

State of California

Department of Consumer Affairs
Medical Board of California**MEMORANDUM****To:** Medical Board of California
Diversion Committee**Date:** October 5, 2007**From:** Frank L. Valine 
Diversion Program Administrator**Subject:** Quality Review Report – 1st Quarter of FY 2007/2008

Attached are the quarterly reports of Quality Review issues requested by the Diversion Committee. They include a review of Intakes, Relapses and Releases during the period of July 1, 2007 through September 30, 2007.

INTAKES

A total of **14** physicians contacted the Program during the 1st Quarter. The following charts reflect the outcomes of contact with these physicians as of September 30, 2007, as well as, other categories of information.

Status at Intake	1 st Qtr	2 nd Qtr	3rd Qtr	4th Qtr	Totals
Active: Approved by DEC & Signed Agreement	0				0
Accepted; Waiting for Signature:	1				1
Intake Complete; Awaiting DEC:	5				5
Contacted Program/Telephone Intake:	6				6
Ineligible:	0				0
Not interested in Program:	2				2
Terminated	0				0
Out-of-State:	0				0
Total Contacts	14				14

Other Information	1 st Qtr	2 nd Qtr	3rd Qtr	4th Qtr	Totals
<u>In Treatment</u> ; At intake:	4				4
Currently:	7				7
<u>Referral Type</u> ; Board Action:	6				6
Self-Referral:	8				8
<u>Impairment</u> ¹ ; Chemical:	9				9
Dual Disorder:	5				5
Mental Only:	0				0
<u>Practicing</u> ; Yes:	3				3
No:	11				11

¹ The determination of a participant's status as Chemically Dependent, Dual Disorder, or Mentally Ill frequently changes as additional information is gathered. Initially, the Program receives self-reported information during the intake process. Additional information, resulting in a change of status, may be received during either the evaluative or formal participation periods from evaluation reports and treatment records.

Table #1: Program Response Times for Intakes, 1st Quarter FY 2007/2008, July – September 2007

Table #1 shows the average response times for intakes (excluding physicians in treatment and those delayed in entry into the Program) during this period, as well as the Program's target timeframes, from the date the physician initially contacted the Program to the completion of the major steps of the evaluation process. These steps include the first face-to-face contact with Program staff; the intake interview; the initial urine test; and attendance at the first DEC meeting. A total of 14 intakes, of which, 2 were not interested.

	Process	Total	Average # of Days	Program Target	Time Periods	Number	Percentage
A	<u>From initial contact to Intake Interview</u> (5 of the 14 intakes did not have an intake interview during this reporting period; 1 was not interested in the program; 4 were entered into treatment. 1 not interested in program had an intake interview.)	9	8	ASAP	0-7 DAYS 7-14 DAYS 14-21 DAYS 21+ DAYS	7 1 0 1	78 % 11 % 0% 11%
B	<u>From initial contact to 1st urine test</u> (6 of the 14 intakes did not have an initial UA during this reporting period; 2 were not interested in the program; 4 were entered into treatment. *21+ days was for a participant who tested on a break from treatment.)	8	9	ASAP	0-7 DAYS 7-14 DAYS 14-21 DAYS 21+ DAYS	4 2 1 1	50 % 25 % 12.5% 12.5 %
C	<u>From initial contact to attendance at 1st DEC Meeting</u> (No Enforcement Activity)	1	60	90	0-60 DAYS 60-90 DAYS 90+ DAYS	1 - -	100% - -
D	<u>From initial contact to attendance at 1st DEC Meeting</u> (With Enforcement Activity)	0	n/a	n/a	0-60 DAYS 60-90 DAYS 90+ DAYS		

The data in A & B total intakes often does not meet program target dates because in many instances the process is delayed when the participant is in treatment and unavailable for an intake or to begin urine tests.

The number of total intakes shown in C & D does not match the actual number of intakes during the reporting quarter because it takes 60-90 days to schedule the DEC meetings and this report does not include updates from the previous quarter.

