

### **BOARD ROSTER**

The Medical Board of California (Board) is comprised of fifteen members: eight physician members and five public members appointed by the Governor, one public member appointed by the Speaker of the Assembly, and one public member appointed by the Senate Rules Committee. The Board has seven standing committees, seven task forces, two disciplinary panels, and the Midwifery Advisory Council that assist with the work of the Board.

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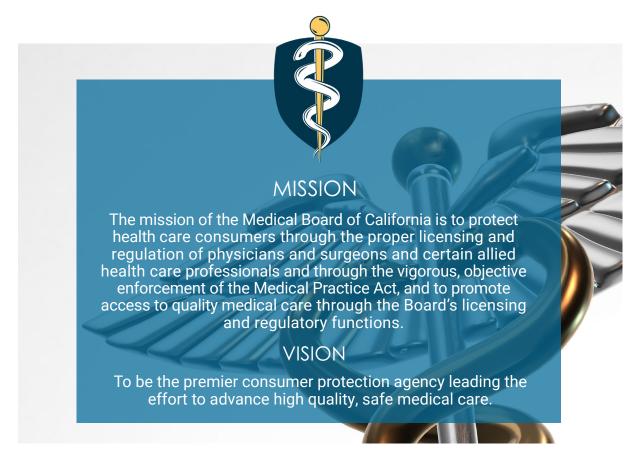
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Richard E. Thorp, M.D.

Veling Tsai, M.D.

Eserick "TJ" Watkins



Gavin Newsom Governor William Prasifka Executive Director Reji Varghese Deputy Director

### **EXECUTIVE SUMMARY**

The Board made significant progress advancing its consumer protection mission during Fiscal Year (FY) 2021-2022, through the implementation of statutory changes instituted through the Legislature's Sunset Review process in the prior fiscal year.

Further, the Board advocates for multiple legislative proposals intended to strengthen its ability to protect California healthcare consumers. During FY 2021-2022, the Board also focused on internal process improvements that streamline and improve its critical licensing and enforcement functions.

# IMPLEMENTING STATUTORY CHANGES/SUNSET BILL CHANGES

The passing of Senate Bill (SB) 806 in 2021, known as the Board's Sunset Bill, required the Board to increase licensing and renewal fees and made various other changes to the Medical Practice Act and Licensed Midwifery Practice Act of 1993.

Following the approval of SB 806 by the Governor, the Board began implementing the various provisions of the bill, including:

- Informing all licensees of a new requirement to possess and report an email address to the Board.
- Recovering investigation and prosecution costs from disciplined physicians.
- Updating BreEZe, application forms, and website content related to changes to fee amounts and licensure requirements.
- Working with the enforcement monitor appointed by the Director of the Department of Consumer Affairs.



The Medical Board of
California News is published
four times per year. Check
out the Board's most recent
newsletter on our
Newsletter Webpage.

### WALLET CARD GENERATOR

To increase efficiency and reduce expenses, the Board launched a digital Wallet License Generator on its website that allows licensees in a current status to generate and print their own wallet license card.

The new wallet cards feature a licensespecific QR code allowing interested parties to instantly view real-time license status information, generate a PDF file that can be sent to employers or others as needed, and save the Board resources related to generating, printing, and mailing plastic cards.

### WEBSITE REDESIGN

In July 2021, the Board launched a redesign of its public-facing website. Board staff designed the new website to conform with the latest website standards established by the California Department of Technology to build user-centered, accessible, and mobile-friendly government websites.

The website homepage now has a simple and clean design that enhances compliance with the Americans with Disabilities Act (ADA) and features faster load times. Staff retained some of the previous website's most popular features including a news section that features the top three latest Board news items, and an alert bar informing users of important developments.

### **SCAM ALERT**

The Board warned licensees of multiple telephone scams targeting California physicians. In one scam, the caller impersonates a Board investigator, staff member, or other law enforcement agent. The scammer tells the physician that their license has been suspended, or a warrant has been issued for their arrest (sometimes both) due to criminal activity. The scammer indicates that the physician's license will be suspended if they do not pay a fee.

Another scam that targeted physicians involved criminals filing false disability insurance claims through the state's Employment Development Department by using publicly available information.

The Board sent out email blasts to all its licensees and featured information warning licensees on its website and quarterly newsletter about this attempted fraud and provided guidance on what to do if they received a scam call. To help stop these attempts, the Board suspended paper requests for a change of address, and now requires most of its licensees to complete the change of address electronically on BreEZe.

### LEGISLATIVE PROPOSALS

To enhance its ability to protect California's healthcare consumers, the Board sent a *memo* to the state Legislature with multiple Board-approved legislative requests designed to further the Board's mission of consumer protection.

Among others, the Board has requested increasing physician licensing fees, reducing the amount of evidence required to discipline its licensees, establishing a public member majority for the Board, and increasing consumer access to medical records obtained by the Board.

The Board participated in a Senate Business, Professions, and Economic Development committee hearing on May 6, 2022, in which Board leadership spoke to lawmakers about certain Board enforcement proposals, answered questions, and committed to continuing the dialogue regarding these requests.

### PHYSICIANS AND SURGEONS' YEAR IN REVIEW



10,834
Applications
Received



9,843 Licenses Issued<sup>1</sup>



70,742 Licenses Renewed



9,943
Complaints
Received

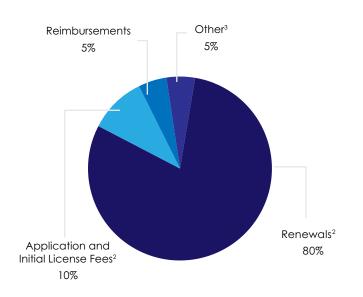
<sup>&</sup>lt;sup>1</sup> Includes Postgraduate Training Licenses

### **BUDGET SUMMARY**

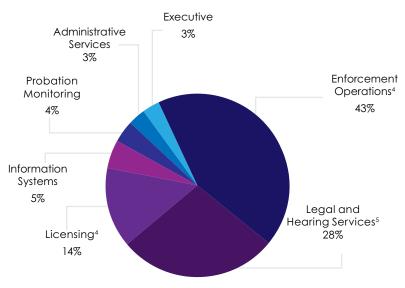
Physicians' renewal fees are the primary source of revenue for the Board, as illustrated below in the revenues and reimbursements chart. The budget distribution chart reflects the actual expenditures in each of the Board's programs.

The Enforcement Program accounts for 75 percent of the Board's overall expenditures. As of January 2022, the Board can now order cost recovery for the investigation and prosecution of a case, the Board also can order probation monitoring costs be reimbursed. The Licensing Program accounts for 14 percent of the Board's expenditures, while the Executive and Administrative Services account for six percent. The Information Systems Branch accounts for the remaining five percent of the Board's overall expenditures.

