

MEDICAL BOARD STAFF REPORT

DATE REPORT ISSUED: November 2, 2022
 ATTENTION: Members, Medical Board of California
 SUBJECT: Enforcement Program Summary
 STAFF CONTACT: Jenna Jones, Chief of Enforcement

Requested Action:

This report is intended to provide the Members with an update on the Enforcement Program at the Medical Board of California (Board). No action is needed at this time.

General information:

Since the August Board meeting, the enforcement staff has been actively involved in meeting with the Enforcement Monitor and providing requested information.

As staffing changes occur in CCU, we are reworking the duty statements to align with cross training staff and eliminating specialized positions. In addition, we are seeking this same type of cross training in DCU to create a staff knowledgeable with all aspects of the process involved to resolve and finalize disciplinary actions.

We are in the process of hiring a manager who will oversee the expert and medical consultant programs as well as working with the enforcement staff that assist in the executive area. The manager will also be trained to assist with dispositioning cases from HQIU. In addition, we are reevaluating some workloads and making changes to provide assistance to departments that have backlogs or are shorthanded due to vacancies.

Expert Reviewer and Medical Consultant Programs:

There are currently 662 active experts in the Board's expert database as of 10/6/2022. Expert program analysts receive monthly reports of experts with expiring contracts and utilize this information to renew contracts. Expert program analysts routinely process billing submitted by experts and work with HQIU, EPU and Deputy Attorney General staff to aid with selecting an expert for cases assigned to their units. Expert program staff sent recruitment letters to professional medical societies and organizations. Additionally, in October 2022, an email blast was sent to licensees in efforts to recruit reviewers for the Expert Reviewer and Medical Consultant Programs. As a result of this email blast, staff received a significant number of applications and inquiries for both programs. Staff are reviewing the applications to ensure applicants meet requirements. Once confirmed, staff in the expert reviewer and medical consultant programs will request contracts to be able to utilize the services of the expert reviewers and medical consultants. Expert reviewer training is scheduled to be held via WebEx in January 2023. Advertisement for the following specialties were in the Board's August 2022 Newsletter:

- Addiction Medicine with added certification in Family or Internal or Psychiatry
- Cardiology
- Clinical Genetics
- Colon/Rectal Surgery
- Dermatology
- Family Medicine
- Gastroenterology
- Hematology
- Interventional Cardiology
- Neurological Surgery
- Neurology
- Obstetrics and Gynecology (with added expertise in Gynecologic Oncology)
- Orthopaedic Surgery
- Pathology (preferably from: Orange, Riverside, Sacramento, San Bernardino, San Diego, San Francisco, and Ventura Counties)
- Pediatric Endocrinology
- Pain Medicine
- Pediatric Gastroenterology
- Pediatric Surgery
- Pediatric Cardiac Surgery
- Pediatric Critical Care
- Pediatric Pulmonology
- Plastic Surgery
- Psychiatry (Forensic and Addiction)
- Radiation Oncology
- Surgery (General and Endocrine Surgery)
- Thoracic and Cardiac Surgery
- Urology (General and Gender Reassignment)
- Vascular Surgery
- Midwife Reviewer

Central Complaint Unit:

The average number of days to initiate a complaint in the Central Complaint Unit (CCU) is 5 for the first quarter of FY 2022/2023, which is within the timeframe mandated by Business and Professions Code section 129(b). The average days to complete the processing of a complaint in CCU is 111 days. CCU staff and management continue to work diligently to ensure communication with consumers is sent out at various milestones throughout the complaint process, review new complaints in a timely manner, send out requests for necessary information in a timely manner, and reduce the overall aging of all complaint types.

CCU currently has one Management Service Technician (MST) vacancy, two vacant Associate Governmental Program Analyst (AGPA), one vacant Staff Services Analyst

(SSA) position, and one vacant Staff Services Manager I (SSMI) position. Interviews for the MST and SSMI vacancy were conducted, and management is working with human resources to finalize pending hiring clearances. The AGPA and SSA positions were advertised. Applications will be reviewed, and interviews will be conducted in the next month.

Discipline Coordination Unit:

The Discipline Coordination Unit (DCU) currently has three vacancies, two Associate Governmental Program Analyst (AGPA) positions and one Office Technician (OT) position.

Recruitment efforts to fill the two vacant AGPA positions mentioned in the previous Enforcement Summary were successful and the incumbents reported to work on October 12, 2022. Since the last Summary, two other AGPA positions have been vacated. Both positions were advertised and interviews for one position have been conducted. Interviews for the second position will be conducted in November 2022.

Interviews for the OT position were conducted in July 2022 but there were no eligible candidates. The position was readvertised, and interviews will be conducted in November 2022.

Additionally, a Retired Annuitant reported to work on November 1, 2022, to assist DCU with updates to the procedure manual and needed documents.

Probation Unit:

The Probation Unit currently has two vacant Inspector positions, one in Fresno and one in San Dimas. Both vacancies have been advertised. No desirable candidates have been identified for the vacancy in San Dimas and the position has been readvertised. Interviews were conducted for the Fresno position, but the candidate withdrew their application. The position has been readvertised.

During this quarter, six Petitions to Revoke Probation and two Accusations/Petitions to Revoke Probation have been transmitted to the Attorney General's Office. Four Petitions to Revoke Probation have been filed. One Accusation/Petition to Revoke Probation was filed.