INTAKES – Program Response Times

Physician Diversion Program – Table 2 - Intake Case (14)

1st Qtr FY 2007/2008 July - Sept 2007

CASE	Status as of Sept 30, 2007	Enforcement Action	Contacted Program/ Telephone Intake	Intake Interview	1st UDS	DEC Meeting (Scheduled)	COMMENTS
2463	In Treatment.	None	7/12/07	n/a	n/a	n/a	In treatment at Springbrook starting 7/17/07.
2464	In-Take Completed/Awaiting DEC.	None	7/17/07	7/19/07	7/20/07	n/a	Completed Residency on 8/13/07. Working Full-Time.
2465	Not Interested In Program	None	7/18/07	n/a	n/a	n/a	Not interested in the Program.
2466	In-Take Completed/Awaiting DEC, 10/12/07.	Probationary License	7/20/07	7/25/07	7/30/07	10/12/07	Out of Practice. Arrested for DUI, Wet/Reckless.
2467	Accepted on 9/19/07; Awaiting Signature.	None	7/23/07	7/20/07	8/1/07	9/19/07	Per Agreement, cannot practice medicine until all pertinent reports are submitted; Diversion Agreement signed and Worksite Monitor(s) are in place; then may practice full-time.
2468	In-Take Completed/Awaiting DEC, 10/24/07.	None	7/25/07	8/6/07	8/9/07	10/24/07	Working Full-time. Completed treatment at Las Encinas on 8/3/07.
2469	In Treatment.	SOU	7/27/07	8/2/07	n/a	n/a	In treatment at Springbrook starting on 8/31/07.
2470	In Treatment.	None	8/8/07	n/a	n/a	n/a	In treatment at BFC starting 8/10/07.
2471	In Treatment.	Investigation Pending	8/14/07	8/16/07	8/17/07	n/a	In treatment at Palmetto starting 8/24/07.
2472	In-Take Completed/Awaiting DEC.	Investigation Pending	8/20/07	8/27/07	8/23/07	n/a	Completed 30 days of Treatment at Genesis on 8/20/07; Self Referral; Out of Practice; Positive UA for Morphine on 9/15/07.
2473	In Treatment. In-Take Completed/Awaiting DEC, 10/25/07.	None	8/21/07	9/24/07	9/25/07	10/25/07	Self Referral; Out of Practice. 8/14/07: Entered in treatment at Springbrook, est. discharge is 10/7/07.

CASE	Status as of Sept 30, 2007	Enforcement Action	Contacted Program/ Telephone Intake	Intake Interview	1st UDS	DEC Meeting (Scheduled)	COMMENTS
2474	Not Interested In Program	Investigation Pending	8/27/07	8/28/07	None	n/a	805 Report, suspended from work. As of 9/13/07, not interested in program.
2475	In Treatment.	Investigation Pending	9/7/07	None	None	n/a	Admitted into Springbrook on 9/7/07.
2476	In Treatment.	None	9/20/07	None	9/22/07	n/a	Admitted into Springbrook on 9/28/07. Had Positive UA for Alcohol on 9/22/07.

EVALUATION PERIOD: The period between the Participant signing the Interim Agreement and his or her appearance before the DEC is an evaluation period. During this initial assessment period, information from a variety of sources is gathered and reviewed as follows:

- Case Manager's Intake Interview
- Participation at Diversion Group meeting
- Evaluation by an addictionologist and/or a psychiatrist
- Treatment program records
- Lab testing results
- Worksite Monitor reports

RELEASES

The table below shows the case details for the **13 participants (for the time period of July 2007 – September 2007, the 1st quarter)** who were released from the program; 10 successfully and 3 unsuccessfully.