#### Revenues and Reimbursements<sup>1</sup>



### **Budget Distribution**



Revenues and Reimbursements <sup>1</sup>			
Renewals <sup>2</sup>	\$53,794,000	80%	
Application and Initial License Fees <sup>2</sup>	\$6,947,000	10%	
Reimbursements	\$3,707,000	5%	
Other <sup>3</sup>	\$3,200,000	5%	
Total	\$67,649,000	100%	

Budget Distribution		
Enforcement Operations <sup>4</sup>	\$31,143,000	43%
Legal & Hearing Services <sup>5</sup>	\$20,455,000	28%
Licensing <sup>4</sup>	\$9,803,000	14%
Information Systems	\$3,402,000	5%
Probation Monitoring <sup>4</sup>	\$3,089,000	4%
Administrative Services	\$2,281,000	3%
Executive	\$2,256,000	3%
Total	\$72,429,000	100%

<sup>&</sup>lt;sup>1</sup> Period 12 is not yet closed. Data as of September 14, 2022.

<sup>&</sup>lt;sup>2</sup> Physicians and Surgeons.

<sup>&</sup>lt;sup>3</sup> Regulatory Fees, Delinquency/Penalty/Reinstatement Fees, Interest on Fund, and Miscellaneous.

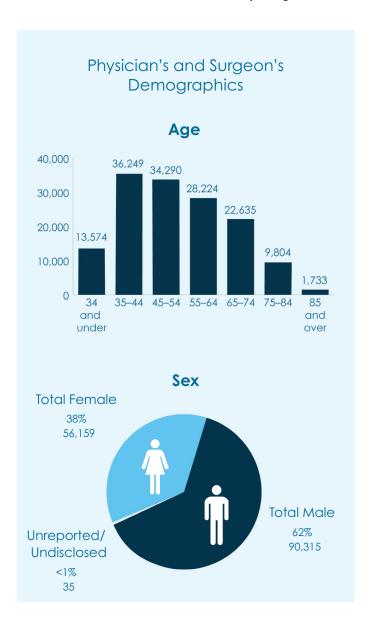
<sup>&</sup>lt;sup>4</sup> Excludes Attorney General Services, Office of Administrative Hearings, and Court Reporter Services.

<sup>&</sup>lt;sup>5</sup> Includes Attorney General Services, Office of Administrative Hearings, and Court Reporter Services.

### LICENSING PROGRAM

The Board's Licensing Program protects consumers by setting requirements for licensure, including education, experience, and demonstrated competence, and issues licenses to individuals meeting those requirements. The Licensing Program also provides license verification services, issues fictitious name permits, and approves accreditation agencies for the accreditation of outpatient surgery settings.

The Licensing Program is making revisions to its business processes to allow applicants to submit required documents for certain license types, including Physicians and Surgeons and Postgraduate Training Licenses, completely through the Board's BreEZe system. While continuing progress towards a paperless licensing process, the Licensing Program also focused on implementing the new licensure requirements effective January 1, 2022, and conducting outreach to applicants, licensees, medical schools, and postgraduate training programs on the impact of the law change.



### MEXICO PILOT PROGRAM LAUNCH

The Licensed Physicians from Mexico Pilot Program (MPP) was created to allow up to 30 licensed physicians specializing in family practice, internal medicine, pediatrics, and obstetrics and gynecology from Mexico to practice medicine in California for a period not to exceed three years if certain requirements are met. These individuals are authorized to work only in specific nonprofit community health centers within California.

With four community health centers approved, the Board issued its first MPP license in July 2021, followed by 16 more issued in the same fiscal year. Of the 23 participating physicians, 17 were issued a license in FY 21-22 and the remainder have met the requirements for licensure but requested the Board to delay the issuance of their license until they are ready to submit their visa application. Ten of the 17 licensed MPP physicians obtained their visas and began practicing in an approved community health center in California.

### **NEW LICENSE REQUIREMENTS**

The postgraduate training requirements to obtain a Physician's and Surgeon's (P&S) License changed significantly on January 1, 2022, as a result of SB 806. To obtain an intial P&S License, graduates of U.S. or Canadian medical schools must obtain credit for 12 months and graduates of international medical schools need credit for 24 months of Board-approved postgraduate training.

Prior to January 1, 2022, all P&S License applicants needed 36 months of Board-approved postgraduate training, including 24 months in the same program to obtain their initial license. The new law now requires physicians issued a P&S License on or after January 1, 2022, to obtain credit for 36 months

of Board-approved postgraduate training, including 24 months in the same program in order to renew their license for the first time.

The new law reduced the length of time a PTL may be issued from 39 months down to 15 months for graduates of U.S. or Canadian medical schools and 27 months for graduates of international medical schools.

The Licensing Program made required changes to its license application forms, instructions, procedures, and website information to implement the new law and conducted outreach to its applicants, licensees, and other stakeholders to inform them of the impact to their application or license, including conducting live webinars made available on the Board's website.

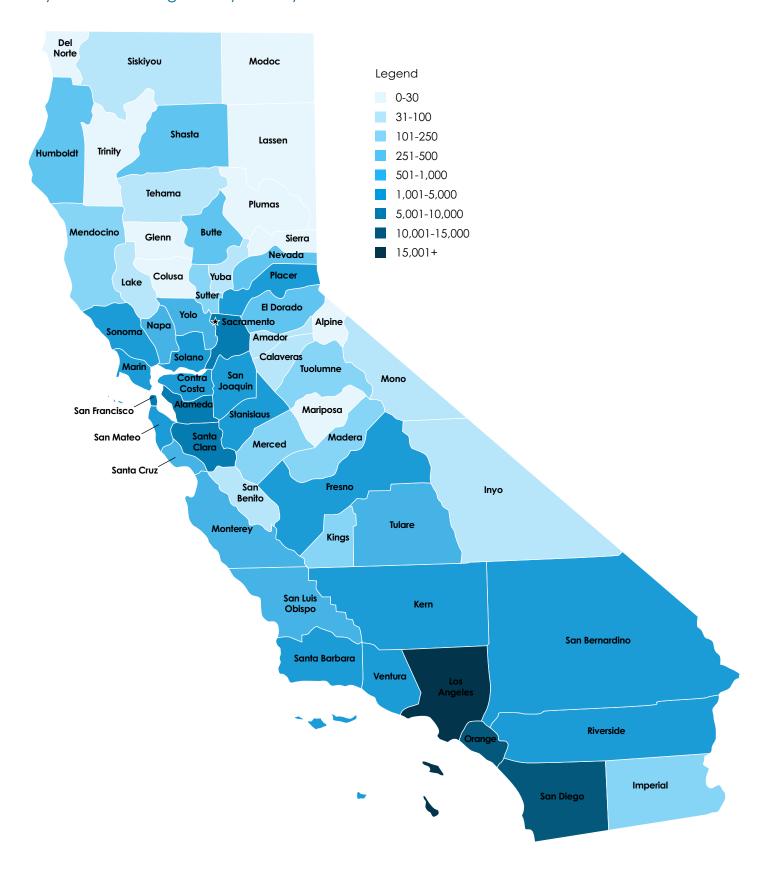


Access to information on your doctor at your fingertips, 24/7. Developed by the Medical Board of California as part of their ongoing committeent to protecting California's healthcare consumers.