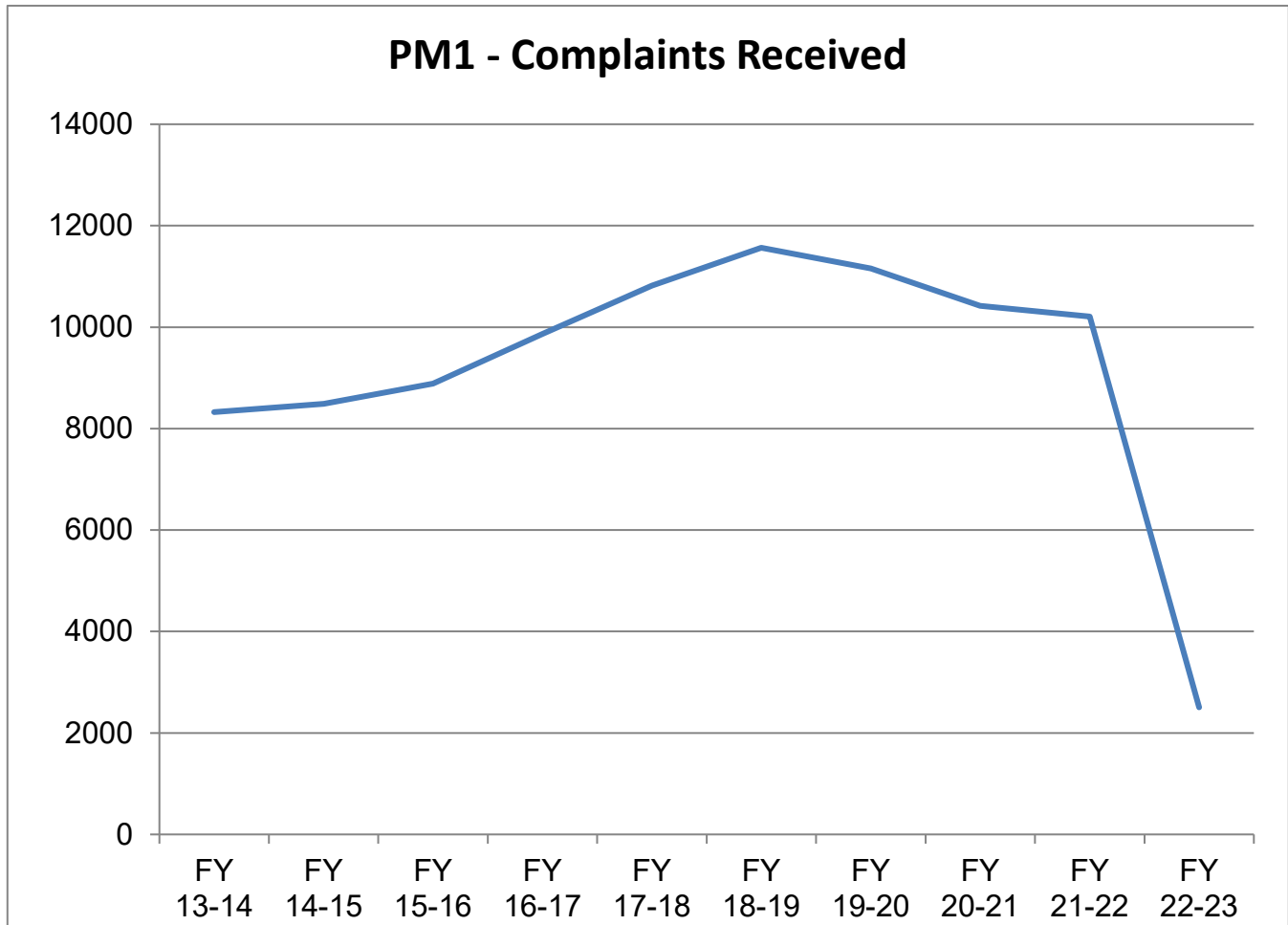
Central Investigations Office

Based upon data for physician and surgeon cases for the date range of 7/1/2022 through 09/30/2022, CIO has successfully decreased their timeframes for resolving complaints from 334 days in the last quarter of FY21/22 to 240 days in the first quarter of the current FY22/23. The Complaint Investigation Office (CIO) non-sworn special investigators currently (as of 10/17/2022) has a unit caseload of 172 cases which breaks down into approximately 26 cases each. In order to determine the average, we

excluded Rashya's position and counted Lauren Nickel as 1/2 position; resulting in 6.5 total positions.

Since the last enforcement summary, CIO has closed 35 cases and transmitted 23 cases to the Attorney General's Office – 19 criminal conviction cases, 3 malpractice cases, 1 vaccination exemption case, and 2 petitions for reinstatement. Additionally, the CIO referred 2 cases to the Board's Cite and Fine Program and 2 cases for a PLR.

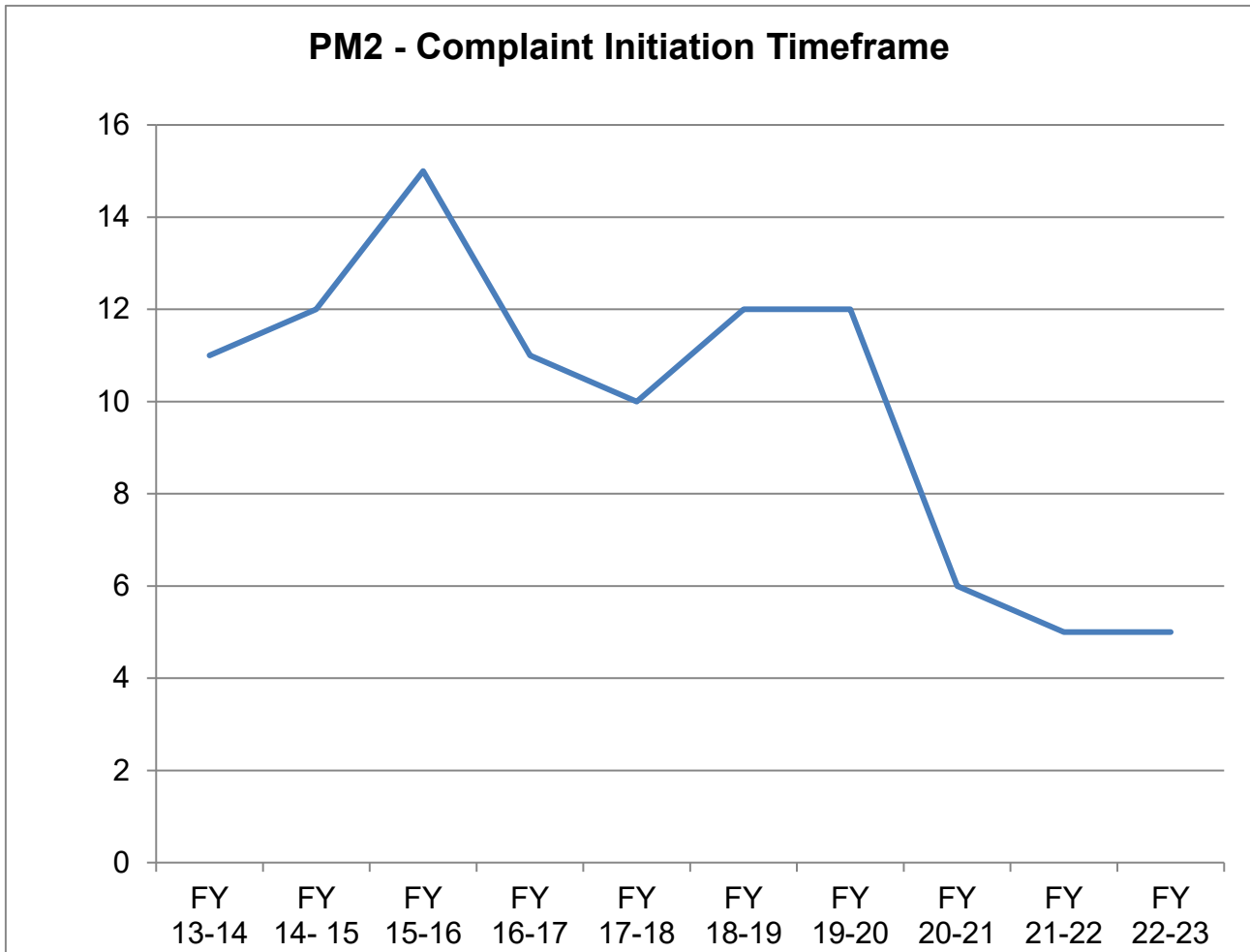
**Medical Board of California Enforcement Program
PM1 - Complaints Received**