		1st Qtr	2 nd Qtr
Type of Referral:	Board Action: Self-Referral:	7 6	
Participant Impairment:	Chemical Dependency: Dual Disorder: Mental Only:	7 6 0	
Successful/Unsuccessful:	Successful: Unsuccessful	10 3	
Release Time for Successful Participants in Program:	5-6 years in program: 6+ years in program:	10 0	
Treatment prior to program:		7	
Treatment while in program:		6	
Relapses while in program:		1	

		3 rd Qtr	4th Qtr
Type of Referral:	Board Action: Self-Referral:		
Participant Impairment:	Chemical Dependency: Dual Disorder: Mental Only:		
Successful/Unsuccessful:	Successful: Unsuccessful		
Successful Release Time in Program:	5-6 years in program: 6+ years in program:		
Treatment prior to program:			
Treatment while in program:			
Relapses while in program:			

Totals Qtrs 1-4

Type of Referral:	Board Action: Self-Referral:	7 6
Participant Impairment:	Chemical Dependency: Dual Disorder: Mental Only:	7 6 0
Successful/Unsuccessful:	Successful: Unsuccessful	10 3
Release Time for Successful Participants in Program:	5-6 years in program: 6+ years in program:	10 0
Treatment prior to program:		7
Treatment while in program:		6
Relapses while in program:		1

Physician Diversion Program –
Releases (13 participants for the time period of July 2007 – September 2007, 1st Quarter, FY07/08)

Case	Release Status	Type of Referral	Enforcement Activity	Drug (s) of Abuse	Mental Health Disorder	Time in Program at Release	Treatment prior to Diversion	Relapses(s)	Treatment during Diversion	Practice Status at Release
2032	Completed	Self	None	Alcohol	No	5 Yr, 5 mo.	No	No	No	Practicing
2067	Completed	Bd	SOU	Mushrooms	No	5 Yr	No	No	Yes	Practicing
2071	Completed	Bd	SOU	Alcohol, Opiates	No	5 Yr	Yes	No	No	Practicing
2035	Completed	Self	None	Alcohol, Fentanyl, Opiates	Yes	5 Yr, 4 mo.	Yes	No	Yes	Practicing
2057	Completed	Self	None	Ativan, Vicodin	Yes	5 Yr, 1 mo.	Yes	No	No	Practicing
2077	Completed	Bd	SOU	Meth.	No	5 Yr	Yes	No	No	Practicing
2016	Completed	Bd	SOU	Alcohol	Yes	5 Yr, 5 mo.	Yes	No	No	Practicing
1995	Completed	Self	None	Alcohol	Yes	5 Yr, 5 mo.	Yes	No	Yes	Practicing
2015	Completed	Bd	Probation	Alcohol, Barb.	No	5 Yr, 5 mo.	No	No	No	Practicing
2060	Completed	Bd	SOU	Alcohol, Marijuana, Cocaine	Yes	5 Yr, 2 mo.	Yes	No	Yes	Practicing
2298	Terminated	Self	None	Fentanyl, Opiates	No	1 Yr, 1 mo.	No	No	Yes	Practicing Part-Time

RELEASES

Physician Diversion Program –
Releases (13 participants for the time period of July 2007 – September 2007, 1st Quarter, FY07/08)

<i>Case</i>	<i>Release Status</i>	<i>Type of Referral</i>	<i>Enforcement Activity</i>	<i>Drug (s) of Abuse</i>	<i>Mental Health Disorder</i>	<i>Time in Program at Release</i>	<i>Treatment prior to Diversion</i>	<i>Relapses(s)</i>	<i>Treatment during Diversion</i>	<i>Practice Status at Release</i>
2291	Terminated	Self	None	Alcohol, Marinol, Dexedrine	No	2 Yr, 2 mo.	No	No	Yes	Practicing
2415	Terminated	Bd	SOU	Oxycodine	Yes, Bi-Polar	6 Months	No	Yes	No	Not Practicing

RELAPSES (8)

The table below shows the case details for total participant relapses during the time period reported. There were **8** relapses during the time frame from July 2007 – September 2007, 1st Quarter.

