

## Home Birth Summit



*The Future of Home Birth in the United States: Addressing Shared Responsibility*

### **Best Practice Guidelines: Transfer from Planned Home Birth to Hospital**

*“We believe that collaboration within an integrated maternity care system is essential for optimal mother-baby outcomes. All women and families planning a home or birth center birth have a right to respectful, safe, and seamless consultation, referral, transport and transfer of care when necessary. When ongoing inter-professional dialogue and cooperation occur, everyone benefits.”<sup>1</sup>*

The statement above from the Home Birth Consensus Summit serves as the foundation for the following guidelines on transfer from planned home birth to hospital. These guidelines were developed by a multidisciplinary group of home and hospital based providers and stakeholders who were delegates at the national Home Birth Consensus Summits in 2011 and 2013. These guidelines are informed by the best available evidence on risk reduction and quality improvement and by existing regional policy and practice documents addressing transfer from home to hospital.<sup>2-19</sup>

The purpose of these guidelines is twofold:

1. To highlight core elements to be included when developing documents and policies related to transfer from home to hospital.
2. To promote the highest quality of care for women and families across birth settings via respectful inter-professional collaboration, ongoing communication, and the provision of compassionate family-centered care.

Collaborative care throughout the antepartum, intrapartum, and postpartum periods is crucial to safety whenever birth is planned outside the hospital setting. Coordination of care and communication of expectations during transfer of care between settings improve health outcomes and consumer satisfaction.<sup>20-34</sup>

State-specific hospital regulations and the Emergency Medical Treatment and Labor Act (EMTALA)<sup>35</sup> establish the legal framework for requiring access to hospital care in the United States. The legal recognition of providers of maternity care services varies between states. However, each woman seeking care at any point during the maternity cycle has the right to optimal and respectful care regardless of her planned birth setting, the persons she selects to be part of the process, or state provider regulations.

These guidelines are appropriate for births planned at home or in a freestanding birth center. Furthermore, we recognize not all providers of home birth or birth center services are midwives. However, we use the term midwife herein because the vast majority of providers of home birth or birth center services identify as midwives.

### **Model practices for the midwife**

- In the prenatal period, the midwife provides information to the woman about hospital care and procedures that may be necessary and documents that a plan has been developed with the woman for hospital transfer should the need arise.<sup>15</sup>
- The midwife assesses the status of the woman, fetus, and newborn throughout the maternity care cycle to determine if a transfer will be necessary.
- The midwife notifies the receiving provider or hospital of the incoming transfer, reason for transfer, brief relevant clinical history, planned mode of transport, and expected time of arrival.<sup>11,13-16,19</sup>
- The midwife continues to provide routine or urgent care en route in coordination with any emergency services personnel and addresses the psychosocial needs of the woman during the change of birth setting.
- Upon arrival at the hospital, the midwife provides a verbal report, including details on current health status and need for urgent care. The midwife also provides a legible copy of relevant prenatal and labor medical records.<sup>11,12,15,16,19</sup>
- The midwife may continue in a primary role as appropriate to her scope of practice and privileges at the hospital. Otherwise the midwife transfers clinical responsibility to the hospital provider.<sup>13</sup>
- The midwife promotes good communication by ensuring that the woman understands the hospital provider's plan of care and the hospital provider understands the woman's need for information regarding care options.
- If the woman chooses, the midwife may remain to provide continuity and support.

### **Model practices for the hospital provider and staff**

- Hospital providers and staff are sensitive to the psychosocial needs of the woman that result from the change of birth setting.<sup>11</sup>
- Hospital providers and staff communicate directly with the midwife to obtain clinical information in addition to the information provided by the woman.<sup>12</sup>
- Timely access to maternity and newborn care providers may be best accomplished by direct admission to the labor and delivery or pediatric unit.<sup>11-15</sup>
- Whenever possible, the woman and her newborn are kept together during the transfer and after admission to the hospital.
- Hospital providers and staff participate in a shared decision-making process with the woman to create an ongoing plan of care that incorporates the values, beliefs, and preferences of the woman.
- If the woman chooses, hospital personnel will accommodate the presence of the midwife as well as the woman's primary support person during assessments and procedures.
- The hospital provider and the midwife coordinate follow up care for the woman and newborn, and care may revert to the midwife upon discharge.
- Relevant medical records, such as a discharge summary, are sent to the referring midwife.<sup>14</sup>