Month	FY 13-14	FY 14-15	FY 15-16	FY 16-17	FY 17-18	FY 18-19	FY 19-20	FY 20-21	FY 21-22	FY 22-23
Volume	8325	8490	8885	9862	10817	11565	11155	10418	10209	2505

This chart displays the number of complaints received for all license types under the Medical Board (Licensed Midwife, Physician's and Surgeon's, Research Psychoanalyst, Fictitious Name Permit, Special Programs – Individual, Special Programs – Organization, Special Faculty Permit, Polysomnographic, BPC 853 Pilot Program Physician, Postgraduate Training License, and Medical Expert). When reporting Performance Measures data, the inclusion of all license types under the Medical Board is mandated by DCA. FY 22-23 figures are for date range July 1, 2022 through September 30, 2022.

**Medical Board of California Enforcement Program
PM2 - Complaint Initiation Timeframe**

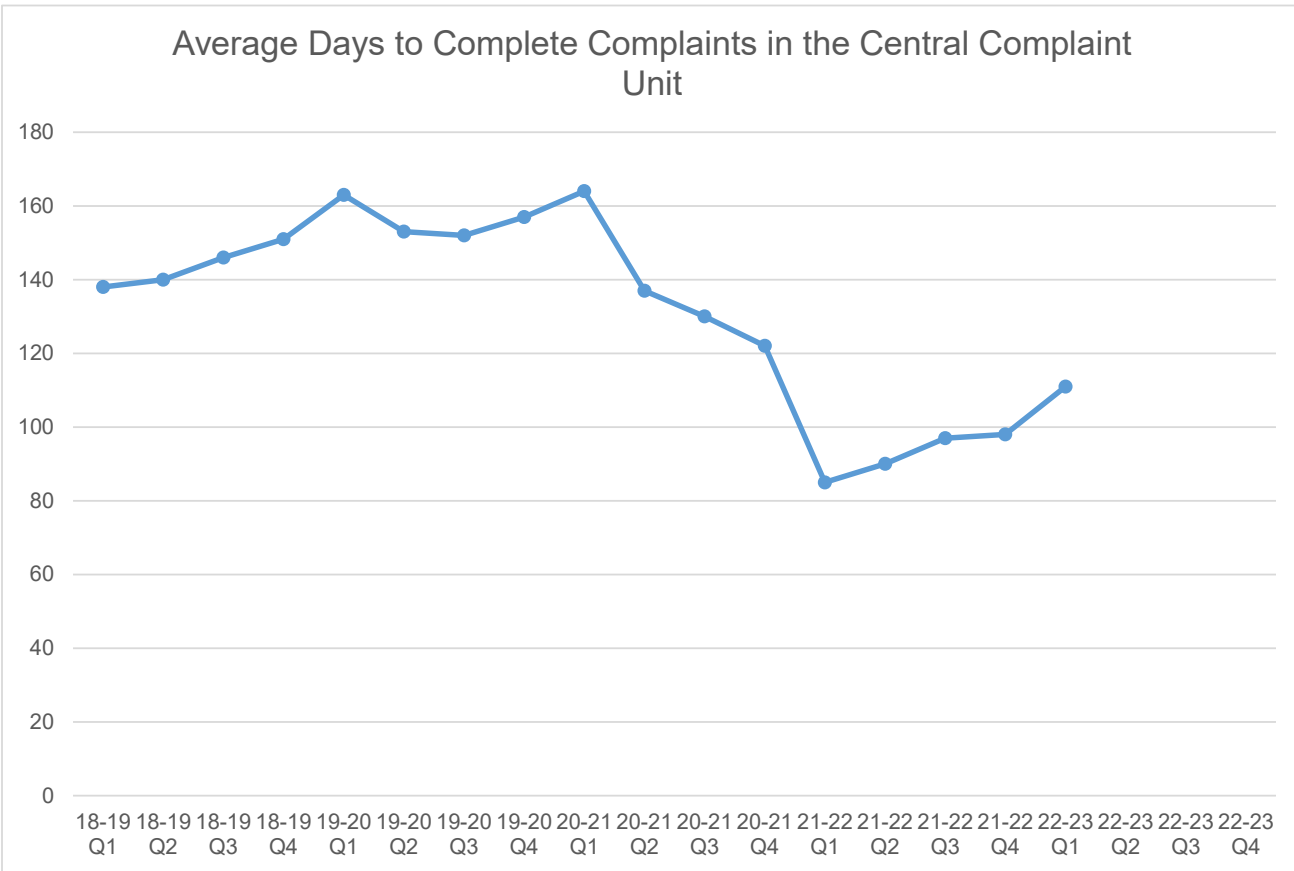


Month	FY 13-14	FY 14-15	FY 15-16	FY 16-17	FY 17-18	FY 18-19	FY 19-20	FY 20-21	FY 21-22	FY 22-23
Cycle Time	11	12	15	11	10	12	12	6	5	5

