

MEDICAL BOARD STAFF REPORT

DATE REPORT ISSUED: July 11, 2017
 ATTENTION: Members, Medical Board of California
 SUBJECT: Administrative Summary
 STAFF CONTACT: Kimberly Kirchmeyer, Executive Director

REQUESTED ACTION:

This report is intended to provide the Members with an update on the staffing, budget, and other administrative functions/projects occurring at the Medical Board of California (Board). No action is needed at this time.

Administrative Updates

Board staff has had several meetings with interested parties regarding the Board.

- Board staff held teleconference meetings with the Board's President and Vice President to discuss pending projects, sunset review process, and the Board agendas.
- Regular meetings were held with Chief Deputy Director Jeffrey Mason and Deputy Director Christine Lally of the Department of Consumer Affairs (DCA) and other DCA executive staff.
- Regular meetings continue to be held with Gloria Castro, Senior Assistant Attorney General.
- Meetings were held with David Chriss, Chief of Enforcement, and Kathleen Nicholls, Deputy Chief of Enforcement, Division of Investigation, Health Quality Investigation Unit (HQIU), regarding the Board's investigations.
- Board staff continues to meet with DCA and the Department of Justice (DOJ) to discuss the Controlled Substance Utilization Review and Evaluation System (CURES) database.
- Board staff attended meetings with the Psychotropic Medication Implementation (PMI) Workgroup, which is a workgroup to improve the safe and appropriate use of psychotropic medication for children and youth in foster care.
- Board staff met with legislative offices to discuss the Board's sunset legislation, provide updates, discuss other pending legislation, and provide education on the Board's functions.
- Board staff met with Graduate Medical Education Directors from the UC system to discuss postgraduate training and other licensing related issues.
- Board staff attended webinars and teleconferences with staff from the Federation of State Medical Boards and the International Association of Medical Regulatory Authorities.
- Board staff provided a presentation at the Physicians' Well-Being Conference on the Board's enforcement process and the physician health and wellness program implementation process.
- Board staff provided a presentation at the California Association of Medical Staff Services Annual Education Forum.
- Board Secretary and Board staff provided a presentation at Loma Linda University on the Board's enforcement process.
- Board staff and representatives from the Attorney General's Office and HQIU met with the Enforcement Committee Chair to discuss the Board's enforcement process and to identify improvements.
- Board staff provided a presentation to the California Conference of Local Health Officers on the Board's enforcement process.
- Board staff continues to meet with representatives from the CDPH, the Board of Pharmacy, Dental Board, the DHCS, DOJ, the Emergency Medical Services Authority, DCA, and other interested parties regarding prescription opioid misuse and overdose. The group is identifying ways all the entities can work together to educate prescribers, dispensers, and patients regarding this issue of serious concern.

Staffing Update

The Board has 163.6 permanent full-time positions (in addition to temporary staff). The Board is at an 11% vacancy rate which equates to 18 vacant positions. This is higher than the 9% vacancy rate that was

provided in the last administrative summary. However, there are three individuals who are going through the eligibility process, which would reduce the vacancy rate to 9%. Management will be working to fill these positions as soon as possible.

Budget Update

The Board's budget documents are attached, beginning on page BRD 20A-4 and continuing to page BRD 20A-16. BRD 20A-4 contains an excerpt of the budget that was signed by the Governor, which specifically identifies the Board's budget for FY 17-18. Included in the FY 17-18 budget are four budget change proposals (BCP) that were approved, which impact the Board. The first BCP is to add two additional enforcement staff in the Central Complaint Unit. The funding for these positions is \$187,000 for FY 17-18 and \$161,000 in going fiscal years. The second BCP is to implement the Board's Physician Health and Wellness Program pursuant to SB 1177 (Galgiani, Chapter 591), which was approved for \$114,000 in FY 17-18 and \$356,000 in ongoing fiscal years. The third and fourth BCPs were submitted by the DCA and were for \$132,000 for the DCA's SOLID unit and \$2.235 million for the BreZE database. The BreZE BCP also included funding for FY 18-19 and FY 19-20.

BRD 20A-5 is the Board's fund condition, which identifies the Board's fund reserve at 4.8 months at the end of FY 16-17. The Board is awaiting confirmation that its \$6 million outstanding loan was repaid in FY 16-17.

With the full repayment of the outstanding loans, which are scheduled but have not taken place, and taking into consideration future anticipated costs, the Board's fund reserve is scheduled to be within its mandated level in FY 18-19. Board staff will be closely monitoring the Board's budget to determine whether future changes are needed. As indicated by both fund conditions, it would not be prudent at this time to consider any reduction in licensing fees as previously recommended by the Bureau of State Audits because the Board anticipates being within its mandatory level at the end of FY 18-19. In addition, the Board has future costs that could impact the Board's budget should they be approved.

The Board's overall actual expenditures for FY 16-17 through May 31, 2017, can be found on page BRD 20A-7. Pages BRD 20A-8 to 20A-12 provide the budget report, specifically for licensing, enforcement, the HQIU, and the AG expenditures. Page BRD 20A-16 provides the Board Members' expenditure report as of July 11, 2017.

BreZE/License Lookup Update

Board staff continues to submit requests for changes/fixes to DCA for the BreZE system. The DCA is currently working on a new license lookup system that will be more user-friendly. Board staff is assisting in the development of this system. In addition, Board staff will begin testing for this new system in July. This new system is scheduled to be released in September 2017.

Controlled Substance Utilization Review and Evaluation System (CURES) Update

As of June 15, 2017, there were 91,407 physicians registered in the CURES 2.0 system. In addition, between May 15 and June 15, 2017, physicians requested 264,673 patient activity reports from the CURES 2.0 system.

Board staff are working on a pamphlet for physicians regarding the use of CURES. This would provide information on how to use the CURES 2.0 system and what to do with the information once a CURES query has been completed. Board staff will work with subject matter experts and other stakeholders to provide this educational document.

Prescribing Psychotropic Medications to Foster Children

The Board continues to have telephone conferences with staff from the DSS and the counties to identify a means to obtain the patient medical records in order for the Board to investigate the physician who may be inappropriately prescribing to foster care children. Without the authorization for the medical records, the Board cannot move forward with investigating these matters.

Update on the Federation of State Medical Boards

On July 6, 2017, the Federation of State Medical Boards (FSMB) released a comprehensive summary and recommendations from a summit meeting it hosted to discuss how diverse organizations compile and share information about health care practitioners – including unprofessional conduct and disciplinary issues – and how such information could be better utilized to protect the public. A copy of that report can be found on pages BRD 20G-1 to 15.

End of Life Option Act

On June 27, 2017, The California Department of Public Health (CDPH) released the 2016 End of Life Option Act (Act) first Annual Report. The Act required CDPH to provide annual reports, including information on the number of prescriptions written and the number of known individuals who died using aid-in-dying drugs. Additionally, the report includes demographic information on these individuals, including age and underlying illness. A copy of that report can be found on pages BRD 20A 17-23.

Item

1111-001-0755—For support of Medical Board of California,
payable from the Licensed Midwifery Fund 13,000

Schedule:

1) 1150038-Licensed Midwifery Program 13,000

Provisions:

1. The amount appropriated in this item may include revenues derived from the assessment of fines and penalties imposed as specified in Section 13332.18 of the Government Code.

1111-001-0757—For support of California Architects Board,
payable from the California Architects Board-Landscape
Architects Fund
986,000

Schedule:

1) 1105020-Landscape Architects Technical Committee .. 987,000
2) Reimbursements to 1105020-Landscape Architects
Technical Committee -1,000

Provisions:

1. The amount appropriated in this item may include revenues derived from the assessment of fines and penalties imposed as specified in Section 13332.18 of the Government Code.

1111-001-0758—For support of Medical Board of California
payable from the Contingent Fund of the Medical Board
of California 60,399,000

Schedule:

1) 1150019-Medical Board of California—Support 61,621,000
2) 1150013-Medical Board of California—Distributed -838,000
(3) Reimbursements to 1150019-Medical Board of
California—Support -384,000

Provisions:

1. The amount appropriated in this item may include revenues derived from the assessment of fines and penalties imposed as specified in Section 13332.18 of the Government Code.

**0758 - Medical Board
Analysis of Fund Condition**

(Dollars in Thousands)