## **Quality improvement and policy development**

All stakeholders involved in the transfer and/or transport process, including midwives based at home or in the hospital, obstetricians, pediatricians, family medicine physicians, nurses, emergency medical services personnel, and home birth consumer representatives, should participate in the policy development process. Policies and quality improvement processes should incorporate the model practices above and delineate at a minimum the following:

- Communication channels and information needed to alert the hospital to an incoming transfer.
- Provision for notification and assembly of staff rapidly in case of emergency transfer.
- Opportunities to debrief the case with providers and with the woman prior to hospital discharge.
- Documentation of the woman's perspective regarding her care during transfer.
- A defined process to regularly review transfers that includes all stakeholders with a shared goal of quality improvement and safety. This process should be protected without risk of discovery.<sup>12</sup>
- Opportunities for education regarding home birth practice, shared continuing medical education, and relationship building that are incorporated into medical, midwifery and nursing education programs. Multi-disciplinary sessions to address system issues may enhance relationship building and the work culture.

Quality of care is improved when policies and procedures are in place to govern best practices for coordination and communication during the process of transfer or transport from a home or birth center to a hospital.<sup>2-10</sup>

## **Home Birth Summit, Collaboration Task Force**

- Diane Holzer, LM, CPM, PA-C, Fairfax California (Chair)
- Jill Breen, CPM, CLC, Midwife, St. Albans Maine
- Kate T. Finn, MS, CM, CPM, Licensed Midwife, Ithaca New York
- Timothy J. Fisher, MD, MS, FACOG, Chair Department of Surgical Services, Cheshire Medical Center/Dartmouth-Hitchcock Keene, Keene New Hampshire
- Lawrence Leeman, MD, MPH, Professor, Family and Community Medicine, Obstetrics and Gynecology, University of New Mexico, Albuquerque New Mexico
- Audrey Levine, LM, CPM, Licensed Midwife, Olympia Washington
- Ali Lewis, MD, FACOG, OB/GYN, Seattle Washington
- Lisa Kane Low, CNM, PhD, FACNM, Associate Professor, Director Midwifery Education, University of Michigan, Ann Arbor Michigan
- Tami J. Michele, DO, FACOOG, OB/GYN, Fremont Michigan
- Judy Norsigian, Executive Director, Our Bodies Ourselves, Cambridge Massachusetts
- Saraswathi Vedam, RM, MSN, FACNM Sci D(hc), Professor, Division of Midwifery, University of British Columbia, Vancouver British Columbia

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## **Home Birth Summit**

*The Future of Home Birth in United States:  
Addressing Shared Responsibility*

# *Best Practice Transfer Guidelines*

*Home Birth Summit Collaboration Task Force  
2014*



# *Home Birth Consensus Summit*

## Organizational Representation for Planning

OUR BODIES  
SELVES  
information inspires action

NACPM  
national association of  
certified professional midwives

AMERICAN ACADEMY OF  
FAMILY PHYSICIANS  
STRONG MEDICINE FOR AMERICA

Midwives  
Alliance  
NORTH AMERICA

AMERICAN COLLEGE  
of NURSE-MIDWIVES

American Academy  
of Pediatrics  
DEDICATED TO THE HEALTH OF ALL CHILDREN™

Lamaze  
International

ACOG  
THE AMERICAN CONGRESS OF  
OBSTETRICIANS AND GYNCOLOGISTS

CHILDBIRTH  
CONNECTION  
a program of the  
national partnership for women & families



aabc  
American Association of  
BIRTH CENTERS

AWHONN  
PROMOTING THE HEALTH OF  
WOMEN AND NEWBORNS



## Home Birth Consensus Summit

- October 20-22, 2011
- Warrenton, VA

*National leaders from all stakeholder perspectives in maternity services met to address shared responsibility for care across birth settings in the United States.*