This chart displays the average number of days to open/process a complaint received for all license types under the Medical Board (Licensed Midwife, Physician's and Surgeon's, Research Psychoanalyst, Fictitious Name Permit, Special Programs – Individual, Special Programs – Organization, Special Faculty Permit, Polysomnographic, BPC 853 Pilot Program Physician, Postgraduate Training License, and Medical Expert). When reporting Performance Measures data, the inclusion of all license types under the Medical Board is mandated by DCA. FY 22-23 figures are for date range July 1, 2022 through September 30, 2022.

**Medical Board of California Enforcement Program
Average Days to Complete Complaints in the Central Complaint Unit**

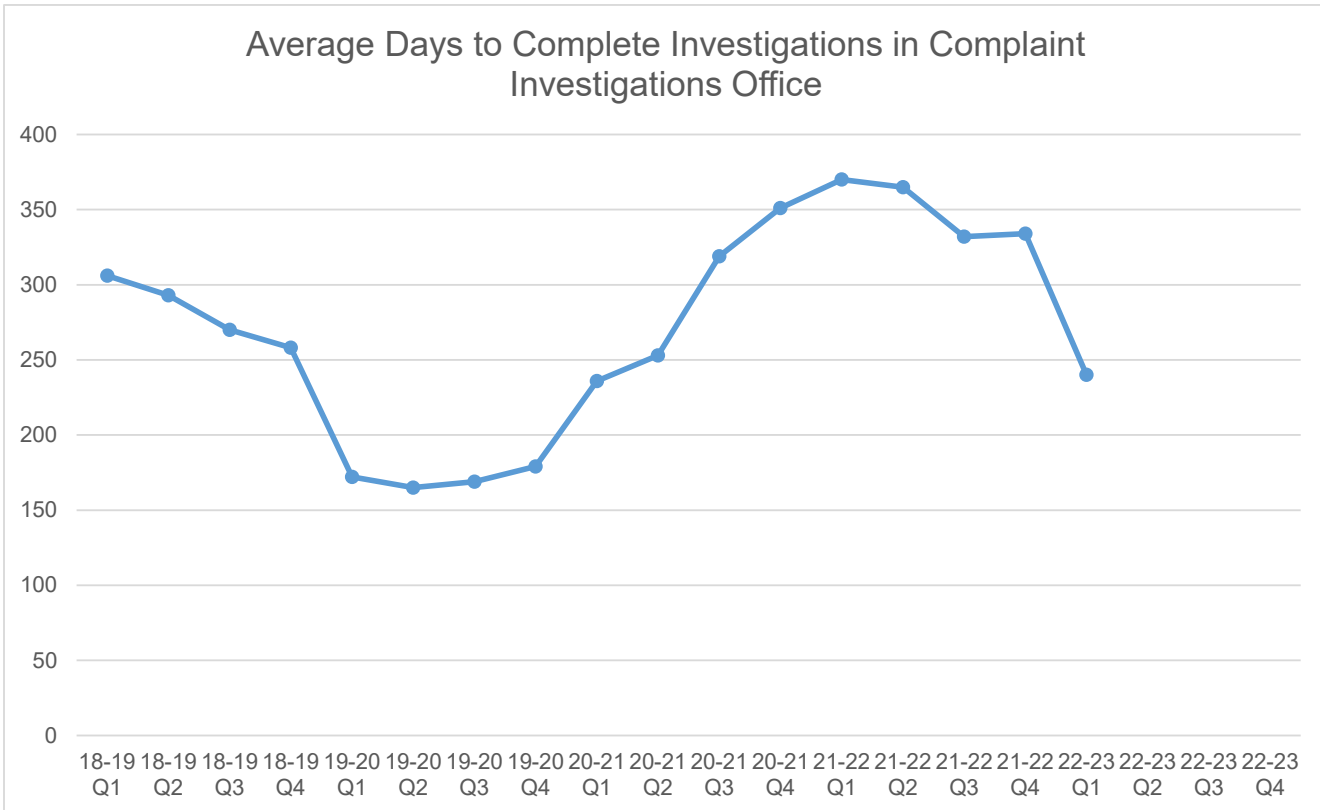
Quarter	Fiscal Year 18-19	Fiscal Year 19-20	Fiscal Year 20-21	Fiscal Year 21-22	Fiscal Year 22-23
Quarter 1	138	163	164	85	111
Quarter 2	140	153	137	90	
Quarter 3	146	152	130	97	
Quarter 4	151	157	122	98	



Average Days to Complete Complaints in Complaint Unit includes complaints resolved by Complaint Unit and Complaint Unit processing days for cases completed at field investigation.