Fund Condition with General Fund Loan Repayments

	ACTUAL 2015-16	CY 2016-17	BY 2017-18	BY+1 2018-19	BY+2 2019-20
BEGINNING BALANCE	\$ 28,087	\$ 27,001	\$ 25,438	\$ 28,000	\$ 20,173
Prior Year Adjustment	\$ 282	\$ -	\$ -	\$ -	\$ -
Adjusted Beginning Balance	\$ 28,369	\$ 27,001	\$ 25,438	\$ 28,000	\$ 20,173
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS					
Revenues:					
125600 Other regulatory fees	\$ 385	\$ 388	\$ 388	\$ 388	\$ 388
125700 Other regulatory licenses and permits	\$ 7,388	\$ 7,194	\$ 7,194	\$ 7,194	\$ 7,194
125800 Renewal fees	\$ 48,728	\$ 47,828	\$ 48,799	\$ 48,799	\$ 48,799
125900 Delinquent fees	\$ 124	\$ 136	\$ 136	\$ 136	\$ 136
141200 Sales of documents	\$ 25	\$ 10	\$ 10	\$ 10	\$ 10
142500 Miscellaneous services to the public	\$ -	\$ -	\$ -	\$ -	\$ -
150300 Income from surplus money investments	\$ 139	\$ 101	\$ 112	\$ 76	\$ 76
150500 Interest from interfund loans	\$ -	\$ 1,234	\$ 226	\$ -	\$ -
160400 Sale of fixed assets	\$ -	\$ -	\$ -	\$ -	\$ -
160800 Escheat of unclaimed property	\$ -	\$ -	\$ -	\$ -	\$ -
161000 Escheat of unclaimed checks and warrants	\$ 24	\$ -	\$ -	\$ -	\$ -
161400 Miscellaneous revenues	\$ 3	\$ -	\$ -	\$ -	\$ -
164300 Penalty assessments	\$ -	\$ -	\$ -	\$ -	\$ -
Totals, Revenues	\$ 56,816	\$ 56,891	\$ 56,865	\$ 56,603	\$ 56,603
Transfers and Other Adjustments:					
Proposed GF Loan Repayment (Budget Act of 2008)	\$ -	\$ 6,000	\$ -	\$ -	\$ -
Proposed GF Loan Repayment (Budget Act of 2011)	\$ -	\$ -	\$ 9,000	\$ -	\$ -
TOTALS, REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	\$ 56,816	\$ 62,891	\$ 65,865	\$ 56,603	\$ 56,603
TOTAL RESOURCES	\$ 85,185	\$ 89,892	\$ 91,303	\$ 84,603	\$ 76,776
EXPENDITURES AND EXPENDITURE ADJUSTMENTS					
Expenditures:					
1111 Program Expenditures (State Operations)	\$ 58,077	\$ 59,024	\$ 56,882	\$ 57,779	\$ 58,933
<u>2016-17 and Ongoing Approved Costs</u>					
BreEZe Costs	\$ -	\$ 2,403	\$ -	\$ -	\$ -
Staff Augmentation	\$ -	\$ 113	\$ 105	\$ 105	\$ 105
Expert Reviewer	\$ -	\$ 206	\$ 206	\$ 206	\$ 206
Registered Dispensing Opticians	\$ -	\$ (39)	\$ (39)	\$ (39)	\$ (39)
Department of Justice	\$ -	\$ 577	\$ 577	\$ 577	\$ 577
<u>Approved Future Costs</u>					
Staff Augmentation - Enforcement	\$ -	\$ -	\$ 187	\$ 161	\$ 161
Implement SB 1177	\$ -	\$ -	\$ 114	\$ 356	\$ 356
BreEZe Costs	\$ -	\$ -	\$ 2,235	\$ 2,342	\$ 1,188
SOLID	\$ -	\$ -	\$ 132	\$ 120	\$ 120
1111 Program Expenditures (State Operations) Subtotal	\$ 58,077	\$ 62,284	\$ 60,399	\$ 61,607	\$ 61,607
Expenditure Adjustments:					
0840 State Controller (State Operations)	\$ -	\$ -	\$ -	\$ -	\$ -
8880 Financial Information System for California (State Operations)	\$ 107	\$ 77	\$ 81	\$ -	\$ -
9900 Statewide Pro Rata	\$ -	\$ 2,993	\$ 3,723	\$ 3,723	\$ 3,723
TOTALS, EXPENDITURES AND EXPENDITURE ADJUSTMENTS	\$ 58,184	\$ 65,354	\$ 64,203	\$ 65,330	\$ 65,330
Unscheduled Reimbursements	\$ -	\$ 900	\$ 900	\$ 900	\$ 900
FUND BALANCE					
Reserve for economic uncertainties	\$ 27,001	\$ 25,438	\$ 28,000	\$ 20,173	\$ 12,346
Months in Reserve	5.0	4.8	5.1	3.7	2.3

NOTES:

- A. Assumes workload and revenue projections are realized for FY 16/17 and beyond.
- B. Interest on fund estimated at .382%.
- C. \$6 million was loaned to the General Fund in FY 08/09 and \$9 million was loaned to the General Fund by the Board in FY 11/12.
\$6 million will be repaid in FY 16/17 and \$9 million in FY 17/18. If partial payment is made, the remainder will be paid when the fund is nearing its minimum mandated level.
- D. The Financial Information System for California is a direct assessment which reduces the fund balance but is not reflected in the Medical Board of California's state operational budget.
- E. Unscheduled reimbursements result in a net increase in the fund balance.

**0758 - Medical Board
Analysis of Fund Condition**

(Dollars in Thousands)

Fund Condition without General Fund Loan Repayments

	ACTUAL 2015-16	CY 2016-17	BY 2017-18	BY+1 2018-19	BY+2 2019-20
BEGINNING BALANCE	\$ 28,087	\$ 27,001	\$ 18,204	\$ 11,540	\$ 3,713
Prior Year Adjustment	\$ 282	\$ -	\$ -	\$ -	\$ -
Adjusted Beginning Balance	\$ 28,369	\$ 27,001	\$ 18,204	\$ 11,540	\$ 3,713
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS					
Revenues:					
125600 Other regulatory fees	\$ 385	\$ 388	\$ 388	\$ 388	\$ 388
125700 Other regulatory licenses and permits	\$ 7,388	\$ 7,194	\$ 7,194	\$ 7,194	\$ 7,194
125800 Renewal fees	\$ 48,728	\$ 47,828	\$ 48,799	\$ 48,799	\$ 48,799
125900 Delinquent fees	\$ 124	\$ 136	\$ 136	\$ 136	\$ 136
141200 Sales of documents	\$ 25	\$ 10	\$ 10	\$ 10	\$ 10
142500 Miscellaneous services to the public	\$ -	\$ -	\$ -	\$ -	\$ -
150300 Income from surplus money investments	\$ 139	\$ 101	\$ 112	\$ 76	\$ 76
150500 Interest from interfund loans	\$ -	\$ -	\$ -	\$ -	\$ -
160400 Sale of fixed assets	\$ -	\$ -	\$ -	\$ -	\$ -
160800 Escheat of unclaimed property	\$ -	\$ -	\$ -	\$ -	\$ -
161000 Escheat of unclaimed checks and warrants	\$ 24	\$ -	\$ -	\$ -	\$ -
161400 Miscellaneous revenues	\$ 3	\$ -	\$ -	\$ -	\$ -
164300 Penalty assessments	\$ -	\$ -	\$ -	\$ -	\$ -
Totals, Revenues	\$ 56,816	\$ 55,657	\$ 56,639	\$ 56,603	\$ 56,603
Transfers and Other Adjustments:					
Proposed GF Loan Repayment (Budget Act of 2008)	\$ -	\$ -	\$ -	\$ -	\$ -
Proposed GF Loan Repayment (Budget Act of 2011)	\$ -	\$ -	\$ -	\$ -	\$ -
TOTALS, REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	\$ 56,816	\$ 55,657	\$ 56,639	\$ 56,603	\$ 56,603
TOTAL RESOURCES	\$ 85,185	\$ 82,658	\$ 74,843	\$ 68,143	\$ 60,316
EXPENDITURES AND EXPENDITURE ADJUSTMENTS					
Expenditures:					
1111 Program Expenditures (State Operations)	\$ 58,077	\$ 59,024	\$ 56,882	\$ 57,779	\$ 58,933
<u>2016-17 and Ongoing Approved Costs</u>					
BreEZe Costs	\$ -	\$ 2,403	\$ -	\$ -	\$ -
Staff Augmentation	\$ -	\$ 113	\$ 105	\$ 105	\$ 105
Expert Reviewer	\$ -	\$ 206	\$ 206	\$ 206	\$ 206
Registered Dispensing Opticians	\$ -	\$ (39)	\$ (39)	\$ (39)	\$ (39)
Department of Justice	\$ -	\$ 577	\$ 577	\$ 577	\$ 577
<u>Approved Future Costs</u>					
Staff Augmentation - Enforcement	\$ -	\$ -	\$ 187	\$ 161	\$ 161
Implement SB 1177	\$ -	\$ -	\$ 114	\$ 356	\$ 356
BreEZe Costs	\$ -	\$ -	\$ 2,235	\$ 2,342	\$ 1,188
SOLID	\$ -	\$ -	\$ 132	\$ 120	\$ 120
1111 Program Expenditures (State Operations) Subtotal	\$ 58,077	\$ 62,284	\$ 60,399	\$ 61,607	\$ 61,607
Expenditure Adjustments:					
0840 State Controller (State Operations)	\$ -	\$ -	\$ -	\$ -	\$ -
8880 Financial Information System for California (State Operations)	\$ 107	\$ 77	\$ 81	\$ -	\$ -
9900 Statewide Pro Rata	\$ -	\$ 2,993	\$ 3,723	\$ 3,723	\$ 3,723
TOTALS, EXPENDITURES AND EXPENDITURE ADJUSTMENTS	\$ 58,184	\$ 65,354	\$ 64,203	\$ 65,330	\$ 65,330
Unscheduled Reimbursements	\$ -	\$ 900	\$ 900	\$ 900	\$ 900
FUND BALANCE					
Reserve for economic uncertainties	\$ 27,001	\$ 18,204	\$ 11,540	\$ 3,713	\$ (4,114)
Months in Reserve	5.0	3.4	2.1	0.7	-0.8

NOTES:

- A. Assumes workload and revenue projections are realized for FY 16/17 and beyond.
- B. Interest on fund estimated at .382%.
- C. \$6 million was loaned to the General Fund in FY 08/09 and \$9 million was loaned to the General Fund by the Board in FY 11/12.
\$6 million will be repaid in FY 16/17 and \$9 million in FY 17/18. If partial payment is made, the remainder will be paid when the fund is nearing its minimum mandated level.
- D. The Financial Information System for California is a direct assessment which reduces the fund balance but is not reflected in the Medical Board of California's state operational budget.
- E. Unscheduled reimbursements result in a net increase in the fund balance.