Quarters		1 st	2 nd	3rd	4th	Totals
Type of Referral:	Board Action:	4				4
	Self- Referral:	4				4
Participant Impairment:	Chemical Dependency:	5				5
	Dual Disorder:	3				3
Length of Time in Program at Relapse:	0-1 year	4				4
	1-2 years	1				1
	2-3 years	1				1
	3-4 years	2				2
	4-5 years	0				0
	7-8 years	0				0
Total with Prior Relapses:		6				6
Method of Detection:	Random UDS:	7				7
	Collector Detection:	0				0
	DUI:	0				0
	Self Report:	0				0
	Treatment Center:	1				1
Practice Restrictions in Response to Relapse:	Stop Practice Initiated:	5				5
	Stop Practice Continued:	1				1
Clinical Response to Relapse:	Inpatient treatment:	5				5
	Increase group/urine tests:	0				0
	Retesting:	8				8
	Outpatient Treatment:	0				0
	DEC further review:	2				2
	Termination:	0				0
	Death:	0				0
Withdrew from Program:		0				0

KEY TO CASE REVIEW TERMS	
DEC	Diversion Evaluation Committee
GF	Group Facilitator
CM	Diversion Program Case Manager
CC	DEC Case Consultant
PM	Diversion Program Manager
UDS	Urine Drug Screen
PCP	Primary Care Physician
EtG Test	Ethyl Glucuronide Lab Screen (Special lab testing screen for Alcohol. Detects Alcohol in the urine for up to 80 hours prior to the date a specimen is collected.)

Physician Diversion Program – Relapses

1st Qtr FY 2007/2008 July – Sept. 2007

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2435	Not Practicing	No	Board	Investigation	Vicodin	No	8/23/07 & 8/30/07	Random UDS Test
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Amphetamines		6 Months		Already not practicing; New sobriety date; Continue therapy, group meetings.				

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2472	Not Practicing	Yes	Self	Investigation	Alcohol, Cocaine	Yes	9/15/07	Random UDS Test
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Benzos		3 Weeks		Already not practicing; New sobriety date; Continue therapy, group meetings. License suspended for 6 months due to Enforcement issues.				

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2454	Treatment	Yes	Self	Investigation	Alcohol	Yes	8/9/07 & 8/16/07	Random UDS Test
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Cocaine		3 months		Already not practicing; Sent to Treatment.				

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2285	Treatment	No	Board	None	Alcohol	No	8/30/07	Random UDS Test
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Cocaine		2 years 6 Months		New sobriety date; Pulled from work; Sent to Treatment.				

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2188	Treatment	Yes	Board	None	Alcohol, Cocaine	No	7/28/07	Random UDS Test
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Cocaine		3 Years 1 Month		New sobriety date; Sent to Treatment.				

RELAPSES

Physician Diversion Program – Relapses

1st Qtr FY 2007/2008 July – Sept. 2007

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2102	Not Practicing	Yes	Self	Investigation	Meth.	No	8/17/07	Random UDS Test
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Amphetamines		4 Years 8 Months		Pulled from work; Seen at 9/19/07 DEC; Continue program at L'Abri; No changes made to Agreement nor was sobriety date changed. Any further relapses will be grounds for termination.				

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2444	Treatment	Yes	Self	None	Alcohol	No	7/3/07, 8/1/07	Random UDS Test and Treatment Center
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Alcohol		3 Months 3 Weeks		Already not practicing; New sobriety date; Treatment at Farley.				

Case	Current Status	Prior Relapses	Referral	Enforcement Activity	Primary Drug(s)	Mental Health Disorder	Date of Relapse	How Detected
2169	Part-Time	Yes	Board	Investigation	Alcohol	Yes	9/22/07	Random UDS Test
Drugs) of Relapse		Time in Program at Relapse		Program Response to Relapse:				
Alcohol		4 Years		Met with DEC on 8/8/07; Amends to agreement include: begin taking Antabuse; attend the Pacific Group meetings and obtain sponsor immediately; undergo a second opinion for medication management with an addictionologist; Allowed to return to work on 9/24/07 at no more than 20 hours per week; Sobriety date not changed.				

RELAPSES