Making informed healthcare decisions has never been easier. Receive notifications when a doctor's name, address, practice status, license expiration, or survey data changes, and when administatrive actions and enforcement documents are added to a doctor's profile. This information includes notification when a doctor is suspended, revoked, or placed on probation.



### Physicians and Surgeons by County



### Physicians and Surgeons by County<sup>1</sup>

County	
Alameda	5,553
Alpine	2
Amador	63
Butte	440
Calaveras	43
Colusa	10
Contra Costa	3,694
Del Norte	29
El Dorado	360
Fresno	2,230
Glenn	11
Humboldt	272
Imperial	131
Inyo	52
Kern	1,246
Kings	140
Lake	67
Lassen	21
Los Angeles	31,414
Madera	229
Marin	1,613
Mariposa	14
Mendocino	171
Merced	224
Modoc	9
Mono	39
Monterey	983
Napa	512
Nevada	255
Orange	11,043
Placer	1,521
Plumas	22

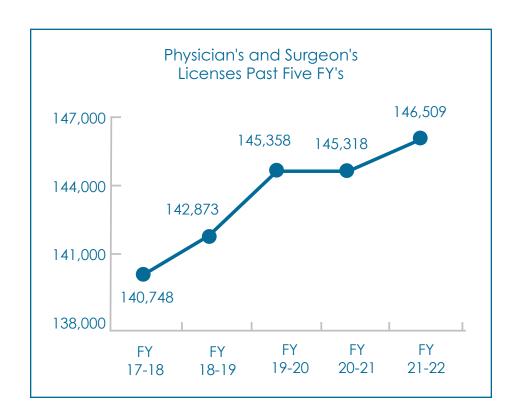
County	
Riverside	3,736
Sacramento	5,576
San Benito	43
San Bernardino	4,569
San Diego	12,028
San Francisco	6,925
San Joaquin	1,265
San Luis Obispo	870
San Mateo	3,199
Santa Barbara	1,286
Santa Clara	9,118
Santa Cruz	765
Shasta	408
Sierra	0
Siskiyou	70
Solano	1,025
_	

Sonoma

County	
Stanislaus	1,076
Sutter	177
Tehama	49
Trinity	7
Tulare	585
Tuolumne	121
Ventura	2,094
Yolo	551
Yuba	44
Total In State Address	119,522
Total Out-of-State Address	26,987

<sup>&</sup>lt;sup>1</sup> Data is for physicians with a renewed and current license excluding those in an inactive, retired, or disabled license status. The breakdown of the excluded license statuses is: California - 2,892, Out-of-State - 4,461, Total - 7,353.

146,509



1,522

**Total** 

#### Licenses

	FY 20-21	FY 21-22
Physician Applications Received		
Physician Applications Received	4,699	7,910 <sup>1</sup>
Physician Licenses Issued		
FLEX/USMLE <sup>2</sup>	2,724	5,361
NBME <sup>2</sup>	231	229
Reciprocity with Other States (BPC §2135)	1,386	1,342
Total	4,341	6,932
Physician Licenses Renewed		
Renewal Licenses Issued - Fee	65,211	64,618
Renewal Licenses Issued - Fee Exempt <sup>3</sup>	5,591	6,124
Total	70,802	70,742
Physician Licenses In Effect <sup>4</sup>		
In-State Address	118,860	119,522
Out-of-State Address	26,458	26,987
Total	145,318 <sup>5</sup>	146,5096
Physician License Administrative Activity		
Licenses Issued with Public Letters of Reprimand	3	4
Probationary Licenses Issued	19	14
Licenses Denied (No Hearing Requested)	0	8
Statements of Issues to Deny License Filed	3	4
Statements of Issues Granted (License Denied)	1	1
Statements of Issues Denied (License Granted)	3	4
Statements of Issues Withdrawn	2	1
Postgraduate Training Licenses (PTL)		
PTL Applications Received	3,099	2,924
Issued	3,865	2,911
In Effect	5,655	6,735

- <sup>1</sup>The number of Physician's and Surgeon's license applications received increased in FY 21-22 due to the law change effective January 1, 2022.
- <sup>2</sup> FLEX: Federation Licensing Exam. USMLE: United States Medical Licensing Exam. NBME: National Board Medical Examiners.
- <sup>3</sup> Includes physicians with disabled, retired, military, or voluntary services license status.
- <sup>4</sup>Excludes physicians with inactive, retired, or disabled license status.
- <sup>5</sup> Total physician licenses in effect including inactive, retired or disabled license status 152,568.
- <sup>6</sup> Total physician licenses in effect including inactive, retired or disabled license status 153,862.



Medical schools and post graduate training programs can electronically upload forms to the Board's Direct Online Certification Submission portal.

Learn more on our website.

### Permits and Special Programs

	FY 20-21	FY 21-22
Fictitious Name Permits		
Issued	1,448¹	1,523²
Renewed	5,415	5,261
In Effect	13,082	12,991
Special Faculty Permits		
Issued	4	1
Renewed	13	9
In Effect	27	26
Special Programs		
Applications Received	32	49
Licenses Issued	30	44
Licenses Renewed	105	101
In Effect	176	193

<sup>&</sup>lt;sup>1</sup> Includes 30 Fictitious Name Permits issued on behalf of the Board of Podiatric Medicine.

### Verification and Reporting

FY 20-21	FY 21-22
1,951	1,632
43,7531	41,7242
759	810
1,668,670	1,291,716
13,496	13,965
787	916
5424	685⁵
96 / 7	108 / 4
	1,951 43,753 <sup>1</sup> 759 1,668,670 13,496

<sup>&</sup>lt;sup>1</sup> Excludes the 13,326 listed under Consumer Inquiries on page 11.

<sup>&</sup>lt;sup>2</sup> Includes 21 Fictitious Name Permits issued on behalf of the Board of Podiatric Medicine.

<sup>&</sup>lt;sup>2</sup> Excludes the 14,768 listed under Consumer Inquiries on page 11.

<sup>&</sup>lt;sup>3</sup> Statistics from the Board's **BreEZe Online License Verification** webpage.

<sup>&</sup>lt;sup>4</sup> Includes 537 MDs, 1 Polysomnographic Technologist, 1 Polysomnographic Technician, and 3 Licensed Midwives.

<sup>&</sup>lt;sup>5</sup> Includes 676 MD's, 2 Post Graduate Training Licensees, 2 Polysomnographic Technicians, 4 Polysomnographic Technologists, and 1 Licensed Midwife.