Medical Board of California
Fiscal Year 2016-17
Budget Expenditure Report
(As of May 31, 2017)
(92% of fiscal year completed)

OBJECT DESCRIPTION	BUDGET ALLOTMENT	EXPENDITURES / ENCUMBRANCES	PERCENT OF BUDGET EXPEND / ENCUMB	UNENCUMBERED BALANCE
PERSONAL SERVICES				
Salary & Wages (Staff & Exec Director)	10,007,000	8,078,209	80.7	1,928,791
Board Members	32,000	100,200	313.1	(68,200)
Temp Help	138,500	445,970	322.0	(307,470)
BL 12-03 Blanket	617,500	816,342	132.2	(198,842)
Overtime	44,000	44,275	100.6	(275)
Staff Benefits	5,476,000	4,807,816	87.8	668,184
TOTALS, PERS SERVICES	16,315,000	14,292,812	87.6	2,022,188
OPERATING EXP & EQUIP				
General Expense	79,000	248,548	314.6	(169,548)
Fingerprint Reports	333,000	327,275	98.3	5,725
Printing	196,000	274,387	140.0	(78,387)
Communications	107,000	104,747	97.9	2,254
Postage	151,000	90,425	59.9	60,575
Insurance	2,000	8,724	436.2	(6,724)
Travel In-State	131,000	137,015	104.6	(6,015)
Travel Out-of-State	0	7,150	0.0	(7,150)
Training	57,000	4,436	7.8	52,564
Facilities Operation (Rent)	932,000	1,039,864	111.6	(107,864)
Consult/Prof Services	1,290,500	1,159,941	89.9	130,559
Departmental Prorata	6,416,000	5,735,598	89.4	680,402
HQIU	18,395,000	15,478,402	84.1	2,916,598
Consolidated Data Center	650,000	122,773	18.9	527,227
Data Processing	117,000	126,000	107.7	(9,000)
Major Equipment	163,000	38,483	23.6	124,517
Other Items of Expense	0	0	0.0	0
Vehicle Operations	32,000	16,246	50.8	15,755
Attorney General Services	13,924,000	13,244,242	95.1	679,758
Office of Administrative Hearings	1,750,000	1,547,183	88.4	202,817
Evidence/Witness	2,099,000	1,768,716	84.3	330,284
Court Reporter Services	251,500	110,211	43.8	141,289
Minor Equipment	115,000	205,833	179.0	(90,833)
Special Items of Expense	0	0	0.0	0
TOTALS, OE&E	47,191,000	41,796,199	88.6	5,394,801
TOTALS, EXPENDITURES	63,506,000	56,089,011	88.3	7,416,989
Scheduled Reimbursements	(384,000)	(360,666)	93.9	(23,334)
Distributed Costs	(838,000)	(417,795)	49.9	(420,205)
TOTAL PROGRAM EXPEND (STATE OPERATIONS)	62,284,000	55,310,550	88.8	6,973,449
Fi\$Cal Expenditures	77,000	70,583	91.7	6,417
Statewide Pro Rata Expenditures	2,993,000	2,743,583	91.7	249,417
TOTAL WITH Fi\$Cal AND PRO RATA	65,354,000	58,124,716	88.9	7,229,283
Unscheduled Reimbursements*		(1,738,330)		56,386,386

* no authority to spend

Medical Board of California
Fiscal Year 2016-17
Budget Expenditure Report - Licensing
(As of May 31, 2017)
 (92% of fiscal year completed)

OBJECT DESCRIPTION	BUDGET ALLOTMENT	EXPENDITURES / ENCUMBRANCES	PERCENT OF	
			BUDGET EXPEND / ENCUMB	UNENCUMBERED BALANCE
PERSONAL SERVICES				
Salary & Wages (Staff & Exec Director)	2,882,400	2,326,795	80.7	555,605
Board Members	0	0	0.0	0
Temp Help	37,200	301,681	811.0	(264,481)
BL 12-03 Blanket	110,000	148,591	135.1	(38,591)
Overtime	14,400	12,016	83.4	2,384
Staff Benefits	1,528,900	1,419,647	92.9	109,253
TOTALS, PERS SERVICES	4,572,900	4,208,730	92.0	364,170
OPERATING EXP & EQUIP				
General Expense	7,300	26,881	368.2	(19,581)
Fingerprint Reports	333,000	326,349	98.0	6,651
Printing	73,000	103,721	142.1	(30,721)
Communications	19,100	18,412	96.4	688
Postage	82,700	44,010	53.2	38,690
Insurance	0	91	0.0	(91)
Travel In-State	18,000	17,522	97.3	478
Travel Out-of-State	0	0	0.0	0
Training	18,900	303	1.6	18,597
Facilities Operation (Rent)	305,400	328,340	107.5	(22,940)
Consult/Prof Services	1,249,400	1,033,051	82.7	216,349
Departmental Prorata	1,243,300	1,097,217	88.3	146,083
HQIU	0	0	0.0	0
Consolidated Data Center	0	0	0.0	0
Data Processing	3,900	10,630	272.6	(6,730)
Major Equipment	0	0	0.0	0
Other Items of Expense	0	0	0.0	0
Vehicle Operations	0	0	0.0	0
Attorney General Services	39,500	31,502	79.8	7,998
Office of Administrative Hearings	0	0	0.0	0
Evidence/Witness	0	881	0.0	(881)
Court Reporter Services	0	0	0.0	0
Minor Equipment	0	223	0.0	(223)
Special Items of Expense	0	0	0.0	0
TOTALS, OE&E	3,393,500	3,039,133	89.6	354,367
TOTALS, EXPENDITURES	7,966,400	7,247,863	91.0	718,537
Scheduled Reimbursements	(384,000)	(360,666)	93.9	(23,334)
Distributed Costs	(28,000)	(38,210)	136.5	10,210
TOTAL PROGRAM EXPEND (STATE OPERATIONS)	7,554,400	6,848,987	90.7	705,413
Fi\$Cal Expenditures	14,732	13,505	91.7	1,228
Statewide Pro Rata Expenditures	572,646	524,925	91.7	47,720
TOTAL WITH Fi\$Cal AND PRO RATA	8,141,778	7,387,417	90.7	754,361
Unscheduled Reimbursements*		<u>0</u>		
		7,387,417		

* no authority to spend

Medical Board of California
Fiscal Year 2016-17
Budget Expenditure Report - Enforcement
(As of May 31, 2017)
(92% of fiscal year completed)

OBJECT DESCRIPTION	BUDGET ALLOTMENT	EXPENDITURES / ENCUMBRANCES	PERCENT OF BUDGET EXPEND / ENCUMB	UNENCUMBERED BALANCE
PERSONAL SERVICES				
Salary & Wages (Staff & Exec Director)	2,853,000	2,322,936	81.4	530,064
Board Members	0	0	0.0	0
Temp Help	46,100	44,082	95.6	2,018
BL 12-03 Blanket	489,600	642,052	131.1	(152,452)
Overtime	12,300	6,314	51.3	5,986
Staff Benefits	1,720,500	1,550,316	90.1	170,184
TOTALS, PERS SERVICES	5,121,500	4,565,700	89.1	555,800
OPERATING EXP & EQUIP				
General Expense	31,500	81,262	258.0	(49,762)
Fingerprint Reports	0	926	0.0	(926)
Printing	50,000	38,351	76.7	11,649
Communications	34,800	38,674	111.1	(3,874)
Postage	65,100	44,647	68.6	20,453
Insurance	0	75	0.0	(75)
Travel In-State	43,300	56,556	130.6	(13,256)
Travel Out-of-State	0	0	0.0	0
Training	15,000	394	2.6	14,606
Facilities Operation (Rent)	250,000	294,622	117.8	(44,622)
Consult/Prof Services	12,300	118,312	961.9	(106,012)
Departmental Prorata	3,762,400	3,382,841	89.9	379,559
HQIU	18,395,000	15,478,402	84.1	2,916,598
Consolidated Data Center	0	0	0.0	0
Data Processing	4,200	11,693	278.4	(7,493)
Major Equipment	0	0	0.0	0
Other Items of Expense	0	0	0.0	0
Vehicle Operations	0	0	0.0	0
Attorney General Services	13,884,500	13,212,741	95.2	671,759
Office of Administrative Hearings	1,750,000	1,547,183	88.4	202,817
Evidence/Witness	1,967,800	1,631,206	82.9	336,594
Court Reporter Services	251,500	110,211	43.8	141,289
Minor Equipment	0	1,475	0.0	(1,475)
Special Items of Expense	0	0	0.0	0
TOTALS, OE&E	40,517,400	36,049,571	89.0	4,467,829
TOTALS, EXPENDITURES	45,638,900	40,615,271	89.0	5,023,629
Scheduled Reimbursements	0	0	0.0	0
Distributed Costs	(800,100)	(372,923)	46.6	(427,177)
TOTAL PROGRAM EXPEND (STATE OPERATIONS)	44,838,800	40,242,348	89.7	4,596,452
Fi\$Cal Expenditures	45,416	41,631	91.7	3,785
Statewide Pro Rata Expenditures	1,765,314	1,618,204	91.7	147,109
TOTAL WITH Fi\$Cal AND PRO RATA	46,649,530	41,902,183	89.8	4,747,346
Unscheduled Reimbursements*		(149,220)		
		41,752,963		

* no authority to spend

Health Quality Investigation Unit (HQIU)
Fiscal Year 2016-17
Budget Expenditure Report
(As of May 31, 2017)
 (92% of fiscal year completed)