## Home Birth Consensus Summit

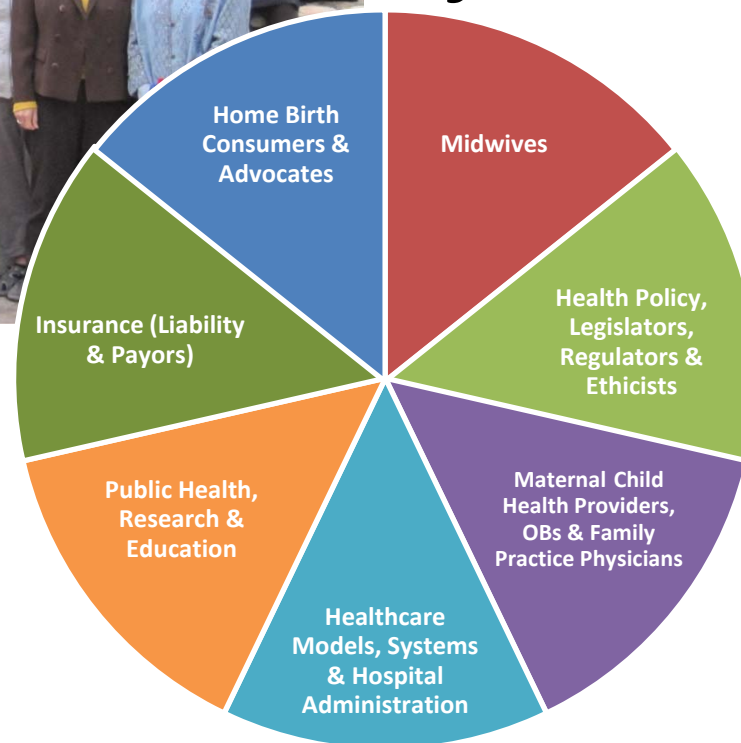
***Improved integration of  
services across birth  
sites for all women and  
families in the U.S.***

- *A cross-section of the maternity care system in one room*
- *A shared passion for quality in maternity care*
- *A commitment to work together to improve safety for women and babies across birth sites*
- *All perspectives and viewpoints considered*
- *Purposeful dialogue*





# ***Stakeholder groups representing the complete spectrum of maternity care:***



# What did we do?

- The Future Search Model, known for achieving cooperative action in highly polarized issues, facilitated the group in discovering common ground









# *Visioning in Mixed Groups*







# The “Elephant”

Did not debate home  
birth as:

-Right or Wrong

-Safety or Harm

-Agree or Disagree

All participants agreed  
on the need to improve  
care.