### **ENFORCEMENT PROGRAM**

The Board's Enforcement Program is responsible for reviewing the thousands of complaints the Board receives each fiscal year and managing them through the investigative and disciplinary processes. During FY 2021-2022, the Board received 9,943 complaints, a slight decrease compared to the 10,103 complaints received in FY 2020-2021. To help the public better understand what happens to the complaints once they are filed, the Board will continue to provide the graph that was introduced in last year's Annual Report (Page 13) that tracks the categories of closed complaints.

### **COST RECOVERY**

On January 1, 2022, the Board received authority to reinstate cost recovery for the expenses the Board incurs when disciplining physicians. The authority allows the Board to recover a portion of its investigative and legal expenses in these cases. The Board's investigative contractor, the Health Quality Investigation Unit, and the Office of the Attorney General have respective databases to track time spent on individual cases which can be submitted to recover eligible costs.

As of January 1, 2022, the Board can track the time and expenses spent on each case and provide documentation to support the cost recovery amounts the Board seeks when a case goes to a stipulated settlement or administrative hearing. The Board imposed cost recovery on 40 physician and surgeon cases from January 1, 2022, through June 30, 2022, for a total of \$239,520 (an average of \$5,988 per case). As of September 29, 2022, \$26,286 has been recovered.

Of the 40 cases in which the Board imposed cost recovery, 24 resulted in a license surrender or revocation, as such the costs will not be required to be paid until the respondent successfully reinstates with the Board. Those cases amount to \$147,906, or approximately 62 percent of the cost recovery amount imposed on the 40 cases.

# Physician's and Surgeon's Enforcement Summary

	FY 20-21	FY 21-22
Complaints <sup>1</sup>		
Complaints Received	10,103	9,943
Complaints Closed by Complaint Unit	11,124	9,362
Closed <sup>1</sup>	10,030	8,2542
Referred to Cite and Fine	45	89
Referred to Investigations	1,049	1,019
Investigations		
Opened	1,063	1,049
CIO	200	235
HQIU	863	814
Closed	1,766	1,307
CIO	320	263
HQIU	1,446	1,044
Investigation Referrals		
Referred to the AG	649	502
MBC	172	127
HQIU	477	375
Cases Referred for Criminal Action	25	19
Probation Violation Reports Referred to the AG	41	39
Consumer Inquiries		
Consumer Telephone Inquiries	13,326	14,768
Consumer Jurisdictional Inquiries	7,329	8,122

<sup>&</sup>lt;sup>1</sup> FY 20/21 - 3,179 complaints closed were received in a prior fiscal year. FY 21/22 - 2,158 complaints closed were received in a prior fiscal year.

<sup>&</sup>lt;sup>2</sup> Represented as total closures in the "Complaints Closed by Complaint Unit" Chart on page 13.

# MBC NEEDS YOUR EXPERTISE

If you are currently practicing in California, the Board needs your help as an expert reviewer. The Board is looking for physical and mental examiners, clinical diagnostic examiners, and psychologists.

For information and how to apply, please visit our Expert Reviewer webpage, or contact the Board's Expert Reviewer Program at:

\*\*MBCMedicalExpertProgram@mbc.ca.gov\*\*

### EXPERT REVIEWER PROGRAM

The Board's Expert Reviewer Program continues to be an area where the Board is seeking the assistance of qualified, licensed physicians and surgeons to review and evaluate cases. The Board continues its recruitment efforts through advertising in the Board's newsletters and publications.

Board staff are reaching out to professional societies and organizations for help informing their members of the opportunity to serve as an expert reviewer for the Board.

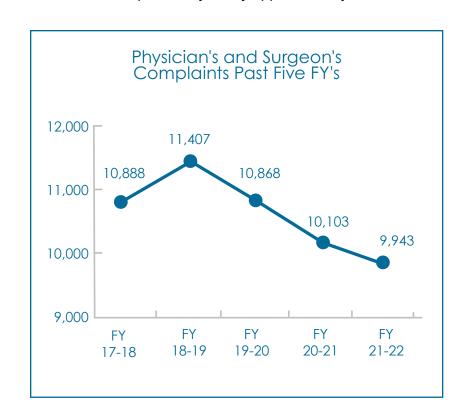
A survey was sent out to the current expert pool in April 2022, responses indicated that low payment was a concern, and they suggested that feedback and additional training would help them succeed. In consideration, Board staff is coordinating additional online training courses, and continues offering full-day training sessions four times per year via WebEX. Based on feedback received from attendees, participants report that the interactive nature and ability to ask questions and receive answers in real-time was exceedingly helpful.

# CONTINUOUS IMPROVEMENT IN COMMUNICATION

The Enforcement Program continues seeking better communication methods with the public and complainants. Each month, the Enforcement Program conducts reviews ensuring the letters staff sends to complainants are complete and are properly advising of each milestone in the complaint process. In addition, the Central Complaint Unit (CCU) Management is implementing a cross-training plan to distribute workloads evenly, allowing staff to handle all types of complaints and reduce backlogs or delays if positions are vacant or staff is out. CCU has significantly reduced the backlog of cases and has reduced their time for complaint initiation to five days in FY 2021-2022, down from 12 days in FY 2019-2020.

# CENTRAL COMPLAINT UNIT CLOSURES

During FY 2021-2022, CCU closed 8,254 complaints. Please see the chart on page 13 for more information on these complaints. This was significantly less than the number of cases closed in the previous year, by approximately 1,800 cases.

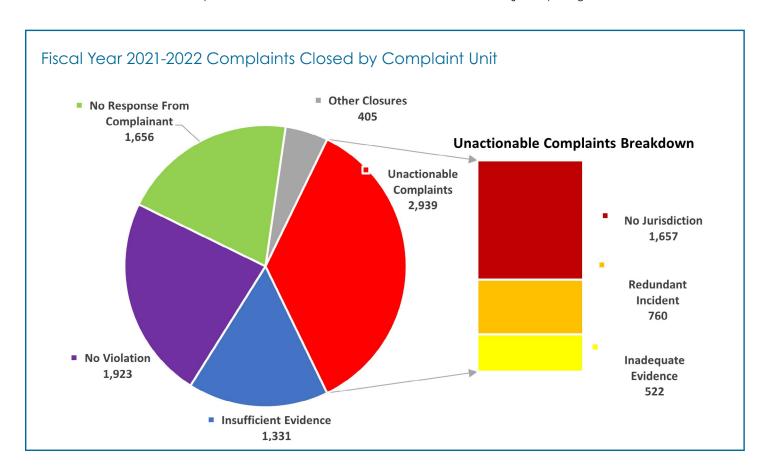


### Physician and Surgeon Complaints Received by Complaint Type and Source

	Public	Business and Professions Code <sup>1</sup>	Licensee/ Professional Group <sup>2</sup>	Government Agency <sup>3</sup>	Miscellaneous/ Anonymous	Total Type Complaints Received
Fraud	13	0	3	17	7	40
Health and Safety <sup>4</sup>	119	4	8	31	56	218
Non-Jurisdictional <sup>5</sup>	1,176	1	116	22	294	1,609
Gross Negligence/Incompetence <sup>6</sup>	3,649	553	45	315	278	4,840
Personal Conduct <sup>7</sup>	48	40	11	169	37	305
Unprofessional Conduct®	1,299	162	59	669	474	2,663
Unlicensed/Unregistered	105	0	8	71	84	268
Total Source Complaints Received	6,409	760	250	1,294	1,230	9,943

<sup>&</sup>lt;sup>1</sup> Includes complaints received pursuant to BPC §§800 and 2240(a), and includes complaints initiated based upon reports submitted to the Board by hospitals, insurance companies and others, as required by law, regarding instances of health facility discipline, malpractice judgments/settlements, or other reportable activities.