OBJECT DESCRIPTION	BUDGET ALLOTMENT	EXPENDITURES / ENCUMBRANCES	PERCENT OF BUDGET EXPEND / ENCUMB	UNENCUMBERED BALANCE
PERSONAL SERVICES				
Salary & Wages	8,986,000	5,918,631	65.9	3,067,369
Temp Help	1,074,000	1,980,804	184.4	(906,804)
Overtime	6,000	126,566	2109.4	(120,566)
Staff Benefits	5,142,000	3,986,479	77.5	1,155,521
BL 12-03 Blanket	0	7,773	0.0	(7,773)
TOTALS, PERS SERVICES	15,208,000	12,020,253	79.0	3,187,747
OPERATING EXP & EQUIP				
General Expense	214,000	242,394	113.3	(28,394)
Printing	69,000	109,737	159.0	(40,737)
Communications	172,000	97,616	56.8	74,384
Postage	36,000	26,511	73.6	9,489
Insurance	38,000	57,850	152.2	(19,850)
Travel In-State	222,000	115,736	52.1	106,264
Travel Out-of-State	7,000	0	0.0	7,000
Training	27,000	27,265	101.0	(265)
Facilities Operation (Rent)	1,574,000	1,510,617	96.0	63,383
Consult/Prof Services	91,000	82,240	90.4	8,760
Departmental Prorata	0	0	0.0	0
Consolidated Data Center	15,000	207,803	1385.4	(192,803)
Data Processing	0	109,026	0.0	(109,026)
Central Admin Svcs (Statewide Prorata)	0	0	0.0	0
Major Equipment	363,000	0	0.0	363,000
Other Items of Expense	28,000	141,321	504.7	(113,321)
Vehicle Operations	216,000	168,568	78.0	47,432
Attorney General Services	0	0	0.0	0
Office of Administrative Hearings	0	0	0.0	0
Evidence/Witness	0	5,089	0.0	(5,089)
Court Reporter Services	0	322,664	0.0	(322,664)
Minor Equipment	115,000	195,974	170.4	(80,974)
Special Items of Expense	0	37,738	0.0	(37,738)
TOTALS, OE&E	3,187,000	3,458,149	108.5	(271,149)
TOTALS, EXPENDITURES	18,395,000	15,478,402	84.1	2,916,598
Scheduled Reimbursements	0	0	0.0	0
Distributed Costs	0	0	0.0	0
NET TOTAL, EXPENDITURES	18,395,000	15,478,402	84.1	2,916,598
Unscheduled Reimbursements*	0	0	0.0	0

* no authority to spend

**MEDICAL BOARD OF CALIFORNIA
 ATTORNEY GENERAL EXPENDITURES - FY 2016-17
 DOJ AGENCY CODE 003573 - ENFORCEMENT (6303)**

Page 1 of 2

		<u>Number of Hours</u>	<u>Rate</u>	<u>Amount</u>
July	Attorney Services	5863.75	\$170.00	\$996,837.50
	Paralegal Services	300.25	\$120.00	\$36,030.00
	Auditor/Analyst Services	171.50	\$99.00	\$16,978.50
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$0.00
				<hr/>
				\$1,049,846.00
August	Attorney Services	7020.75	\$170.00	\$1,193,527.50
	Paralegal Services	278.50	\$120.00	\$33,420.00
	Auditor/Analyst Services	270.75	\$99.00	\$26,804.25
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$1,828.35
				<hr/>
				\$1,255,580.10
September	Attorney Services	6676.00	\$170.00	\$1,134,920.00
	Paralegal Services	298.75	\$120.00	\$35,850.00
	Auditor/Analyst Services	243.50	\$99.00	\$24,106.50
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$783.20
				<hr/>
				\$1,195,659.70
October	Attorney Services	6821.50	\$170.00	\$1,159,655.00
	Paralegal Services	304.25	\$120.00	\$36,510.00
	Auditor/Analyst Services	210.75	\$99.00	\$20,864.25
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$1,397.25
				<hr/>
				\$1,218,426.50
November	Attorney Services	5989.25	\$170.00	\$1,018,172.50
	Paralegal Services	522.75	\$120.00	\$62,730.00
	Auditor/Analyst Services	126.25	\$99.00	\$12,498.75
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$2,843.75
				<hr/>
				\$1,096,245.00
December	Attorney Services	5983.25	\$170.00	\$1,017,152.50
	Paralegal Services	527.75	\$120.00	\$63,330.00
	Auditor/Analyst Services	106.25	\$99.00	\$10,518.75
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$0.00
				<hr/>
				\$1,091,001.25

Total July-Dec = \$6,906,758.55
FY 2016-17 Budget = \$13,884,500.00

**MEDICAL BOARD OF CALIFORNIA
 ATTORNEY GENERAL EXPENDITURES - FY 2016-17
 DOJ AGENCY CODE 003573 - ENFORCEMENT (6303)**

page 2 of 2

		<u>Number of Hours</u>	<u>Rate</u>	<u>Amount</u>
January	Attorney Services	6890.25	\$170.00	\$1,171,342.50
	Paralegal Services	628.75	\$120.00	\$75,450.00
	Auditor/Analyst Services	145.75	\$99.00	\$14,429.25
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$6,903.40
				<hr/>
				\$1,268,125.15
February	Attorney Services	6188.00	\$170.00	\$1,051,960.00
	Paralegal Services	678.00	\$120.00	\$81,360.00
	Auditor/Analyst Services	131.50	\$99.00	\$13,018.50
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$3,429.90
				<hr/>
				\$1,149,768.40
March	Attorney Services	7389.25	\$170.00	\$1,256,172.50
	Paralegal Services	895.25	\$120.00	\$107,430.00
	Auditor/Analyst Services	114.00	\$99.00	\$11,286.00
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$14,880.47
				<hr/>
				\$1,389,768.97
April	Attorney Services	6553.00	\$170.00	\$1,114,010.00
	Paralegal Services	620.25	\$120.00	\$74,430.00
	Auditor/Analyst Services	104.25	\$99.00	\$10,320.75
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$10,354.35
				<hr/>
				\$1,209,115.10
May	Attorney Services	6893.00	\$170.00	\$1,171,810.00
	Paralegal Services	759.50	\$120.00	\$91,140.00
	Auditor/Analyst Services	110.25	\$99.00	\$10,914.75
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$15,339.80
				<hr/>
				\$1,289,204.55
June	Attorney Services	0.00	\$170.00	\$0.00
	Paralegal Services	0.00	\$120.00	\$0.00
	Auditor/Analyst Services	0.00	\$99.00	\$0.00
	Special Agent	0.00	\$120.00	\$0.00
	Cost of Suit			\$0.00
				<hr/>
				\$0.00

FYTD Total = \$13,212,740.72
FY 2016-17 Budget = \$13,884,500.00

**ENFORCEMENT/PROBATION RECEIPTS
MONTHLY PROFILE: JULY 2014 - JUNE 2017**

	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14	Jan-15	Feb-15	Mar-15	Apr-15	May-15	Jun-15	FYTD Total
Invest Cost Recovery	0	50	50	850	0	850	800	500	100	50	1,963	600	5,813
Criminal Cost Recovery	844	29,175	4,060	13,683	15,041	1,185	1,133	6,184	1,499	7,009	1,194	3,284	84,291
Probation Monitoring	64,316	41,643	52,840	73,499	56,938	146,603	414,557	227,809	117,226	60,897	46,859	47,974	1,351,161
Exam	9,061	3,048	7,438	13,718	26,715	8,551	13,313	7,060	6,755	8,796	3,273	600	108,328
Cite/Fine	3,000	3,000	1,000	5,000	0	0	0	0	2,500	0	0	2,500	17,000
MONTHLY TOTAL	77,221	76,916	65,388	106,750	98,694	157,189	429,803	241,553	128,080	76,752	53,289	54,958	1,566,593
FYTD TOTAL	77,221	154,137	219,525	326,275	424,969	582,158	1,011,961	1,253,514	1,381,594	1,458,346	1,511,635	1,566,593	

	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	FYTD Total
Invest Cost Recovery	50	50	50	50	0	100	0	50	100	0	100	50	600
Criminal Cost Recovery	451	4,851	7,581	1,100	1,400	2,400	3,188	4,607	551	4,789	551	27,916	59,385
Probation Monitoring	74,221	54,139	42,860	44,930	62,069	102,916	359,823	222,613	91,728	64,230	68,510	46,889	1,234,928
Exam	9,593	5,778	1,922	16,948	5,721	11,506	10,926	16,650	6,225	10,617	8,165	8,705	112,756
Cite/Fine	0	0	0	0	0	0	2,500	700	5,000	2,850	1,050	6,850	18,950
MONTHLY TOTAL	84,315	64,818	52,413	63,028	69,190	116,922	376,437	244,620	103,604	82,486	78,376	90,410	1,426,619
FYTD TOTAL	84,315	149,133	201,546	264,574	333,764	450,686	827,123	1,071,743	1,175,347	1,257,833	1,336,209	1,426,619	

	Jul-16	Aug-16	Sep-16	Oct-16	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Apr-17	May-17	Jun-17	FYTD Total
Invest Cost Recovery	0	100	2,050	50	50	50	50	50	15,050	0	1,192		18,642
Criminal Cost Recovery	181	6,225	100	50	450	50	2,050	350	50	9,025	1,200		19,731
Probation Monitoring	57,451	50,482	52,323	53,240	42,615	115,898	232,212	163,281	67,638	74,923	38,963		949,026
Exam	5,087	7,610	7,228	11,875	8,187	7,675	870	14,037	10,870	3,355	4,275		81,069
Cite/Fine	3,500	1,400	3,000	11,150	7,100	5,600	4,900	5,550	5,550	4,275	7,740		59,765
MONTHLY TOTAL	66,219	65,817	64,701	76,365	58,402	129,273	240,082	183,268	99,158	91,578	53,370	0	1,128,233
FYTD TOTAL	66,219	132,036	196,737	273,102	331,504	460,777	700,859	884,127	983,285	1,074,863	1,128,233	1,128,233	