# *Summit Outcomes*

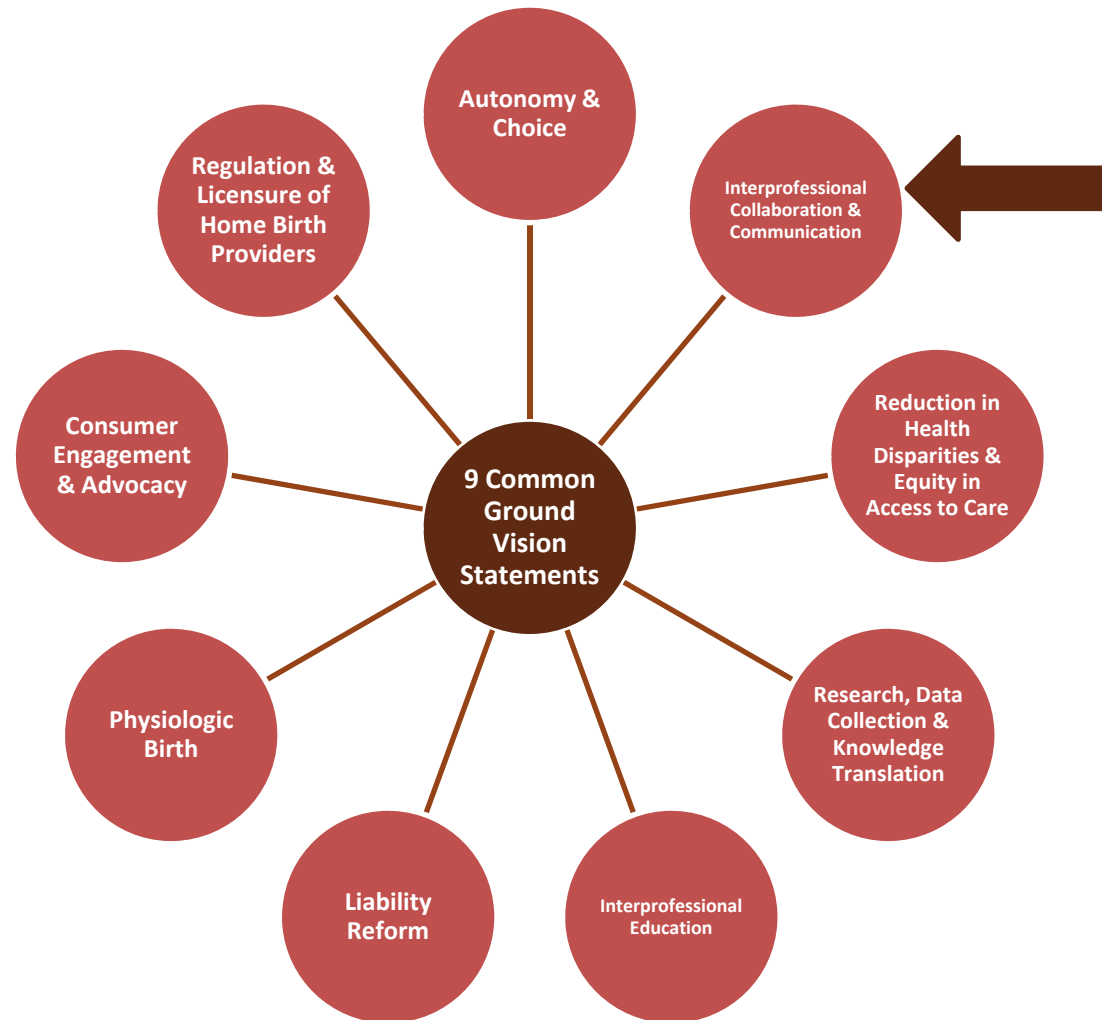


*Our 3 days of labor resulted in the birth of:*

- *9 Common Ground Statements*
- *Task Force Groups*



# *Outcomes*







# *Outcomes*

**Areas for Action**  
for each of the  
vision  
statements

**Personal  
Commitments**  
to work to  
address barriers

**Task Forces**  
formed



## Vision

### Interprofessional Collaboration & Communication



*“We believe that collaboration within an integrated maternity care system is essential for optimal mother-baby outcomes.*

*All women and families planning a home or birth center birth have a right to respectful, safe, and seamless consultation, referral, transport and transfer of care when necessary.*

*When ongoing inter-professional dialogue and cooperation occur, everyone benefits.”*



# *Collaboration Task Force*

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*a unique collaboration among physicians, midwives,  
nurses and consumers*



## Home Birth Summit



*The Future of Home Birth in the United States: Addressing Shared Responsibility*

### Best Practice Guidelines: Transfer from Planned Home Birth to Hospital

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The purpose of these guidelines is twofold:

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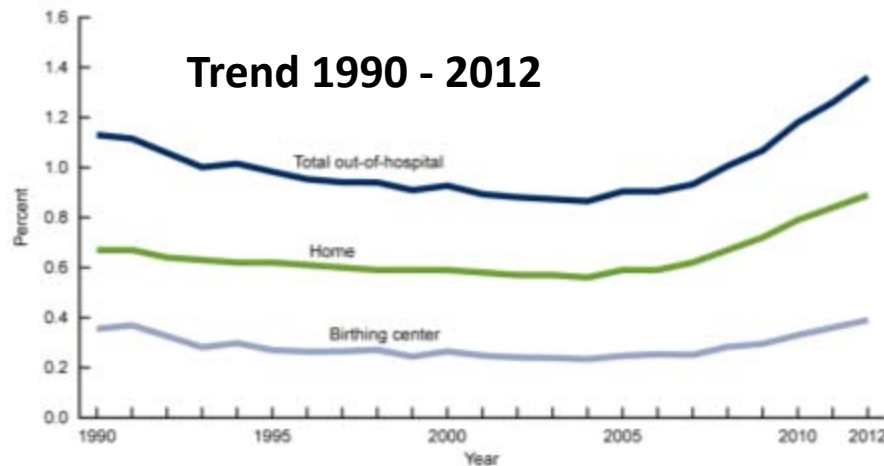
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[www.homebirthsummit.org](http://www.homebirthsummit.org)

