in Health and Safety Code Section 1279.1 and requires reporting to the Board pursuant to Business and Professions Code section 2216.3





Note: Under the leveral health insurance Portability and Accountability Ark (1 mir-Ar) the interface and of California is deemed a "health oversight agency" (see 45 CFR 201). The disclosure of patient identification information is required for official use, including investigation and possible administrative proceedings regarding any violations of the laws of the State of California. The patient identifying information will not be released pursuant to either a Public Records Act or Information Practice Act request.

Adverse Event Reports



FY 14/15 – 104 adverse event reports received

FY 15/16 – 111 adverse event reports received

896 accredited facilities in a current status

Adverse Event Report Review Process for the Setting



Adverse Event Report is received at the Board and given to the Licensing Program

> Forwarded to Accreditation Agency for inspection

Is the facility accredited by an agency approved by the Board?



Forwarded to enforcement for action based upon no accreditation or forwarded to appropriate agency

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Adverse Event Report Review **Process for the Setting**

Insp action is taken Posted on website If necessary, ensure corrective action plan is provided and outcome report posted If information indicates a physician departed from standard of care - refer to

C	ectio	n Su	irvey	resul	ts

Reviewed to ensure appropriate

enforcement

Toft Facial	Plastic	Surgery	Contor
TOIL Facial	Flastic	Surgery	Center

Institute for Medical Quality

Street Address:		959 Reserve Drive				
City:		Roseville				_
State:		CA	CA			
Zip:		95678	95678			
County:		Placer				
Phone:		9167828638				
Setting Type:	Setting Type:		Office-Based Surgery Center			
CMS Approved:		No				
Initial Accreditation:		8/10/2000	8/10/2000			
Accreditation Status		Effective Date Expiration Date Term				
Accreditation Renewed		8/8/2013 8/7/2016 3 Years				
Doing Business As Name:		Toft Facial Plastic and Laser Center				
Specialties:		Facial Plastic and Reconstructive Surgery Cosmetic Surgery				
Owners:		Toft, Kenneth M.D.				
Accreditation History Accreditation Renewed		Effective Date 8/8/2010	Expiration 8/7/2013	n Date Term 3 Years		
Inspection Date	Report	Deficiencies	Corrective Action Plan	Outcom	ie	Outcome Report
7/1/2010	None*	No	None*			None*
7/2/2013	Ħ	Yes	1	Require Met	ments	14
* Report not required before 1/1/2012						

221 Main Street, Suite 210 | San Francisco, CA 94105 | (415) 882-515



Adverse Event Report Review Process for the Physician



- The adverse event report and any inspection report are used as "a report of a possible violation"
- Matter referred to the Complaint Unit and the enforcement process begins