<sup>&</sup>lt;sup>8</sup> Includes sexual misconduct with patients, failure to release medical records, violation of BPC §805 reporting, etc.



<sup>&</sup>lt;sup>2</sup> Includes the following complaint sources: other Licensee, Professional Society or Association.

<sup>&</sup>lt;sup>3</sup> Includes the following complaint sources: Internal, Law Enforcement Agency, other California State Agency, other State Agency, other boards within the Department of Consumer Affairs, and Federal or other Government Agency.

<sup>&</sup>lt;sup>4</sup>Includes excessive prescribing, sale of dangerous drugs, etc.

<sup>&</sup>lt;sup>5</sup> Includes complaints not under the authority of the Board and are referred to other agencies such as the Department of Health Care Services, Department of Managed Health Care, etc.

<sup>&</sup>lt;sup>6</sup>Includes complaints related to the quality of care provided by licensees.

<sup>&</sup>lt;sup>7</sup>Includes licensee self-abuse of drugs/alcohol, conviction of a crime, etc.

#### Administrative Actions

	FY 20-21	FY 21-22
Administrative Actions		
Accusation	383	283
Petition to Revoke Probation/Accusation and Petition to Revoke	36	31
Amended Accusation/Petition to Revoke	66	217
Completed Investigations Referred to the AG and Awaiting the Filing of Accusation as of June 30, 2021	101	82
Cases Over 6 Months Old that Resulted in the Filing of Accusation	362	260
Administrative Outcomes		
License Revoked	36	29
License Surrendered (in Lieu of Accusation or with Accusation Pending)	118	96
License Placed on Probation with Suspension	4	5
License Placed on Probation	122	142
Probationary License Issued	19	14
Public Reprimand	152	118
Other Actions (e.g., Exam Required, Educational Course, etc.)	2	1
Accusation Withdrawn	20	11
Accusation Dismissed	9	13
Probation Violation Outcomes		
License Revoked	13	7
License Surrendered	7	10
Additional Suspension and Probation	0	2
Additional Probation	10	14
Public Reprimand	2	0
Other Actions (e.g., Exam Required, Educational Course, etc.)	0	0
Petition Withdrawn	3	0
Petition Dismissed	0	0
Referral and Compliance Actions		
Citation and Administrative Fines Issued	51	122

### **PRINT YOUR** OWN **LICENSE**

Any licensee with a Current license status may generate a Wallet License by accessing the Wallet License Generator. The Wallet License is generated as an electronic PDF file that can be sent to others as needed.

### Petition Activity

EV 21 22

Petitions for Reinstatement of License				
Filed	22	16		
Granted	5	5		
Denied	9	8		
Petitions for Penalty Relief <sup>1</sup>				
Granted	33	25		
Denied	14	4		
Petitions to Compel Exam				
Filed	20	33		
Granted	15	29		
Denied	0	0		

FY 20-21 FY 21-22

### License Restrictions/Suspensions and Temporary Restraining Orders

Imposed while Administrative Action Pending	FY 20-21	FY 21-22
Interim Suspension Order (ISO)	23	211
Temporary Restraining Order (TRO)	0	0
Other Suspension Orders	44	36²
Sought and Granted by Case Type for FY 21-22	Sought	Granted <sup>3</sup>
Gross Negligence/Incompetence	8	4
Inappropriate Prescribing	9	1
Unlicensed Activity	0	0
Sexual Misconduct	9	8
Mental/Physical Illness	15	7
Self-Abuse of Drugs/Alcohol	15	14
Fraud	6	1
Criminal Charges/Conviction	13	9
Unprofessional Conduct	14	13
Total	89	57

<sup>&</sup>lt;sup>1</sup> Pursuant to BPC §2220.05(c), ISOs and TROs were granted in the following priority categories: 1 - gross negligence/incompetence resulting in death or serious bodily injury; 0 - drug or alcohol abuse involving death or serious bodily injury; 0 - excessive prescribing; 0 - excessive recommending of medical cannabis; 3 - sexual misconduct with a patient; 2 - practicing under the influence of drugs/alcohol; and 0 - excessive prescribing to a minor.

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<sup>&</sup>lt;sup>1</sup>Penalty Relief includes: Petitions for Modification of Penalty and Petitions for Termination of Probation.

<sup>&</sup>lt;sup>2</sup> Includes 3 - Automatic Suspension Orders per BPC §2236; 6 license restrictions per Penal Code §23; 2 - license restrictions pursuant to court order; 4 - out-of-state suspension orders per BPC §2310; 0 - stipulated agreements to suspend or restrict the practice of medicine; and 21 - cease practice orders issued for violation of probation condition or violation of interim suspension order.

<sup>&</sup>lt;sup>3</sup> Some orders granted were sought in prior FY.