**Medical Board of California Enforcement Program
Average Days to Complete Investigations in Complaint Investigations Office**

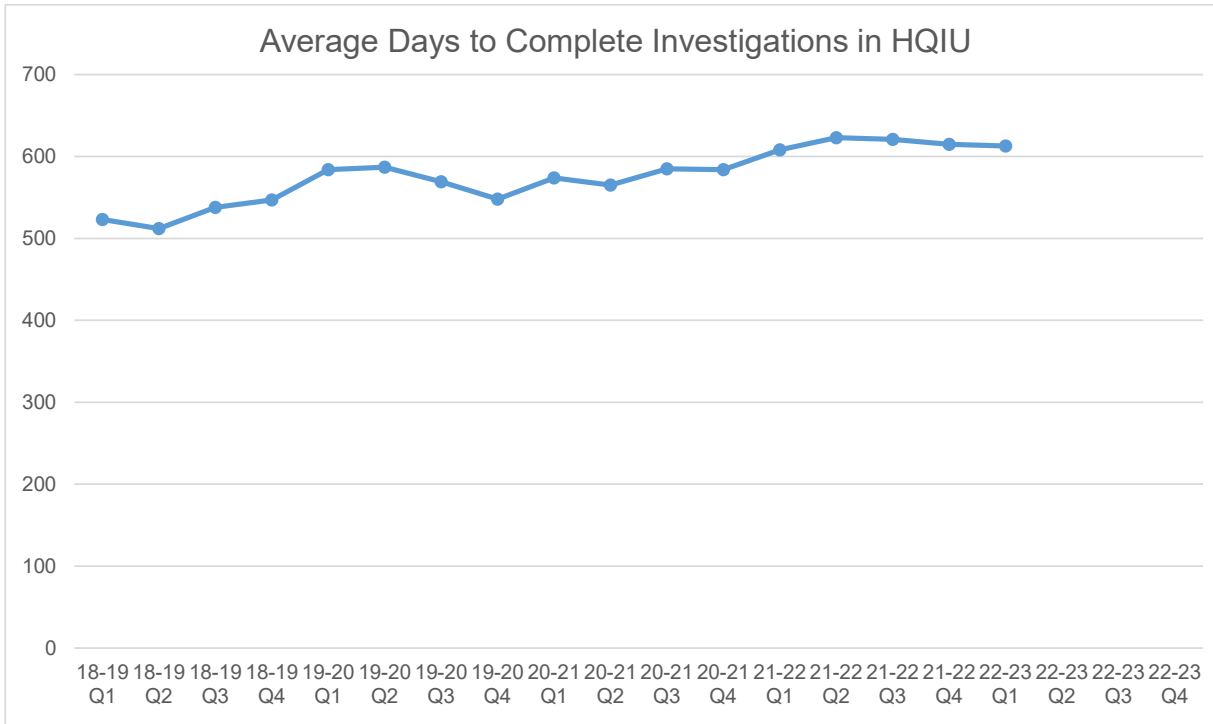
Quarter	Fiscal Year 18-19	Fiscal Year 19-20	Fiscal Year 20-21	Fiscal Year 21-22	Fiscal Year 22-23
Quarter 1	306	172	236	370	240
Quarter 2	293	165	253	365	
Quarter 3	270	169	319	332	
Quarter 4	258	179	351	334	



Investigation processing days are from the date case was assigned to Complaint Investigation Office (CIO) Investigator by Complaint Unit until closure or referral (does not include Complaint Unit processing days for complaints completed at CIO). Includes physician and surgeon data only.

**Medical Board of California Enforcement Program
Average Days to Complete Investigations in HQIU**

Quarter	Fiscal Year 18-19	Fiscal Year 19-20	Fiscal Year 20-21	Fiscal Year 21-22	Fiscal Year 22-23
Quarter 1	523	584	574	608	613
Quarter 2	512	587	565	623	
Quarter 3	538	569	585	621	
Quarter 4	547	548	584	615	



Effective 7/1/18 investigation processing days are from the date the case was referred to HQIU until closure or referral (this does not include Complaint Unit processing days for complaints completed at HQIU). This includes post-investigation processing time by HQIU, and review time by the Attorney General and Board after the investigation is completed, which is an average of 14 days through September 2022. Includes physician and surgeon data only.

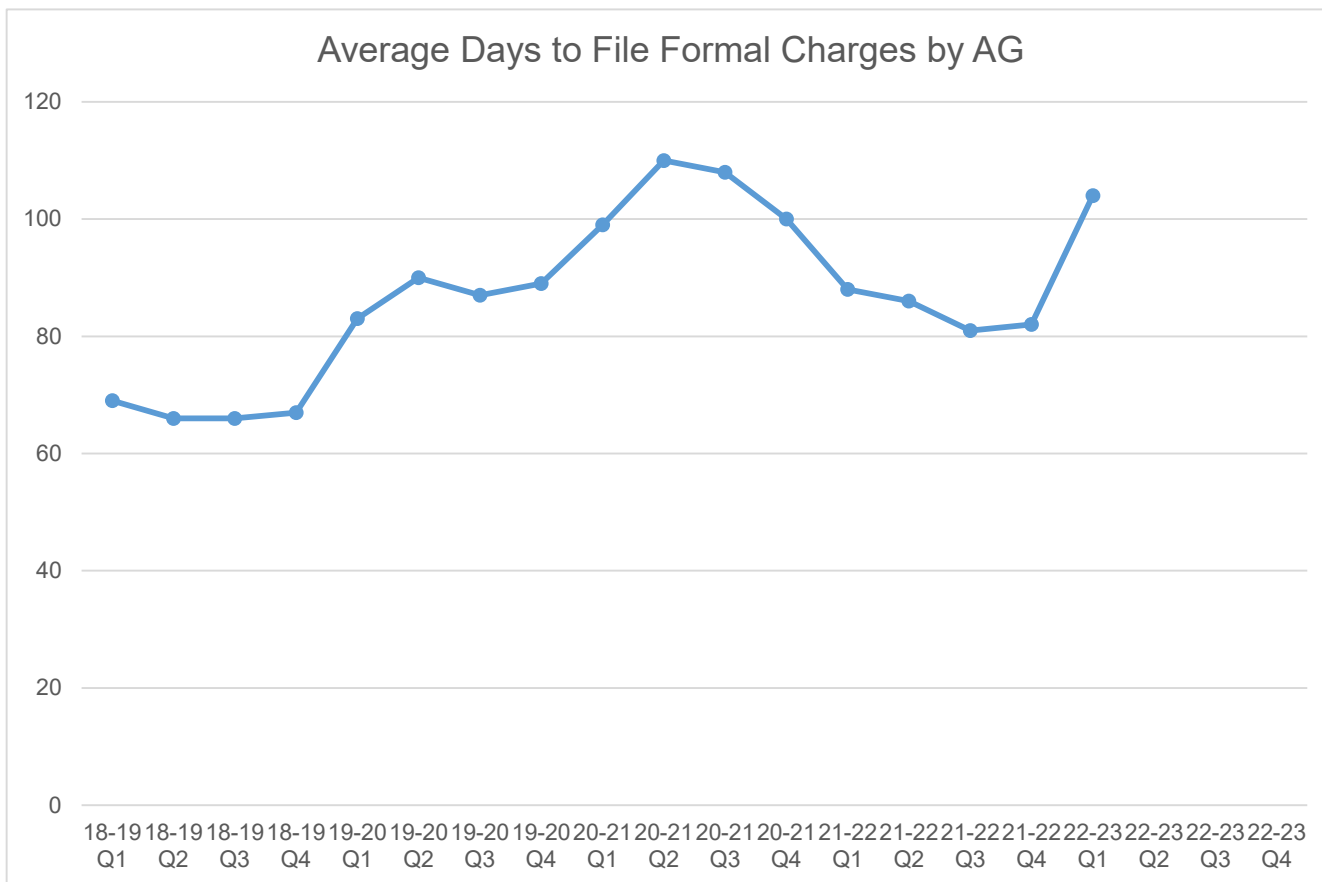
**California Enforcement Program
Average HQIU Investigation Days by Case Type**