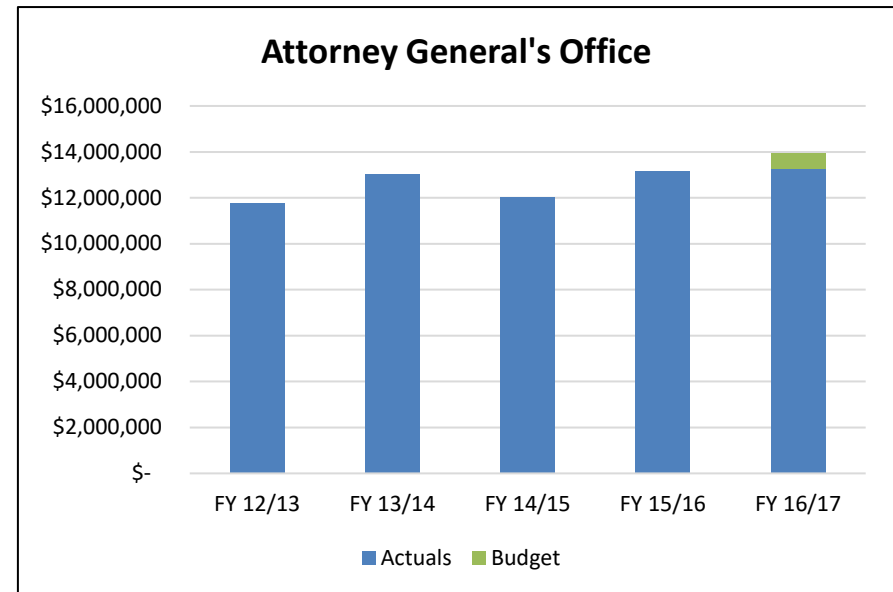
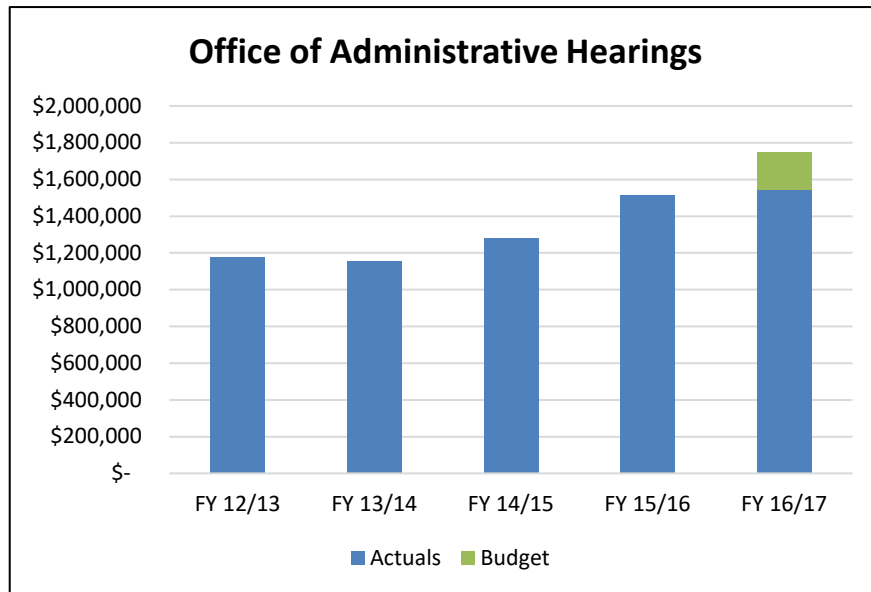
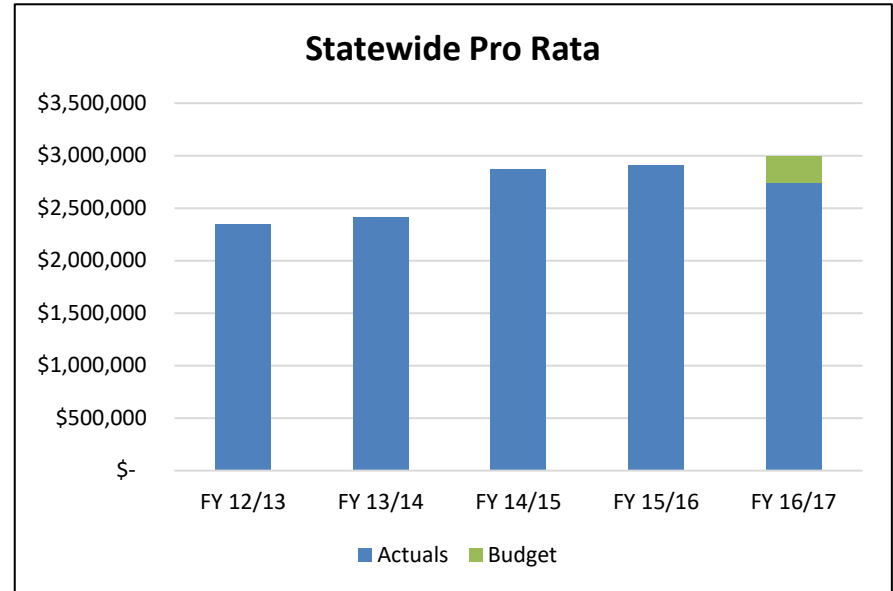
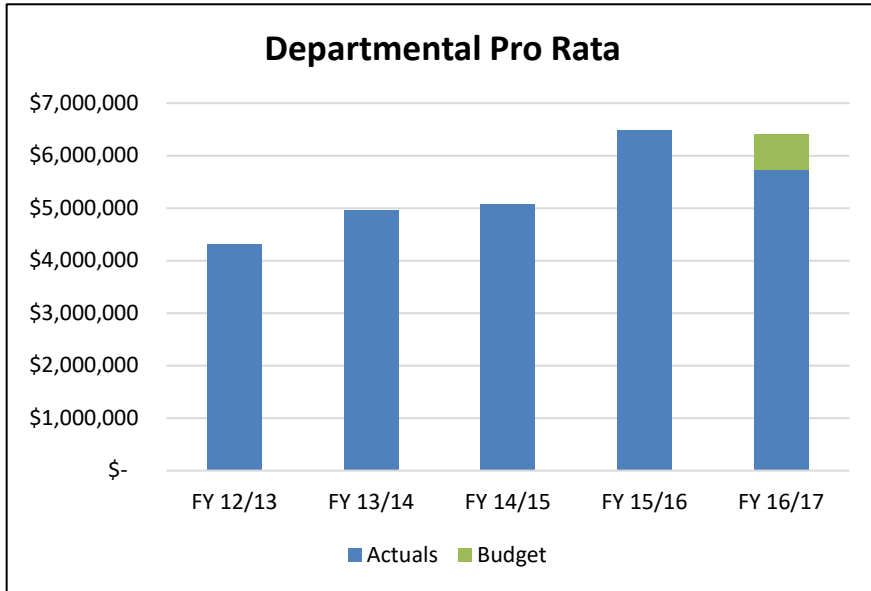
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MEDICAL BOARD OF CALIFORNIA BUDGET OVERVIEW BY BOARD COMPONENT

	EXEC	ENFORCE	OPERATION SAFE MEDICINE	LICENSING	ADMIN SERVICES	INFO SYSTEMS	PROBATION MONITORING	BOARD TOTAL
FY 13/14								
\$ Budgeted	2,304,466	40,127,776	716,147	8,386,914	1,833,855	3,363,720	2,281,227	59,014,105
\$ Spent*	1,427,599	40,148,898	879,418	6,023,718	1,650,434	3,166,541	1,424,973	54,721,581 *
Positions Authorized	8.8	147.0	6.0	53.3	14.0	17.0	25.0	271.1
FY 14/15								
\$ Budgeted	1,909,018	45,230,270		6,502,878	1,576,586	3,154,922	2,065,009	60,438,683
\$ Spent*	1,517,922	40,108,425		8,845,645	1,413,056	2,745,722	2,276,725	56,907,495 *
Positions Authorized	8.0	44.0		53.1	14.0	17.0	24.0	160.1
FY 15/16								
\$ Budgeted	2,000,070	41,624,123		8,551,071	2,312,598	3,969,970	3,606,168	62,064,000
\$ Spent*	2,027,741	40,082,824		8,855,159	2,298,695	3,309,798	3,374,110	59,948,327 *
Positions Authorized	8.0	44.0		53.1	14.0	17.0	24.0	160.1
FY 16/17								
\$ Budgeted **	1,895,515	46,649,530		8,141,778	2,112,100	3,662,694	2,892,383	65,354,000
\$ Spent thru 5/31*	1,709,976	41,902,183		7,387,417	1,854,727	2,696,950	2,573,463	58,124,716 *
Positions Authorized	8.0	45.0		52.6	14.0	17.0	24.0	160.6

* net expenditures (excludes unscheduled reimbursements)