# Why is this needed?

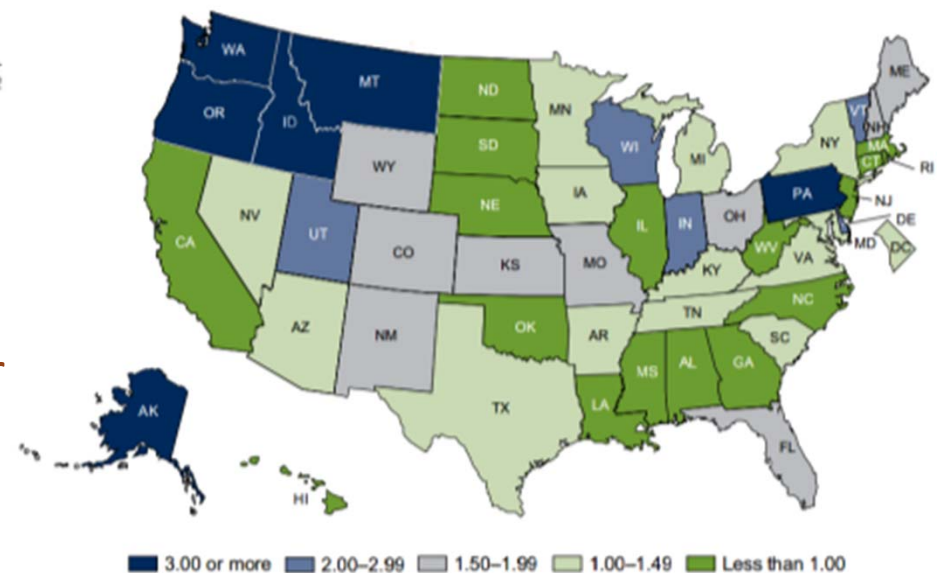


# Increasing Numbers of Home and Birth Center Births



**2012 Total**  
1.36% Nationwide  
2-6.0% 11 states

Percentage of births by state: 2012



- 8-12%** • Planned home birth or birth center **transfer rate** to hospital after onset of labor
- 78%** • The majority of transfers are for non-urgent reasons, such as failure to progress in labor for primiparas

Source: CDC/NCHS: Trends in Out-of-Hospital Births in the United States, 1990-2012



## *Key Findings from CDC*

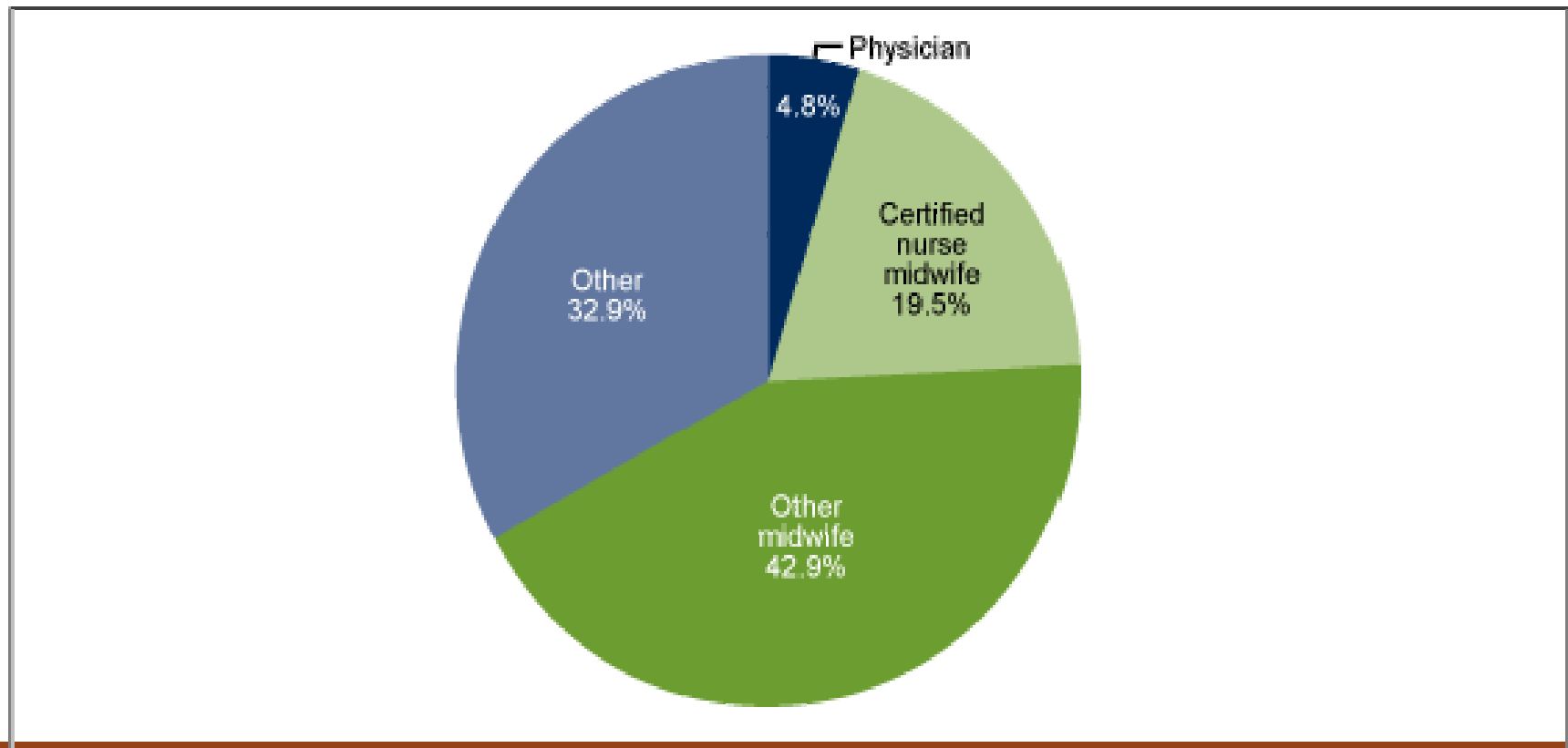
- *For non-Hispanic white women, **home births increased by 36%**, from 2004-2009, and 29% overall.*
- *About **1 in every 90 births** for non-Hispanic white women is **now a home birth**.*
- *In 2009, there were **29,650 home births** in the United States*