Case Type by Fiscal Year	18-19	19-20	20-21	21-22	22-23
Overall	548	548	584	615	613
Gross Negligence/Incompetence	597	561	588	632	643
Inappropriate Prescribing	548	665	651	714	721
Unlicensed Activity	482	529	659	636	628
Sexual Misconduct	494	426	460	580	512
Mental/Physical Illness	460	481	476	529	643
Self-Abuse of Drugs/Alcohol	413	417	416	445	461
Fraud	661	469	560	419	547
Conviction of a Crime	585	528	444	381	257
Unprofessional Conduct	565	492	483	564	592

Effective 7/1/18 investigation processing days are from the date the case was referred to HQIU until closure or referral (this does not include Complaint Unit processing days for complaints completed at HQIU). This includes post-investigation processing time by HQIU, and review time by the Attorney General and Board after the investigation is completed, which is an average of 14 days through September 2022. Includes physician and surgeon data only.

**Medical Board of California Enforcement Program
Average Days to File Administrative Charges Prepared by the
Office of the Attorney General**

Quarter	Fiscal Year 18-19	Fiscal Year 19-20	Fiscal Year 20-21	Fiscal Year 21-22	Fiscal Year 21-22
Quarter 1	69	83	99	88	104
Quarter 2	66	90	110	86	
Quarter 3	66	87	108	81	
Quarter 4	67	89	100	82	



Average Days to File Formal Charges are the days from the date the case is referred to the AG's Office until formal charges are filed. Includes physician and surgeon data only.

ENFORCEMENT TIMEFRAMES

Fiscal Year	18-19 ¹ Average	18-19 ¹ Median	19-20 Average	19-20 Median	20-21 Average	20-21 Median	21-22 Average	21-22 Median	22-23 ² Average	22-23 ² Median
COMPLAINT PROCESSING	151	122	157	111	122	54	98	55	111	57
INVESTIGATION PROCESSING - MBC - CIO (Complaint Investigation Office)	258	127	179	133	351	283	334	251	240	205
INVESTIGATION PROCESSING - HQIU (Health Quality Investigation Unit)	547	502	548	517	584	585	615	633	613	616
TOTAL MBC & HQIU DAYS	179	141	171	127	143	68	176	81	178	91
TOTAL MBC & HQIU YEARS	0.49	0.39	0.47	0.35	0.39	0.19	0.48	0.22	0.49	0.25
AG PREP - Attorney General Preparation for Accusation/Petition to Revoke/Accusation & Petition to Revoke/Statement of Issues	67	55	89	70	100	72	82	62	104	88
POST - Accusation/Petition to Revoke/Accusation & Petition to Revoke/Statement of Issues	333	311	369	345	384	351	388	372	449	423
ACCUSATION DECLINED BY AG	53	32	48	29	45	30	57	36	51	26
TOTAL AG DAYS	339	312	374	354	470	447	478	449	530	501
TOTAL AG YEARS	0.93	0.85	1.02	0.97	1.29	1.22	1.31	1.23	1.45	1.37
TOTAL MBC & AG DAYS	1016	1057	1090	1110	1129	1193	1167	1239	1282	1366
TOTAL MBC & AG YEARS	2.78	2.90	2.99	3.04	3.09	3.27	3.20	3.39	3.51	3.74

Years calculated using 365 days per year

¹ Effective 7/1/18 investigation processing days are from the date the case was referred to HQIU for investigation until closure or referral (this does not include Complaint Unit processing days for complaints completed at HQIU).

² Data through 9/30/22.

Includes physician and surgeon data only.

Pending Enforcement Caseload Summary¹

Data Current as of November 1, 2022

	0-3 Months	4-6 Months	7-9 Months	10-12 Months	1 Year	2 Years	3 Years	4 Years	Over 4 Years	Total by Group	Previous Quarter Data	Variance	% Variance
Central Complaint Unit	1,197	784	501	274	76	0	0	0	0	2,832	2,947	-115	-4%
Complaint Investigation Unit	57	37	22	25	28	4	0	0	0	173	162	11	7%
Health Quality Investigation Unit	202	188	192	126	387	93	6	1	0	1,195	1,208	-13	-1%
Completed Investigations Awaiting Disposition²	42	0	0	0	0	0	0	0	0	42	38	4	11%
Citation and Fine Desk	46	39	19	9	58	41	2	0	0	214	192	22	11%
Out-of-State Desk	62	70	73	24	22	0	0	0	0	251	182	69	38%
AG Services³	17	20	12	11	6	0	0	0	0	66	63	3	5%
AG-Pre⁴	96	56	27	19	37	13	3	11	1	263	256	7	3%
AG-Post⁵	71	57	63	52	103	15	9	3	5	378	407	-29	-7%
Total by Age	1,790	1,251	909	540	717	166	20	15	6	5,414	5,455	-41	-1%

¹ Includes physician and surgeon data only.