** Budgeted does not include pending current year budget adjustments.



NAMES	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APRIL	MAY	JUNE	YTD
DR. BHOLAT - Per diem	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total-Dr. Bholat	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DR. BISHOP - Per diem	\$ 900	\$ -	\$ 500	\$ 1,000	\$ 700	\$ 1,100	\$ 1,300	\$ 800	\$ 700	\$ 1,400	\$ -	\$ -	\$ 8,400
Travel	\$ 848	\$ -	\$ -	\$ -	\$ 277	\$ -	\$ 636	\$ -	\$ -	\$ 435	\$ -	\$ -	\$ 2,196
Total-Dr. Bishop	\$ 1,748	\$ -	\$ 500	\$ 1,000	\$ 977	\$ 1,100	\$ 1,936	\$ 800	\$ 700	\$ 1,835	\$ -	\$ -	\$ 10,596
JUDGE FEINSTEIN - Per diem	\$ 1,300	\$ 800	\$ 700	\$ 1,400	\$ 800	\$ 700	\$ 1,100	\$ 600	\$ 600	\$ 400	\$ 400	\$ -	\$ 8,800
Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total-Judge Feinstein	\$ 1,300	\$ 800	\$ 700	\$ 1,400	\$ 800	\$ 700	\$ 1,100	\$ 600	\$ 600	\$ 400	\$ 400	\$ -	\$ 8,800
DR. GNANADEV - Per diem	\$ 1,100	\$ 1,200	\$ 1,100	\$ 1,100	\$ 1,100	\$ -	\$ 1,500	\$ 1,300	\$ 1,000	\$ 2,000	\$ -	\$ -	\$ 11,400
Travel	\$ 1,028	\$ -	\$ -	\$ 518	\$ -	\$ -	\$ 828	\$ 1,046	\$ -	\$ 868	\$ 631	\$ -	\$ 4,919
Total-Dr. Gnanadev	\$ 2,128	\$ 1,200	\$ 1,100	\$ 1,618	\$ 1,100	\$ -	\$ 2,328	\$ 2,346	\$ 1,000	\$ 2,868	\$ 631	\$ -	\$ 16,319
DR. HAWKINS - Per diem	\$ 2,100	\$ 1,800	\$ 2,000	\$ 2,500	\$ 1,900	\$ 2,300	\$ 1,300	\$ 1,100	\$ 2,200	\$ 1,600	\$ 3,000	\$ -	\$ 21,800
Travel	\$ 1,010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,010
Total-Dr. Hawkins	\$ 3,110	\$ 1,800	\$ 2,000	\$ 2,500	\$ 1,900	\$ 2,300	\$ 1,300	\$ 1,100	\$ 2,200	\$ 1,600	\$ 3,000	\$ -	\$ 22,810
DR. KRAUSS - Per diem	\$ 1,100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 3,000	\$ -	\$ -	\$ 5,300
Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total-Dr. Krauss	\$ 1,100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 3,000	\$ -	\$ -	\$ 5,300
MS. LAWSON - Per diem	\$ 900	\$ 700	\$ 500	\$ 1,000	\$ -	\$ 600	\$ 900	\$ 600	\$ 600	\$ 1,100	\$ 800	\$ -	\$ 7,700
Travel	\$ -	\$ -	\$ -	\$ 976	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 897	\$ -	\$ -	\$ 1,873
Total-Ms. Lawson	\$ 900	\$ 700	\$ 500	\$ 1,976	\$ -	\$ 600	\$ 900	\$ 600	\$ 600	\$ 1,997	\$ 800	\$ -	\$ 9,573
DR. LEVINE - Per diem	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 445	\$ -	\$ 135	\$ -	\$ -	\$ -	\$ 580
Total-Dr. Levine	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 445	\$ -	\$ 135	\$ -	\$ -	\$ -	\$ 580
DR. LEWIS - Per diem	\$ 900	\$ 700	\$ 700	\$ 1,100	\$ 800	\$ 800	\$ 1,200	\$ 500	\$ 900	\$ 1,200	\$ 1,200	\$ -	\$ 10,000
Travel	\$ 1,948	\$ -	\$ -	\$ 552	\$ -	\$ -	\$ 469	\$ -	\$ -	\$ 759	\$ -	\$ -	\$ 3,728
Total-Dr. Lewis	\$ 2,848	\$ 700	\$ 700	\$ 1,652	\$ 800	\$ 800	\$ 1,669	\$ 500	\$ 900	\$ 1,959	\$ 1,200	\$ -	\$ 13,728
MS. PINES - Per diem	\$ 600	\$ 1,000	\$ 1,000	\$ 1,600	\$ 1,000	\$ 700	\$ 1,400	\$ 1,300	\$ 1,000	\$ 1,200	\$ 900	\$ -	\$ 11,700
Travel	\$ -	\$ -	\$ -	\$ 530	\$ 846	\$ -	\$ 842	\$ 1,991	\$ -	\$ 414	\$ 634	\$ -	\$ 5,257
Total-Ms. Pines	\$ 600	\$ 1,000	\$ 1,000	\$ 2,130	\$ 1,846	\$ 700	\$ 2,242	\$ 3,291	\$ 1,000	\$ 1,614	\$ 1,534	\$ -	\$ 16,957
MR. SERRANO SEWELL - Per diem	\$ 600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 600
Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total-Mr. Serrano Sewell	\$ 600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 600
MS. SUTTON-WILLS - Per diem	\$ 1,500	\$ 1,500	\$ 1,200	\$ 1,400	\$ 1,200	\$ 1,400	\$ 1,500	\$ 700	\$ 1,800	\$ 3,600	\$ 400	\$ -	\$ 16,200
Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 582	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 582
Total-Ms. Sutton-Wills	\$ 1,500	\$ 1,500	\$ 1,200	\$ 1,400	\$ 1,200	\$ 1,400	\$ 2,082	\$ 700	\$ 1,800	\$ 3,600	\$ 400	\$ -	\$ 16,782
MR. WARMOTH - Per diem	\$ 900	\$ 500	\$ 500	\$ 900	\$ 600	\$ 600	\$ 800	\$ 1,000	\$ -	\$ -	\$ 400	\$ -	\$ 6,200
Travel	\$ 1,075	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 803	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,877
Total-Mr. Warmoth	\$ 1,975	\$ 500	\$ 500	\$ 900	\$ 600	\$ 600	\$ 1,603	\$ 1,000	\$ -	\$ -	\$ 400	\$ -	\$ 8,077
MS. WRIGHT - Per diem	\$ 1,200	\$ 800	\$ 800	\$ 1,300	\$ 600	\$ 600	\$ 1,000	\$ 800	\$ 700	\$ 800	\$ 1,400	\$ -	\$ 10,000
Travel	\$ 487	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 67	\$ -	\$ -	\$ 554
Total-Ms. Wright	\$ 1,687	\$ 800	\$ 800	\$ 1,300	\$ 600	\$ 600	\$ 1,000	\$ 800	\$ 700	\$ 867	\$ 1,400	\$ -	\$ 10,554
DR. YIP - Per diem	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total-Dr. Yip	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

As of: 7/11/17

TOTAL PER DIEM BUDGETED	\$ 32,000
TOTAL PER DIEM	\$ 118,100
TOTAL TRAVEL	\$ 22,574
TOTAL	\$ 140,674

CALIFORNIA END OF LIFE OPTION ACT 2016 DATA REPORT

For more information:

<https://www.cdph.ca.gov/Programs/CHSI/Pages/End-of-Life-Option-Act-.aspx>

Contact: EOLInfo@cdph.ca.gov



Executive Summary

California's End of Life Option Act (EOLA) became effective on June 9, 2016. The Act allows terminally ill adults living in California to obtain and self-administer aid-in-dying drugs.¹ The Act requires the California Department of Public Health (CDPH) to provide annual reports under strict privacy requirements. CDPH's reporting requirements are outlined in Health and Safety Code section 443.19 (b), which reads:

(b) On or before July 1, 2017, and each year thereafter, based on the information collected in the previous year, the department shall create a report with the information collected from the attending physician followup form and post that report to its Internet Web site. The report shall include, but not be limited to, all of the following based on the information that is provided to the department and on the department's access to vital statistics:

(1) The number of people for whom an aid-in-dying prescription was written.

(2) The number of known individuals who died each year for whom aid-in-dying prescriptions were written, and the cause of death of those individuals.

(3) For the period commencing January 1, 2016, to and including the previous year, cumulatively, the total number of aid-in-dying prescriptions written, the number of people who died due to use of aid-in-dying drugs, and the number of those people who died who were enrolled in hospice or other palliative care programs at the time of death.

(4) The number of known deaths in California from using aid-in-dying drugs per 10,000 deaths in California.

(5) The number of physicians who wrote prescriptions for aid-in-dying drugs.

(6) Of people who died due to using an aid-in-dying drug, demographic percentages organized by the following characteristics:

(A) Age at death.

(B) Education level.

(C) Race.

(D) Sex.

(E) Type of insurance, including whether or not they had insurance.

(F) Underlying illness.

This report presents data as reported to CDPH from the EOLA-mandated physician reporting forms received between June 9, 2016, and December 31, 2016, and reflects information on individuals who were prescribed aid-in-dying drugs in 2016. The information collected has been aggregated to protect the privacy of the individuals. Subsequent annual reports will encompass 12 months of data.

For the partial year ending December 31, 2016, 191 individuals received prescriptions under EOLA. 111 individuals died following their ingestion of the prescribed aid-in-dying drug(s). Of the 111 individuals,

¹ Assembly Bill x2 15 (Eggman), Chapter 1, Statutes of 2015.

87.4 percent were 60 years of age or older, 96.4 percent had health insurance, and 83.8 percent were receiving hospice and/or palliative care. As this report covers only six-months of data, caution should be exercised in drawing conclusions based on the numbers reported.

Introduction

The EOLA allows an adult diagnosed with a terminal disease, who meets certain qualifications, to request an aid-in-dying drug from a physician. The Act requires physicians to use forms specified in statute for submitting information to CDPH. CDPH is responsible for collecting data from these forms, and preparing an annual report. Data presented in this report is based on the information contained in physicians' forms and California death certificates as of December 31, 2016.

More information on the Act, reporting process, and required forms can be found here:

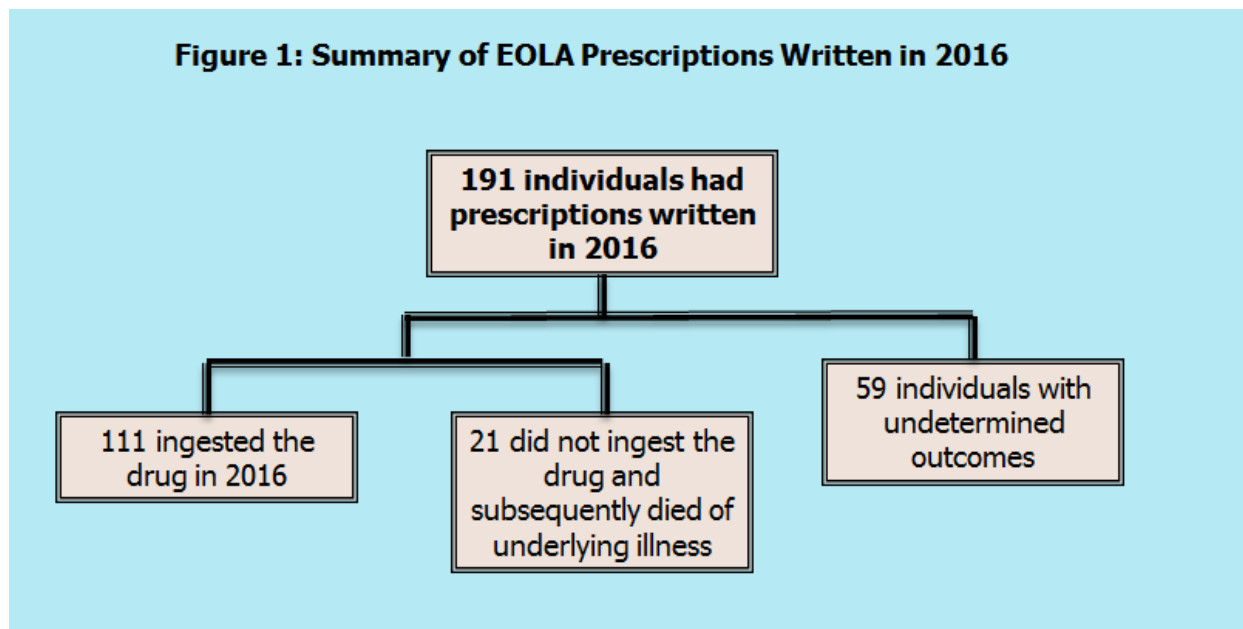
<https://www.cdph.ca.gov/Programs/CHSI/Pages/End-of-Life-Option-Act.aspx>.