# Malpractice Settlement Reports Received per BPC §801.01 by Specialty Practice

Specialty/Subspecialty           Anesthesiology         24         7,545           Cardiology         11         3,805           Colon and Rectal         1         235           Dermatology         2         2,527           Diagnostic Radiology         3         4,935           Emergency Medicine         32         5,562           Gastroenterology         10         2,039           General/Family Practice         47         11,673           Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Interval Medicine         35         25,783           Interventional Cardiology         1         634           Neurological Surgery         12         698           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         3         3,601           Otolaryngology         6         1,871           Patin Medicine         1         4,773     <		Reports <sup>1</sup>	Physicians <sup>2</sup>
Cardiology         11         3,805           Colon and Rectal         1         235           Dermatology         2         2,527           Diagnostic Radiology         3         4,935           Emergency Medicine         32         5,562           Gastroenterology         10         2,039           General/Family Practice         47         11,673           Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Physical Medicine	Specialty/Subspecialty		
Colon and Rectal         1         235           Dermatology         2         2,527           Diagnostic Radiology         3         4,935           Emergency Medicine         32         5,562           Gastroenterology         10         2,039           General/Family Practice         47         11,673           Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Interval Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Physical Medicin	Anesthesiology	24	7,545
Dermatology         2         2,527           Diagnostic Radiology         3         4,935           Emergency Medicine         32         5,562           Gastroenterology         10         2,039           General/Family Practice         47         11,673           Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Internal Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery <td>Cardiology</td> <td>11</td> <td>3,805</td>	Cardiology	11	3,805
Diagnostic Radiology         3         4,935           Emergency Medicine         32         5,562           Gastroenterology         10         2,039           General/Family Practice         47         11,673           Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Interval Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Dise	Colon and Rectal	1	235
Emergency Medicine         32         5,562           Gastroenterology         10         2,039           General/Family Practice         47         11,673           Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Interval Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease	Dermatology	2	2,527
Gastroenterology         10         2,039           General/Family Practice         47         11,673           Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Interval Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine	Diagnostic Radiology	3	4,935
General/Family Practice 47 11.673 Gynecology 14 7,031 Hematology 1 1,1455 Infectious Disease 1 1,164 Internal Medicine 35 25,783 Interventional Cardiology 1 634 Neonatal/Perinatal 2 826 Neurological Surgery 12 698 Neurology 8 2,836 Obstetrics 52 7,031 Ophthalmology 12 3,186 Orthopedic Surgery 31 3,601 Otolaryngology 6 1,871 Pain Medicine 1 840 Pathology 1 2,4773 Pediatrics 11 12,596 Physical Medicine & Rehabilitation 1 1,459 Plastic Surgery 17 1,332 Psychiatry 15 9,819 Pulmonary Disease 4 1,975 Radiology 44 1,479 Sports Medicine 3 773 Surgery 43 4,767 Thoracic Surgery 6 660 Urology 6 1,530	Emergency Medicine	32	5,562
Gynecology         14         7,031           Hematology         1         1,455           Infectious Disease         1         1,164           Internal Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6	Gastroenterology	10	2,039
Hematology         1         1,455           Infectious Disease         1         1,164           Internal Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6 <t< td=""><td>General/Family Practice</td><td>47</td><td>11,673</td></t<>	General/Family Practice	47	11,673
Infectious Disease         1         1,164           Internal Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,5	Gynecology	14	7,031
Internal Medicine         35         25,783           Interventional Cardiology         1         634           Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Hematology	1	1,455
Interventional Cardiology	Infectious Disease	1	1,164
Neonatal/Perinatal         2         826           Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         4         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Internal Medicine	35	25,783
Neurological Surgery         12         698           Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Interventional Cardiology	1	634
Neurology         8         2,836           Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Neonatal/Perinatal	2	826
Obstetrics         52         7,031           Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Neurological Surgery	12	698
Ophthalmology         12         3,186           Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Neurology	8	2,836
Orthopedic Surgery         31         3,601           Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Obstetrics	52	7,031
Otolaryngology         6         1,871           Pain Medicine         1         840           Pathology         1         4,773           Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Ophthalmology	12	3,186
Pain Medicine       1       840         Pathology       1       4,773         Pediatrics       11       12,596         Physical Medicine & Rehabilitation       1       1,459         Plastic Surgery       17       1,332         Psychiatry       15       9,819         Pulmonary Disease       4       1,975         Radiology       44       1,479         Sports Medicine       3       773         Surgery       43       4,767         Thoracic Surgery       6       660         Urology       6       1,530	Orthopedic Surgery	31	3,601
Pathology       1       4,773         Pediatrics       11       12,596         Physical Medicine & Rehabilitation       1       1,459         Plastic Surgery       17       1,332         Psychiatry       15       9,819         Pulmonary Disease       4       1,975         Radiology       44       1,479         Sports Medicine       3       773         Surgery       43       4,767         Thoracic Surgery       6       660         Urology       6       1,530	Otolaryngology	6	1,871
Pediatrics         11         12,596           Physical Medicine & Rehabilitation         1         1,459           Plastic Surgery         17         1,332           Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Pain Medicine	1	840
Physical Medicine & Rehabilitation       1       1,459         Plastic Surgery       17       1,332         Psychiatry       15       9,819         Pulmonary Disease       4       1,975         Radiology       44       1,479         Sports Medicine       3       773         Surgery       43       4,767         Thoracic Surgery       6       660         Urology       6       1,530	Pathology	1	4,773
Plastic Surgery       17       1,332         Psychiatry       15       9,819         Pulmonary Disease       4       1,975         Radiology       44       1,479         Sports Medicine       3       773         Surgery       43       4,767         Thoracic Surgery       6       660         Urology       6       1,530	Pediatrics	11	12,596
Psychiatry         15         9,819           Pulmonary Disease         4         1,975           Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Physical Medicine & Rehabilitation	1	1,459
Pulmonary Disease       4       1,975         Radiology       44       1,479         Sports Medicine       3       773         Surgery       43       4,767         Thoracic Surgery       6       660         Urology       6       1,530	Plastic Surgery	17	1,332
Radiology         44         1,479           Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Psychiatry	15	9,819
Sports Medicine         3         773           Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Pulmonary Disease	4	1,975
Surgery         43         4,767           Thoracic Surgery         6         660           Urology         6         1,530	Radiology	44	1,479
Thoracic Surgery         6         660           Urology         6         1,530	Sports Medicine	3	773
Urology 6 1,530	Surgery	43	4,767
0,	Thoracic Surgery	6	660
Vascular Surgery 4 380	Urology	6	1,530
	Vascular Surgery	4	380

<sup>&</sup>lt;sup>1</sup> The procedure was performed in the practice specialty/ subspecialty; however, the physician may or may not have been certified in the specialty/subspecialty area.

### Reports Received per BPC §§805 and 805.01 and Report Outcomes

	805	805.01
Total Reports Received		
Total Reports Received	108	4
Peer Review Body Type		
Health Care Facility/Clinic	78	3
Surgical Center	0	0
Health Care Services Plan	12	0
Professional Society	0	0
Medical Group/Employer	18	1
Outcomes of Reports Received		
Pending Disposition	85	2
Cases Closed	23	2

### Reports Received Based Upon Legal Requirements for Physicians and Surgeons

	FY 20-21	FY 21-22
Medical Malpractice		
Insurers <sup>1</sup>	324	374
Attorneys/Self-Reported/Employers <sup>1</sup>	65	107
Courts <sup>2</sup>	0	0
Total	389	481
Other Required Reporting		
Coroners' Reports <sup>3</sup>	1	1
Criminal Charges and Convictions <sup>4</sup>	60	36
Health Facility Discipline Reports Medical Cause or Reason <sup>5</sup>	96	108
Health Facility Reports <sup>6</sup>	7	4
Health Facility Report Sexual Abuse/ Misconduct Allegation <sup>7</sup>	84	76
Outpatient Surgery Settings Reports Patient Death <sup>8</sup>	14	8
Total	262	233
Stem Cell Therapy Complaints and Action	on Taken'	
Complaints Received	1	2
Disciplinary Actions Taken	0	0
Administrative Actions Taken	0	0

<sup>&</sup>lt;sup>1</sup> Per BPC §801.01.

<sup>&</sup>lt;sup>2</sup> California physicians certified in specialty according to the 2020-2021 American Board of Medical Specialties Certification Statistics Report.