² Represents the number of completed investigations returned by HQIU to the Board for review and determination of outcome.

³ AG Services includes petitions to compel, subpoena enforcement, and referrals for citation appeals.

⁴ AG-Pre includes cases transmitted to the AG but the Accusation/Petition to Revoke/Accusation & Petition to Revoke/Statement of Issues is not yet filed.

⁵ AG-Post includes Accusation/Petition to Revoke/Accusation & Petition to Revoke/Statement of Issues that have been filed.

* Probation Monitoring caseload removed at the request of the Board.

Administrative Outcomes for Physicians and Surgeons by Quarter

Types of Outcomes	FY 22/23				
	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total
Administrative Outcomes					
License Revoked	4				4
License Surrendered (in Lieu of Accusation or with Accusation Pending)	23				23
License Placed on Probation with Suspension	0				0
License Placed on Probation	39				39
Probationary License Issued	3				3
Public Reprimand	21				21
Other Action	0				0
Referral and Compliance Actions					
Citation and Administrative Fines Issued	26				26

Types of Outcomes	FY 17-18	FY 18-19	FY 19-20	FY 20-21	FY 21-22
Administrative Outcomes					
License Revoked	59	60	35	49	36
License Surrendered (in Lieu of Accusation or with Accusation Pending)	98	95	96	125	106
License Placed on Probation with Suspension	5	2	4	4	7
License Placed on Probation	139	158	144	132	156
Probationary License Issued	16	22	22	19	14
Public Reprimand	133	135	108	154	118
Other Action	0	0	0	2	1
Referral and Compliance Actions					
Citation and Administrative Fines Issued	150	158	62	51	122



MEDICAL BOARD OF CALIFORNIA

Protecting consumers by advancing high quality, safe medical care.

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Gavin Newsom, Governor, State of California | Business, Consumer Services and Housing Agency | Department of Consumer Affairs

MEMO

DATE: November 15, 2022

TO: William Prasifka, Executive Director

FROM: Medical Board of California Data Request Team

Please see the below responses to the request for Quarterly Probation Monitoring Reports by Mr. Watkins on November 2, 2022, related to probation violations and their outcomes.

The number of active probations for all license types for which the Board provides services is available for previous fiscal years in the Board's Annual Reports. For FY 21-22 the information is located on page 16, and copied here for your convenience:

Enforcement Program Caseload

	Statewide	Per Investigator/ Inspector
Health Quality Investigation Unit (Department of Consumer Affairs)⁴		
Active Investigations	1,277	15 ⁵
AG Cases Assigned ⁶	392	5
Probation Unit⁷		
Monitoring Cases ⁸	561	35

¹ Average and median time (calendar days) in processing complaints during the fiscal year, for all cases, from date of original receipt of the complaint, for each stage of discipline, through completion of judicial review.

² Days from case transmittal to initial pleading filed.

³ Days from filing to final case disposition.

⁴ Includes physicians and surgeons, licensed midwives, and polysomnographic program.

⁵ Average is determined by using the total number of authorized positions, including vacant positions.

⁶ Cases are at various stages of AG processing and may require supplemental investigative work, such as subpoena services, interviewing new victims or witnesses, testifying at hearings, etc.

⁷ Includes physicians and surgeons and polysomnographic technologist.

⁸ 85 additional monitoring cases were inactive because the probationer was out of state as of June 30, 2022.

The report indicates that as of 6/30/2022 there were 561 cases in state and 85 out of state for a total of 646 probationers for all license types. Of those, 644 are physician and surgeon

active probationers. At the end of FY 22/23 Q1, for only physician and surgeon license types, there are 639 active probations.

The Board currently reports “Probations Completed” in both the DCA Annual Report and the Sunset Report and shows 102 for FY 21/22 for physicians and surgeons (these publications have not yet been made public). Please note that this only includes those that successfully complete probation, not those where subsequent discipline (public letter of reprimand/public reprimand, additional probation/probation with suspension, surrender, or revocation) was imposed on the probation case, or if a probation ended due to early termination of probation. Those outcomes are reported in the “Probation Violation Outcomes” and “Petition Activity” charts on page 14 of the 21/22 Annual Report. For FY 22/23 Q1 there were 28 probations that were successfully completed.

The Board reports the number of referrals to the Office of the Attorney General (AG) for violations of probation in its Annual Report in the “Physician’s and Surgeon’s Enforcement Summary” and reports 39 for FY 21/22. This metric includes referrals to the AG for an accusation and petition to revoke as well as a referral for a petition to revoke as they are simply different types of violations of probation. An accusation and petition to revoke is filed when the Board receives a complaint against a licensee while they are on probation, and it meets the burden of proof to refer to the AG for prosecution. A petition to revoke is filed when the probationer violates a specific term or condition of probation, and it meets the burden of proof to refer to the AG for prosecution.

Physician's and Surgeon's Enforcement Summary		
	FY 20-21	FY 21-22
Complaints¹		
Complaints Received	10,103	9,943
Complaints Closed by Complaint Unit	11,124	9,362
Closed ¹	10,030	8,254 ²
Referred to Cite and Fine	45	89
Referred to Investigations	1,049	1,019
Investigations		
Opened	1,063	1,049
CIO	200	235
HQIU	863	814
Closed	1,766	1,307
CIO	320	263
HQIU	1,446	1,044
Investigation Referrals		
Referred to the AG	649	502
MBC	172	127
HQIU	477	375
Cases Referred for Criminal Action	25	19
Probation Violation Reports Referred to the AG	41	39

The Board reports in its Annual Report that there were 31 petitions to revoke probation/accusations and petitions to revoke probation filed and 21 cease practice orders issued as a result of a violation of probation in fiscal year 21/22.