Participation in the End-of-Life Option Activities

From June 9, 2016 through December 31, 2016, 258 individuals started the end-of-life option process, as set forth in the Act, by making two verbal requests to their physicians at least 15 days apart. 173 unique physicians prescribed 191 individuals aid-in-dying drugs. Of the 191 individuals who were prescribed such drugs, 111, or 58.1 percent, were reported by their physician to have died following ingestion of aid-in-dying drugs prescribed under EOLA; and 21 individuals, or 11.0 percent, died without ingestion of the prescribed aid-in-dying drug(s). The outcome of the remaining 59 individuals, or 30.9 percent, who have been prescribed aid-in-dying drugs, is currently undetermined as there has been no outcome reported for these individuals within the time period covered by this report. A chart illustrating the outcomes is provided on the next page as Figure 1.

The rate for those who died following ingestion of aid-in-dying drugs was 6.06 per 10,000 total deaths² based on 183,265 deaths in California from June 9, 2016 to December 31, 2016.

² This rate does not include any deaths following the ingestion of prescribed drugs after December 31, 2016. Total deaths in California include only those deaths that occurred from 00:00 hours June 9, 2016, to 23:59 December 31, 2016, and is not based on all deaths occurring in California in 2016.

Figure 1: Summary of EOLA Prescriptions Written in 2016

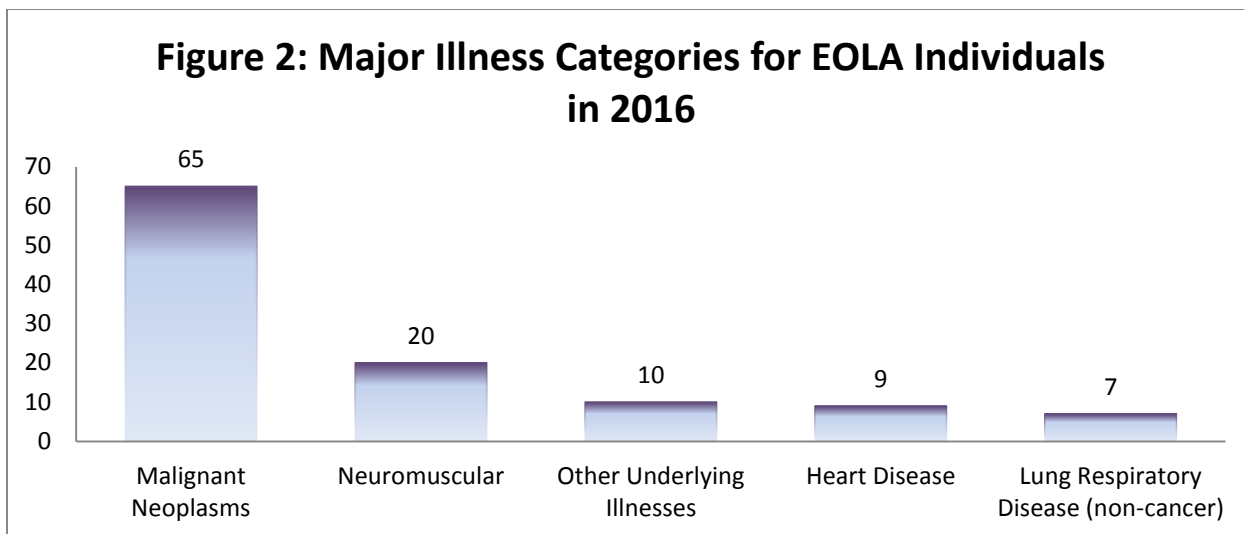
Characteristics of Individuals

Of the 111 individuals who died pursuant to EOLA during 2016, 12.6 percent were under 60 years of age, 75.6 percent were 60-89 years of age, and 11.7 percent were 90 years of age and older. The median age was 73 years. At the time of death, the decedents were 89.5 percent white, 54.1 percent were female; 83.8 percent were receiving hospice and/or palliative care, and 72.1 percent had at least some level of college education. A summary of this information is set forth in Table 1.

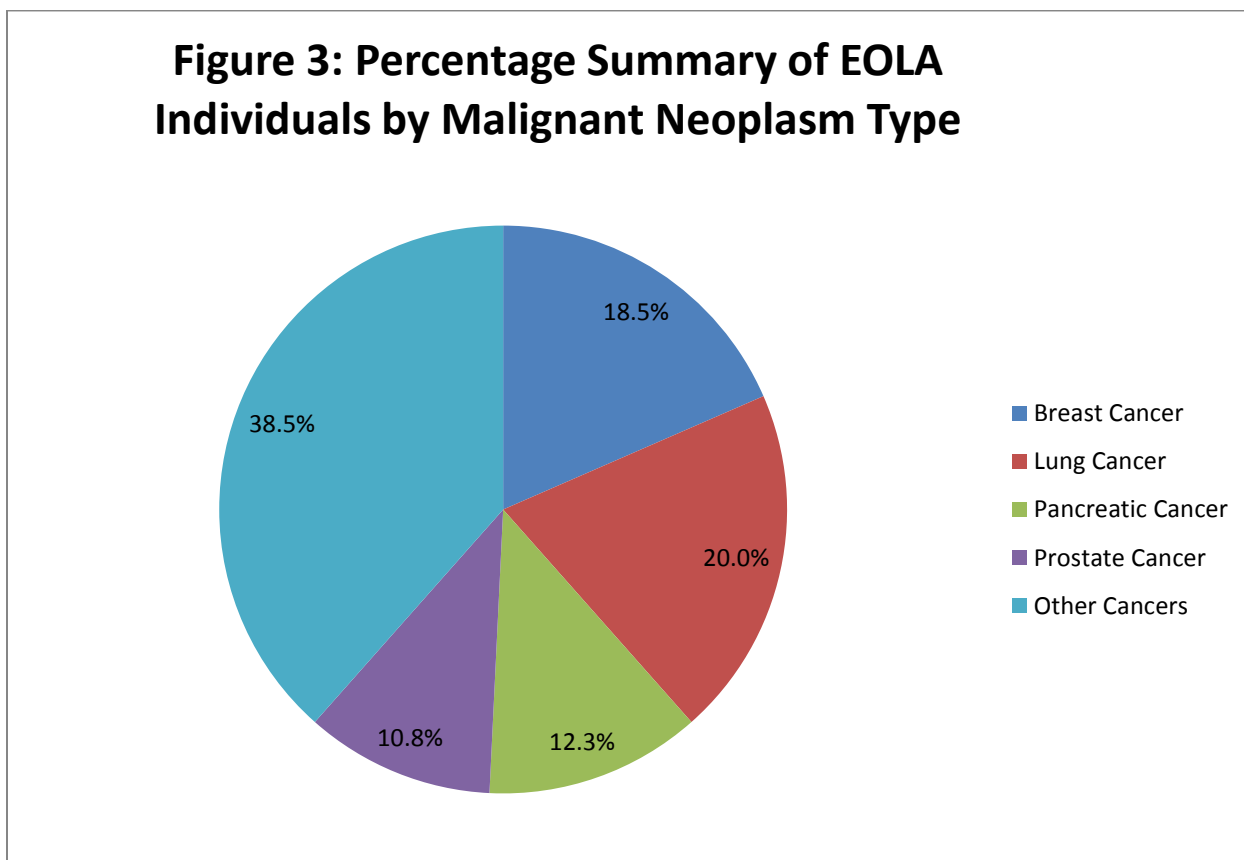
Of the 111 individuals who died pursuant to EOLA during 2016, the majority, or 58.6 percent, of their underlying illnesses, were identified as malignant neoplasms (cancer). Neuromuscular disorders such as ALS³ and Parkinson's accounted for the second largest underlying illness grouping, totaling 18.0 percent. The remaining major categories of underlying illnesses were documented as: heart disease (8.1 percent), lung respiratory diseases (non-cancer) with 6.3 percent, and other underlying illnesses (9.0 percent). This data is presented below in Figure 2.

Certifiers (physicians, coroners, and medical examiners) report the underlying terminal disease as the cause of death on the death certificates. This approach complies with applicable law; best ensures the reliability and usefulness of data collected from the death certificate for state, national, and international surveillance purposes; and effectuates the California Legislature's intent to maintain the confidentiality of individuals' participation in the Act.

³ Amyotrophic Lateral Sclerosis.



Among those with malignant cancer as the underlying terminal disease – the largest group of individuals who utilized the Act – lung cancer accounted for 20.0 percent, breast cancer accounted for 18.5 percent, pancreatic cancer comprised 12.3 percent, and 10.8 percent had prostate cancer. Other malignant neoplasms accounted for the remaining 38.5 percent, as shown below in Figure 3.



Most of the individuals who participated in EOLA had some form of health insurance. Medicare and/or Medi-Cal accounted for 56.8 percent of individuals, followed by public/private insurance at 30.6 percent. Ten individuals, or 9.0 percent, had undetermined health insurance coverage.