### ***MOST HOMEBIRTHS ARE ATTENDED BY MIDWIVES:***

- 62% of home births were attended by midwives: 19% by CNM and 43% by other midwives.*
- 33% were reported as delivered by "other" (a family member or emergency medical technician)*

Figure 4. Percent distribution of home births, by type of birth attendant: United States, 2009



- SOURCE: CDC/NCHS, birth certificate data from the National Vital Statistics System.*



# Research shows...

Collaborative care throughout the antepartum, intrapartum, and postpartum periods is crucial to safety whenever birth is planned outside the hospital setting.

## Physicians & Midwives in North America Report:

- Feelings of discomfort & friction during interprofessional consultations related to planned home birth

## Health Outcomes & Satisfaction Improved by:

- Coordinating care & communication of expectations during transfer of care between birth settings

**Sources:** Guise J, Segel S. *Teamwork in obstetric critical care*. Best Pract Res Cl Ob (2008); The Joint Commission *Preventing Maternal Death* (2010); Nieuwenhuijze N, Kane Low L. *Facilitating Women's Choice in Maternity Care*. J of Clinical Ethics (2013); Cheyney M, Everson C, Burcher P. *Homebirth transfers in the United States: narratives of risk, fear, and mutual accommodation*. Qual Health Res (2014).





*Best Practice Guidelines:  
Transfer from Planned Home  
Birth to Hospital*



# *Development Process*

Collaboration Task  
Force – physicians,  
midwives, nurses  
& consumers

Reviewed existing  
regional exemplars

Critical elements  
outlined,  
evidence-reviewed

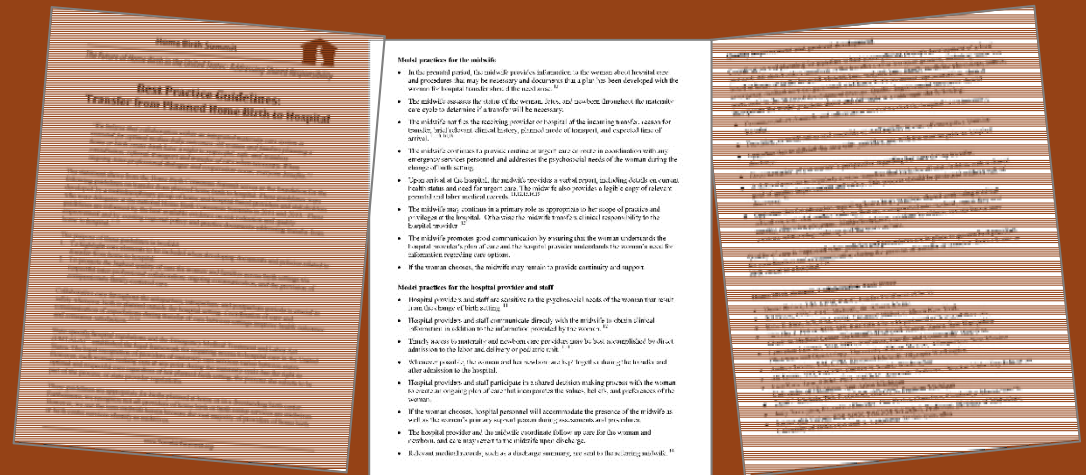
Vetted with all  
Home Birth  
Summit delegates