Administrative Actions

	FY 20-21	FY 21-22
Administrative Actions		
Accusation	383	283
Petition to Revoke Probation/Accusation and Petition to Revoke	36	31
Amended Accusation/Petition to Revoke	66	217
Completed Investigations Referred to the AG and Awaiting the Filing of Accusation as of June 30, 2021	101	82
Cases Over 6 Months Old that Resulted in the Filing of Accusation	362	260

License Restrictions/Suspensions and Temporary Restraining Orders

Imposed while Administrative Action Pending	FY 20-21	FY 21-22
Interim Suspension Order (ISO)	23	21 ¹
Temporary Restraining Order (TRO)	0	0
Other Suspension Orders	44	36 ²
Sought and Granted by Case Type for FY 21-22		
	Sought	Granted³
Gross Negligence/Incompetence	8	4
Inappropriate Prescribing	9	1
Unlicensed Activity	0	0
Sexual Misconduct	9	8
Mental/Physical Illness	15	7
Self-Abuse of Drugs/Alcohol	15	14
Fraud	6	1
Criminal Charges/Conviction	13	9
Unprofessional Conduct	14	13
Total	89	57

¹ Pursuant to BPC §2220.05(c), ISOs and TROs were granted in the following priority categories: 1 - gross negligence/incompetence resulting in death or serious bodily injury; 0 - drug or alcohol abuse involving death or serious bodily injury; 0 - excessive prescribing; 0 - excessive recommending of medical cannabis; 3 - sexual misconduct with a patient; 2 - practicing under the influence of drugs/alcohol; and 0 - excessive prescribing to a minor.

² Includes 3 - Automatic Suspension Orders per BPC §2236; 6 - license restrictions per Penal Code §23; 2 - license restrictions pursuant to court order; 4 - out-of-state suspension orders per BPC §2310; 0 - stipulated agreements to suspend or restrict the practice of medicine; and 21 - cease practice orders issued for violation of probation condition or violation of interim suspension order.

³ Some orders granted were sought in prior FY.

Additionally, this information is currently provided on a quarterly basis in the “Executive Report Enforcement Summary” (usually Agenda Item 8) in the “Probation Unit” section of the summary.

(Example: August 2022 Board Meeting)

Probation Unit:

The Probation Unit currently has two vacant Inspector positions, one in San Dimas and one in Fresno. Both vacancies have been advertised but no desirable candidates have been identified. Probation management will continue advertisement of both positions.

During this quarter, six Petitions to Revoke Probation and two Accusations/Petitions to Revoke Probation have been transmitted to the Attorney General's Office. Eight Petitions to Revoke Probation have been filed. There were no Accusations/Petitions to Revoke Probation filed.

The December Board Meeting Probation Unit report will indicate that for FY 22-23 Q1 there were six petitions to revoke probation and two accusations and petitions to revoke probation referred to the AG. There were also four petitions to revoke filed, one accusation and petition to revoke filed, and two cease practice orders issued as a result of a violation of probation.

The Board has not reported information regarding citations issued for probation violations in the past. Therefore, a new report was created, and Board Enforcement staff reviewed each record to verify that for FY 22-23 Q1 there were 13 citations issued related to a violation of probation. With regard to the request to provide specific information related to repeat offenders, the Board's database does not currently track data in a way that can reliably extract this kind of information. Staff has investigated this issue recently, and it would be extremely complex to create a report of this kind and would take a considerable amount of the Information Systems Branch (ISB)'s and the Enforcement Program's resources to develop and verify.

The Board reports physicians and surgeons' licenses revoked or surrendered due to a violation of probation in the "Probation Violation Outcomes" Chart of the Board's Annual Report on Page 14 of the 21-22 Annual Report:

	FY 20-21	FY 21-22
Probation Violation Outcomes		
License Revoked	13	7
License Surrendered	7	10
Additional Suspension and Probation	0	2
Additional Probation	10	14
Public Reprimand	2	0
Other Actions (e.g., Exam Required, Educational Course, etc.)	0	0
Petition Withdrawn	3	0
Petition Dismissed	0	0

The Board also reports this information in each Board Packet as part of the “Licenses Revoked” metric in the Administrative Outcomes Report. This was setup to mirror the “Administrative and Probation Violation Outcomes by Case Type” chart in the Annual Report for continuity of reported numbers between documents.

Types of Outcomes	FY 22/23				
	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total
Administrative Outcomes					
License Revoked	4				4
License Surrendered (in Lieu of Accusation or with Accusation Pending)	23				23
License Placed on Probation with Suspension	0				0
License Placed on Probation	39				39
Probationary License Issued	3				3
Public Reprimand	21				21
Other Action	0				0
Referral and Compliance Actions					
Citation and Administrative Fines Issued	26				26

(FY 21-22 for reference to the chart it mirrors)

Administrative and Probation Violation Outcomes by Case Type¹

	Revocation	Surrender	Probation with Suspension	Probation	Probationary License Issued	Public Reprimand	Other	Total Actions
Gross Negligence/Incompetence	9	39	2	84	4	73	0	211
Inappropriate Prescribing	5	13	1	20	0	5	0	44
Unlicensed Activity	0	0	0	0	0	1	0	1
Sexual Misconduct	4	7	0	2	0	1	0	14
Mental/Physical Illness	1	5	0	5	1	2	1	15
Self-Abuse of Drugs/Alcohol	4	21	2	28	3	6	0	64
Fraud	3	5	0	2	0	0	0	10
Conviction of a Crime	3	5	0	2	0	4	0	14
Unprofessional Conduct	7	11	2	13	6	26	0	65
Total Administrative Actions	36	106	7	156	14	118	1	438

¹ Pursuant to BPC §2220.05(c), disciplinary actions were taken in the following priority categories: 3 - gross negligence/incompetence resulting in death or serious bodily injury; 2 - practicing under the influence resulting in death or serious bodily injury; 31 - excessive prescribing; 0 - excessive recommending of medical cannabis; 10 - sexual misconduct with a patient; 8 - practicing under the influence of drugs/alcohol; and 0 - excessive prescribing to a minor.

For FY 22-23 Q1 there was one revocation imposed due to a violation of probation and two surrenders accepted due to a violation of probation. Those surrenders do not include surrenders where the licensee requested to surrender their license while on probation.

The Data Request Team hopes that this information is helpful, and we are happy to answer any questions regarding this memo.