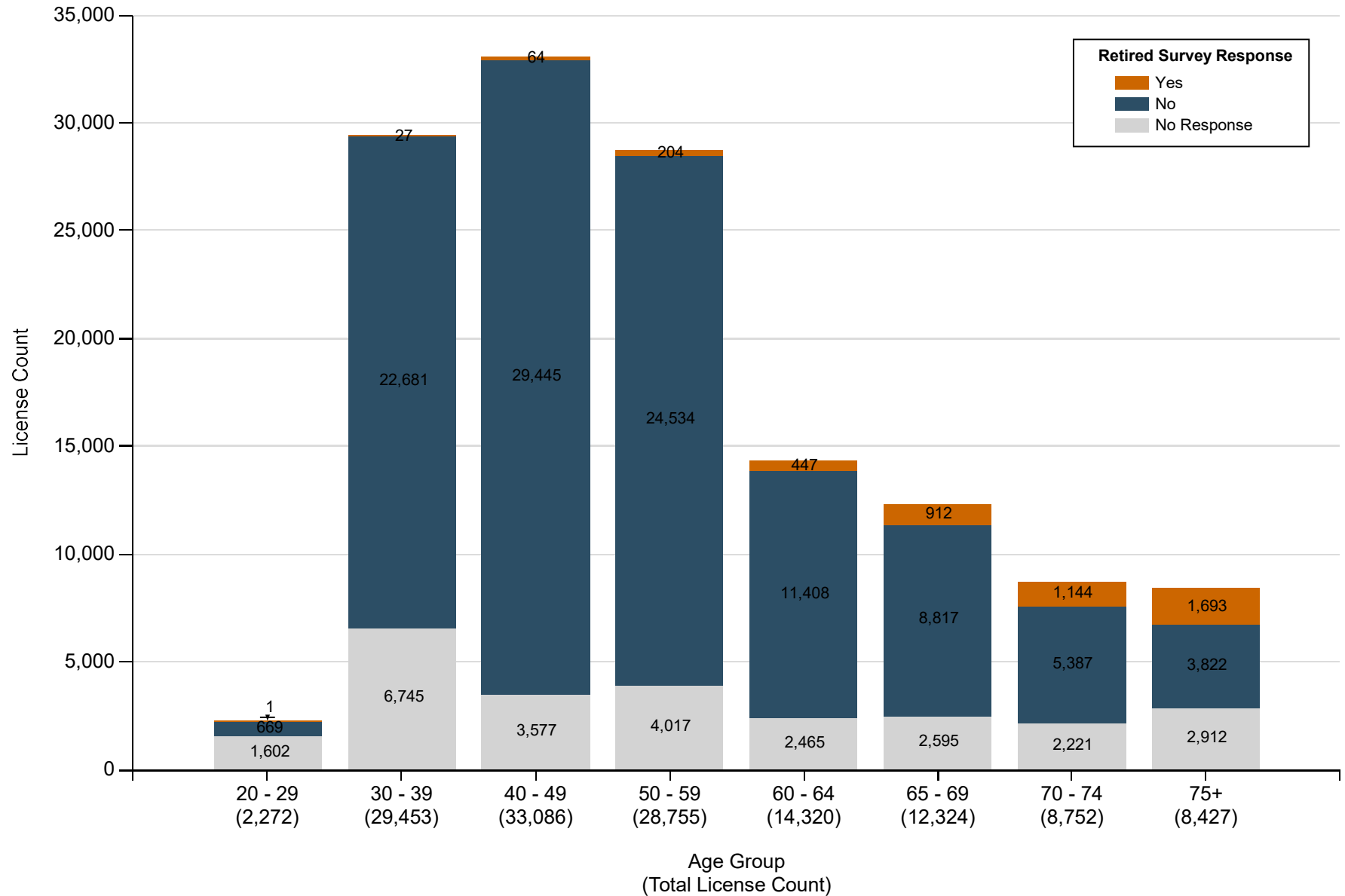
Table 1. Characteristics of the End of Life Option Act individuals who died following ingestion of aid-in-dying drug

Characteristics	2016	
	(N=111)	
Age	N (%)	
Under 60	14	(12.6)
60-69	25	(22.5)
70-79	30	(27.0)
80-89	29	(26.1)
90 and Over	13	(11.7)
Median Year (range)	73	(41-99)
Gender	N (%)	
Male	51	(45.9)
Female	60	(54.1)
Education	N (%)	
No High School Diploma	6	(5.4)
High School Diploma or General Educational Development	25	(22.5)
Some College no Degree	16	(14.4)
Associate, Bachelor or Master Degree	51	(45.9)
Doctorate or Professional Degree	13	(11.7)
Race/Ethnicity	N = 114⁴ (%)	
White	102	(89.5)
Asian	6	(5.3)
Black	3	(2.6)
Hispanic	3	(2.6)
End of Life Care	N (%)	
Hospice and/or Palliative Care		
Enrolled	93	(83.8)
Not Enrolled	13	(11.7)
Unknown	5	(4.5)
Insurance	N (%)	
Medicare	49	(44.2)
Medi-Cal	4	(3.6)
Medicare/Medi-Cal (Dual Eligible)	10	(9.0)
Private Insurance	21	(18.9)
Medicare/Medi-Cal and Private Supplemental Insurance	13	(11.7)
Has Insurance but Unknown Type	10	(9.0)
No Insurance	4	(3.6)
Illness	N (%)	
Malignant Neoplasms	65	(58.6)
Breast	12	(18.5)
Lung	13	(20.0)
Prostate	7	(10.8)

⁴ Numerator includes EOLA individuals of multiple races and/or ethnicities.

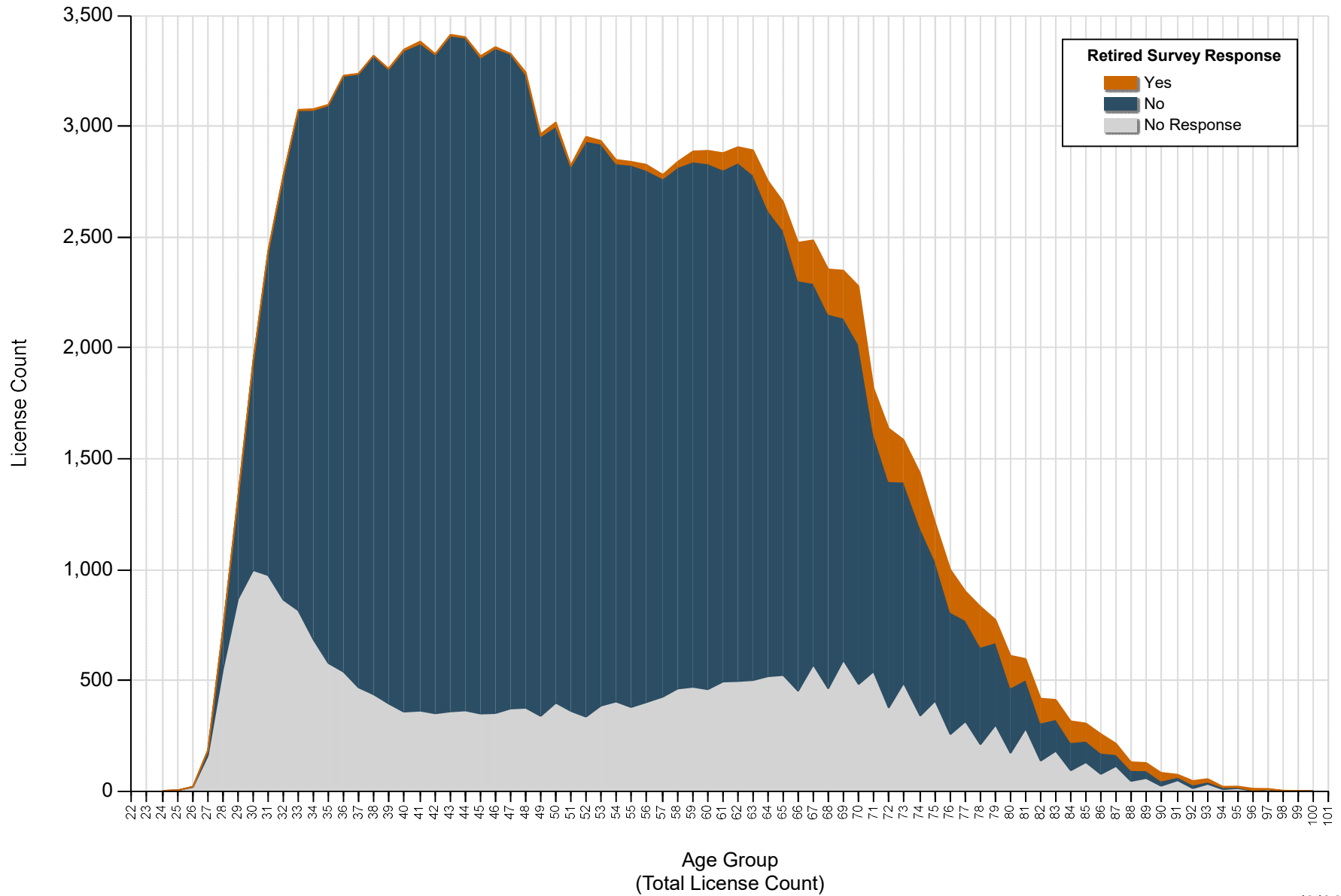
Pancreatic	8	(12.3)
Other	25	(38.5)
Lung Respiratory Disease (non-cancer)	7	(6.3)
Heart Disease	9	(8.1)
Neuromuscular	20	(18.0)
Other	10	(9.0)

Renewed and Current License Age Distribution with Retired Survey Response (excluding Disabled, Retired, and Inactive Secondary Status)



7/6/2017

Renewed and Current License Age Distribution with Retired Survey Response (excluding Disabled, Retired, and Inactive Secondary Status)



7/6/2017