# The Guidelines

- Appropriate for births planned for home or birth center
- Focus on the consumer
- Provided as open source to encourage widespread adoption

- *Best Practice Guidelines:  
Transfer from Planned Home  
Birth to Hospital*





# *Best Practice Guidelines: Transfer from Planned Home Birth to Hospital*

Promote the highest quality of care for women and families across birth settings via respectful inter-professional collaboration, ongoing communication, and the provision of compassionate family-centered care.

*Model practices for the midwife*

*Model practices for hospital-based care provider and staff*

*Quality improvement and policy development*



In the prenatal period, the midwife provides information to the woman about hospital care and procedures that may be necessary and documents that a plan has been developed with the woman for hospital transfer should the need arise.

The midwife assesses the status of the woman, fetus, and newborn throughout the maternity care cycle to determine if a transfer will be necessary.

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Upon arrival at the hospital, the midwife provides a verbal report, including details on current health status and need for urgent care. The midwife also provides a legible copy of relevant prenatal and labor medical records.

The midwife may continue in a primary role as appropriate to her scope of practice and privileges at the hospital. Otherwise the midwife transfers clinical responsibility to the hospital provider.

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If the woman chooses, the midwife may remain to provide continuity and support.



# *Model practices for the hospital provider and staff*

Hospital providers and staff are sensitive to the psychosocial needs of the woman that result from the change of birth setting.

Hospital providers and staff communicate directly with the midwife to obtain clinical information in addition to the information provided by the woman.

Timely access to maternity and newborn care providers may be best accomplished by direct admission to the labor and delivery or pediatric unit.

Whenever possible, the woman and her newborn are kept together during the transfer and after admission to the hospital.



# *Model practices for the hospital provider and staff*

Hospital providers and staff participate in a shared decision-making process with the woman to create an ongoing plan of care that incorporates the values, beliefs, and preferences of the woman.

If the woman chooses, hospital personnel will accommodate the presence of the midwife as well as the woman's primary support person during assessments and procedures.

The hospital provider and the midwife coordinate follow up care for the woman and newborn, and care may revert to the midwife upon discharge.

Relevant medical records, such as a discharge summary, are sent to the referring midwife.





## *Quality improvement & policy development*

All stakeholders involved in the transfer and/or transport process, including midwives based at home or in the hospital, obstetricians, pediatricians, family medicine physicians, nurses, emergency medical services personnel, and home birth consumer representatives, should participate in the policy development process.

Policies and quality improvement processes should incorporate the model practices ...



# Dissemination

## Publication

- Journal of Midwifery & Women's Health. *Transfer from Planned Home Birth to Hospital: Improving Interprofessional Collaboration*. Nov. 2014

## Poster Presentations

- Lamaze & DONA – September 2014
- AAFP - *Family Centered Maternity Care* – July 2014

## Conferences

- MANA – October 2014
- ACOOG – Spring 2015
- ACNM – June 2015
- ACOG – *abstract submitted* – Annual Meeting 2015

## Webinar

- NACPM

## Hospital Presentations

- Smooth Transitions – Washington State
- Michigan State

www.homebirthsummit.org

## Outcomes

Common Ground  
Context and Scope

## History

Why Necessary  
What Was the Process  
Who were the organizers?  
Who Were the Stakeholders  
Who Were the Delegates?  
Why Future Search

## Action Groups

Site of Birth Decision Making  
Collaboration  
Health Disparities & Equity  
Regulation & Licensure  
Consumer Engagement  
Interprofessional Education  
Liability  
Research & Data Collection  
Physiologic Birth

## News & Events

Online News Stories  
Blogs  
Upcoming Events

## Contribute

Contact Us