<sup>&</sup>lt;sup>2</sup> Per BPC §803.

<sup>&</sup>lt;sup>3</sup> Per BPC §802.5.

<sup>&</sup>lt;sup>4</sup> Per BPC §§802.1 and 803.5.

<sup>&</sup>lt;sup>5</sup> Per BPC §805.

<sup>&</sup>lt;sup>6</sup> Per BPC §805.01.

<sup>&</sup>lt;sup>7</sup> Per BPC §805.8.

<sup>&</sup>lt;sup>8</sup> Per BPC §2240(a).

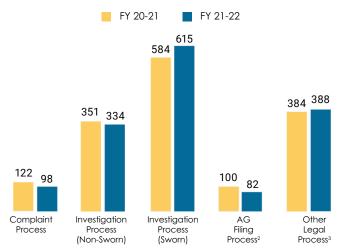
Physicians and surgeons, licensed midwives, research psychoanalysts, and polysomnographic program.

# Administrative and Probation Violation Outcomes by Case Type<sup>1</sup>

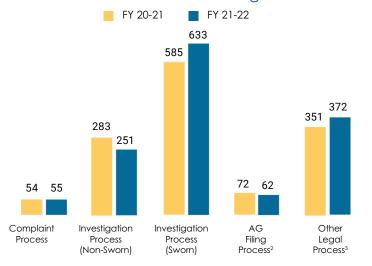
	Revocation	Surrender	Probation with Suspension	Probation	Probationary License Issued	Public Reprimand	Other	Total Actions
Gross Negligence/ Incompetence	9	39	2	84	4	73	0	211
Inappropriate Prescribing	5	13	1	20	0	5	0	44
Unlicensed Activity	0	0	0	0	0	1	0	1
Sexual Misconduct	4	7	0	2	0	1	0	14
Mental/Physical Illness	1	5	0	5	1	2	1	15
Self-Abuse of Drugs/Alcohol	4	21	2	28	3	6	0	64
Fraud	3	5	0	2	0	0	0	10
Conviction of a Crime	3	5	0	2	0	4	0	14
Unprofessional Conduct	7	11	2	13	6	26	0	65
Total Administrative Actions	36	106	7	156	14	118	1	438

Pursuant to BPC §2220.05(c), disciplinary actions were taken in the following priority categories: 3 - gross negligence/incompetence resulting in death or serious bodily injury; 2 - practicing under the influence resulting in death or serious bodily injury; 31 - excessive prescribing; 0 - excessive recommending of medical cannabis; 10 - sexual misconduct with a patient; 8 - practicing under the influence of drugs/alcohol; and 0 - excessive prescribing to a minor.

### Average Enforcement Processing Time Frames<sup>1</sup>



#### Median Enforcement Processing Time Frames<sup>1</sup>



#### **Enforcement Program Caseload**

	Statewide	Per Investigator/ Inspector					
Health Quality Investigation Unit (Department of Consumer Affair							
Active Investigations	1,277	15⁵					
AG Cases Assigned <sup>6</sup>	392	5					
Probation Unit <sup>7</sup>							
Monitoring Cases <sup>8</sup>	561	35					

- <sup>1</sup> Average and median time (calendar days) in processing complaints during the fiscal year, for all cases, from date of original receipt of the complaint, for each stage of discipline, through completion of judicial review.
- <sup>2</sup> Days from case transmittal to initial pleading filed.
- <sup>3</sup> Days from filing to final case disposition.
- <sup>4</sup> Includes physicians and surgeons, licensed midwives, and polysomnographic program.
- <sup>5</sup> Average is determined by using the total number of authorized positions, including vacant positions.
- <sup>6</sup> Cases are at various stages of AG processing and may require supplemental investigative work, such as subpoena services, interviewing new victims or witnesses, testifying at hearings, etc.
- <sup>7</sup> Includes physicians and surgeons and poloysomnographic technologist.
- <sup>8</sup> 85 additional monitoring cases were inactive because the probationer was out of state as of June 30, 2022.

FY 20-21 FY 21-22

	FY 20	0-21	FY 2	1-22	
Probationers					
Probationers Whose Conduct was Related to a Substance-Abuse Problem		209		206	
Substances Involved <sup>1</sup>					
Alcohol		146		147	
Ambien		1		1	
Amphetamine		0		1	
Ativan		1		1	
Benzodiazepine		5		4	
Cannabis		5		4	
Cocaine		3		4	
Demerol		2		2	
Fentanyl		1		1	
Fiorcet		1		1	
Ketamine		1		1	
Methamphetamines		3		4	
Opiates		4		3	
Propofol		1		2	
Psilocybin		1	1		
Multiple Controlled Substances		70		70	
Probation Completion					
Probation Successfully Completed <sup>2</sup> (Y)		30		27	
Failed to Complete Probation (N)		19		22	
Substances Involved <sup>1</sup>	Υ	Ν	Υ	Ν	
Alcohol	21	11	23	21	
Benzodiazepine	1	0	0	0	
Cannabis	1	1	0	0	
Cocaine	0	0	1	0	
Demerol	0	0	1	0	
Fentanyl	1	0	0	0	
Ketamine	0	0	1	0	
Opiates	1	0	1	0	
Psilocybin	0	0	1	0	
Multiple Controlled Substances	5	9	5	5	
Relapses					
Probationers who Relapsed		8		5	
Substances Involved <sup>1</sup>					
Alcohol		5		4	
Cocaine		0		0	
Fentanyl		1		0	
Meprobamate		1		0	
Methamphetamines		0		1	
Methaqualone		1		0	
Opiates		0		0	
Tramadol		0		1	

Cease Practice OrdersProbationers Issued a Cease Practice Order13Substances Involved/Reason for Order¹6Alcohol6Cocaine0Fentanyl1Meprobamate1Methamphetamines0Methaqualone1Opiates0Tramadol0Failed to Appear for a Biological Fluid Test (BFT)3Failed to Cooperate with BFT0Failed to Successfully Complete a Clinical Competence Assessment Program1Multiple probation violations0
Substances Involved/Reason for Order¹  Alcohol 6  Cocaine 0  Fentanyl 1  Meprobamate 1  Methamphetamines 0  Methaqualone 1  Opiates 0  Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Alcohol 6  Cocaine 0  Fentanyl 1  Meprobamate 1  Methamphetamines 0  Methaqualone 1  Opiates 0  Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Cooperate with BFT 0  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Cocaine  Fentanyl  Meprobamate  1  Methamphetamines  0  Methaqualone  1  Opiates  Tramadol  Failed to Appear for a Biological Fluid Test (BFT)  Failed to Cooperate with BFT  0  Failed to Successfully Complete a Clinical Competence Assessment Program
Fentanyl 1  Meprobamate 1  Methamphetamines 0  Methaqualone 1  Opiates 0  Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Cooperate with BFT 0  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Meprobamate 1  Methamphetamines 0  Methaqualone 1  Opiates 0  Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Cooperate with BFT 0  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Methamphetamines 0  Methaqualone 1  Opiates 0  Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Cooperate with BFT 0  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Methaqualone 1  Opiates 0  Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Cooperate with BFT 0  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Opiates 0  Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Cooperate with BFT 0  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Tramadol 0  Failed to Appear for a Biological Fluid Test (BFT) 3  Failed to Cooperate with BFT 0  Failed to Successfully Complete a Clinical Competence Assessment Program 1
Failed to Appear for a Biological Fluid Test (BFT)  Failed to Cooperate with BFT  O  Failed to Successfully Complete a Clinical Competence Assessment Program  1
Fluid Test (BFT)  Failed to Cooperate with BFT  O  Failed to Successfully Complete a Clinical Competence Assessment Program  1
Failed to Successfully Complete a Clinical Competence Assessment Program
Competence Assessment Program
Multiple probation violations 0
Suspensions
Probationers Issued a Suspension 0
Substance Involved
N/A 0
Petitions to Revoke
Petitions to Revoke 16 1
Substances Involved/Reason for Action <sup>1</sup>
Alcohol 4
Cocaine 1
Fentanyl 1
Methamphetamines 0
Methaqualone 1
Opiates 1
Tramadol 0
Failed to Appear for a BFT 4
Failed to Successfully Complete a Clinical Competence Assessment Program
Multiple Probation Violations
Non-Practice Over 2 Years 1
Obey All Laws 3
Practicing Medicine in Own Home 0

<sup>&</sup>lt;sup>1</sup> Some probationers had more than one substance involved.

 $<sup>^{\</sup>rm 2}$  Those who successfully completed probation or a petition for termination of probation was granted.

		ACCION TO THE PROPERTY OF THE		Ð 5000		renidnyi		Meplobalia	(c)	Memorina		Memaquaione		Oplales	- T	ומבוממסו	Multiple Controlled	Substances	Total Major Violations	מוסיים אינטיים אינטים א
	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22	FY 20-21	FY 21-22
Failed to Undergo a Required Clinical Diagnostic Evaluation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
Committed Multiple Minor Violations of Probation Conditions and Terms	3	4	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2	2	4	4
Treated a Patient(s) while Under the Influence of a Prohibited Substance	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
Engaged in Any Drug or Alcohol Related Act that is a Violation of State or Federal Law or Regulation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
Failed to Undergo Biological Fluid Testing (BFT) when Ordered	13	12	-	1	-	-	-	-	-	1	-	-	1	1	-	-	7	6	18	16
Used, Consumed, Ingested, or Administered to Himself or Herself a Prohibited Substance <sup>2</sup>	4	4	-	-	1	-	1	-	-	-	1	-	-	-	-	1	-	-	7	5
Knowingly Used, Made, Altered, or Possessed any Object or Product in Such a Way as to Defraud or Attempt to Defraud a BFT Designed to Detect the Presence of a Prohibited Substance	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
Failed to Comply with any Term or Condition of Probation that Impairs Public Safety <sup>2</sup>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
Substances Involved	20	0	0	0	1	0	1	0	1	0	1	0	1	0	0	1	9	0	29	25

<sup>&</sup>lt;sup>1</sup> Per 16 CCR §1361.52(a)(1-8).

<sup>&</sup>lt;sup>2</sup> The categorized substance is the substance the individual used, consumed, ingested, or administered to themselves, not the substance involved in the original discipline.

### ALLIED HEALTHCARE PROFESSIONALS

Allied healthcare professionals under the Board's authority include licensed midwives, research psychoanalysts, and the polysomnographic program, consisting of polysomnographic trainees, technicians, and technologists. In addition, the Board approves accreditation agencies for the accreditation of outpatient surgery settings.

	Issued	Current
Licenses and Registrations		
Licensed Midwives	31	477
Research Psychoanalyst	11	86
Polysomnographic Trainee	23	55
Polysomnographic Technician	23	141
Polysomnographic Technologist	28	616
Accreditation Agencies for Outpatient Surgery Settings	0	4
	FY 20-21	FY 21-22
Complaints		
Complaints Received	32	30
Complaints Closed by Complaint Unit	43	29
Investigations		

Complaints							
Complaints Received	32	30					
Complaints Closed by Complaint Unit	43	29					
Investigations							
Cases Opened	7	7					
Cases Closed	21	6					
Cases Referred to the Attorney General (AG)	10	7					
Cases Referred for Criminal Action	1	0					
Probation Violation Reports Referred to the AG	0	0					
Reports Received Based Upon Legal Require	ements						
Midwife Hospital Transfer Forms <sup>1</sup>	259	208					
Outpatient Adverse Event Reports <sup>2</sup>	122	46					
Referral and Compliance Actions							
Citation and Administrative Fines Issued	2	1					
License Restrictions/Suspensions Imposed while Administrative Action Pending							
Interim Suspension Order	0	0					
Other Suspension Orders	0	1					

<sup>&</sup>lt;sup>1</sup> Per BPC §2510.

<sup>&</sup>lt;sup>3</sup> Penalty Relief includes Petitions for Modification of Penalty and Petitions for Termination of Probation.

	FY 20-21	FY 21-22
Administrative Actions		
Accusation	6	10
Petition to Revoke Probation	0	0
Amended Accusation/Petition to Revoke	1	0
Statement of Issues to Deny Application	0	0
Completed Investigations Referred to the AG and Awaiting the Filing of Accusation as of June 30, 2021	5	1
Administrative Outcomes		
License Revoked	1	3
License Surrendered (in Lieu of Accusation or with Accusation Pending)	1	2
License Placed on Probation with Suspension	0	0
License Placed on Probation	1	3
Probationary License Issued	0	0
Public Reprimand	3	0
Other Actions (e.g., Exam Required, Educational Course, etc.)	0	0
Statements of Issues Denied (License Granted)	0	0
Statements of Issues Granted (License Denied)	0	0
Accusation/Statements of Issues Withdrawn	0	0
Accusation Dismissed	0	0
Probation Violation Outcomes		
License Revoked or License Surrendered	0	0
Additional Suspension or Probation	0	0
Petition Withdrawn or Dismissed	0	0
Petitions for Reinstatement of License		
Filed/Granted/Denied	0/0/0	0/0/0
Petitions for Penalty Relief <sup>3</sup>		
Granted/Denied	0/0	0/0
Petitions to Compel Exam		
Filed/Granted/Denied	0/0/0	0/0/0

<sup>&</sup>lt;sup>2</sup> Per BPC §2216.3.

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<sup>&</sup>lt;sup>1</sup> Conclusions should not be drawn from this summary as data does not specify whether the death is intrapartum or neonatal; whether the affected perinate had congenital anomalies incompatible with life; or whether the perinate was born in or out of a hospital. Births are attended by the licensed midwife as the primary caregiver.

<sup>&</sup>lt;sup>2</sup>Clients delivering multiples is counted as one birth.